

Missouri Department of Labor and Industrial Relations



FY 2022 Budget Request

Anna S. Hui, Director

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DEPARTMENT OF LABOR

& INDUSTRIAL RELATIONS

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MICHAEL L. PARSON
GOVERNOR

ANNA S. HUI
DEPARTMENT DIRECTOR

TAMMY CAVENDER
DEPUTY DEPARTMENT DIRECTOR

October 1, 2020

The Honorable Michael L. Parson
Governor of Missouri
State Capitol, Room 218
Jefferson City, MO 65101

Dear Governor Parson:

I am pleased to present the Department of Labor and Industrial Relations' Budget for Fiscal Year 2022, crafted to support our strategic goals:

- Growth by fostering a business environment to support economic development;
- Safety by preventing injuries and saving lives on the job; and
- Opportunity by investing in our workforce for today and tomorrow.

Like the rest of the state, the Department felt the effects of COVID-19 across all our operations. We responded by working with our partner agencies to provide our Missouri community with high-quality, accessible services and systems. Our proposed budget will add the necessary appropriation authority the Department needs to ensure that all Missourians and Missouri employers continue receiving all our vital resources to navigate this unprecedented time in our state. We have trimmed excess authority where possible and reallocated funds throughout our budget to align the budget request with anticipated expenditures.

Should you have questions or need additional information, we welcome the opportunity to discuss the budget in detail. Please feel free to contact the Department at 573-751-4091 or via email at diroffice@labor.mo.gov.

Sincerely,

Anna S. Hui
Department Director

DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS
FY 2022 BUDGET – DEPARTMENT REQUEST
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DEPARTMENT INFORMATION

DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS

The Department of Labor and Industrial Relations promotes economic vitality, safety and fairness for Missouri's businesses and workers by supporting safe and healthy workplaces; protecting individuals from discrimination; enforcing Missouri's labor laws, and helping those who are unemployed or injured on the job. Department functions include:

- Office of the Director and Division of Administration – Director's Office, Policy Determination, Legislative Priorities, Strategic Planning, Legal Counsel, Communications, and Centralized Administrative and Operational Functions
- Labor and Industrial Relations Commission – Higher Level Review of Appeals and Objections and Review of Proposed Regulations
- Division of Labor Standards – Research and Analysis of Work-Related Incidents, Wage and Hour Programs, On-Site Safety Consultation, Mine and Cave Safety, and Missouri Workers' Safety Program
- State Board of Mediation – Definition and Certification of Public Sector Labor Bargaining Units, Elections for Majority Representation, and Annual Union Financial Reporting. A temporary injunction went into effect March 7, 2019, blocking the SBM from implementing any of the changes from HB 1413 (2018).
- Division of Workers' Compensation – Workers' Compensation, Workers' Safety Programs, Second Injury Fund Benefits, Line of Duty Compensation, and Tort Victims' Compensation
- Division of Employment Security – Unemployment Insurance Benefits, Disaster Unemployment and Trade Act Unemployment, Employer Contributions, and Employer and Worker Appeals
- Missouri Commission on Human Rights – Prevention and Elimination of Illegal Discrimination and Administrative Coordination for the Martin Luther King Jr., Commission



MISSOURI

Department of Labor & Industrial Relations

2020 Version 2.0



ASPIRATION	We will promote economic vitality, safety, and fairness for Missouri's businesses and workers		
THEMES	Growth Foster a business environment to support economic development	Safety Prevent injuries and save lives on the job	Opportunity Invest in our workforce for today and tomorrow
INITIATIVES	<ul style="list-style-type: none">Implement Division of Workers' Compensation Modernized Computer SystemImprove Department-wide Customer Experience through Revisions to the WebsiteImprove Customer Experience through the following:<ul style="list-style-type: none">Implement Outbound Call FeatureUse of a 3rd Party Vendor to Assist with Calls, Adjudication, and AppealsReview Claims Process	<ul style="list-style-type: none">Increase Mine Safety Training to Save Lives and Reduce Injuries in the Mining IndustryDevelop a Youth@Work Initiative to Prevent/Reduce Youth Workplace AccidentsImprove Continuity of Operations through Enhancements to the Department's Emergency Planning Process and Related Staff Training	<ul style="list-style-type: none">Identification of Needed Equipment and Establishment of Clear Staff Expectations for Remote WorkIncrease Staff Awareness through Improved Internal CommunicationsImprove Efficiencies through Expansion of Electronic Forms and ProcessesCreate and execute a program to foster a more inclusive and diverse workforce



DEPARTMENT OF LABOR

& INDUSTRIAL RELATIONS

The Department of Labor and Industrial Relations promotes economic vitality, safety, and fairness for Missouri's businesses and workers.

Office of the Director and Division of Administration help determine policy, coordinate legislative issues and provide oversight of the Department's strategic plan. Administrative sections provide centralized services necessary for day-to-day operations of the Department.

Labor and Industrial Relations Commission (LIRC) provides oversight of the Department of Labor and Industrial Relations. It is composed of three commissioners appointed by the Governor, with the advice and consent of the Senate. The LIRC hears appeals of claims on Workers' Compensation, Unemployment Insurance, and Tort Victims' Compensation as well as objections to Prevailing Wage Orders.

Division of Labor Standards (DLS) is comprised of five sections. The Research and Analysis Section collects and analyzes data relating to occupational and work-related injuries and fatalities in cooperation with the U.S. Department of Labor, Bureau of Labor Statistics. The Wage and Hour Section enforces wage and hour laws and calculates annual prevailing wage/average hourly wage rates. Free safety consultation services for Missouri employers designed to help them stay in compliance with federal regulations are provided by the On-Site Safety and Health Consultation Program. Mine and Cave Safety staff inspect mines and show caves operating in Missouri and train miners prior to their beginning employment and annually thereafter to ensure safe and healthy work habits. The Mine and Cave Safety staff also assist with rescue and recovery efforts should there be a mining accident in Missouri. The Workers' Safety Program, funded by the Workers' Compensation Fund, certifies the safety programs of workers' compensation insurance carriers, certifies safety consultants and rehabilitation facilities for Second Injury Fund Rehab benefits, and assists employers in developing programs to improve workplace safety and eliminate hazards.

State Board of Mediation (SBM) is a quasi-judicial board that administers the Public Sector Labor Law (RS Mo. Chapter 105.500 - 105.598). Duties include the definition of appropriate bargaining units of employees; certification and recertification of bargaining units; determination of majority representation status by secret ballot elections; oversight of annual financial reporting by public employee unions and officials; and enforcement of "paycheck protection" for public sector employees. A temporary injunction went into effect March 7, 2019, blocking the SBM from implementing any of the changes from HB 1413 (2018).

Division of Workers' Compensation (DWC) provides oversight of programs that provide services to workers who have been injured or exposed to occupational disease in the course of employment. Administrative Law Judges approve settlements or issue awards after hearings related to compensation for injured workers. Line of Duty Compensation for the families of emergency workers killed on the job, payments to uncompensated Tort Victims, and oversight for the Second Injury Fund are also administered by the division.

Division of Employment Security (DES) administers Missouri's Unemployment Insurance (UI) program, a joint state-federal program funded by the Unemployment Tax paid by employers. These funds are held in the Missouri Unemployment Compensation Trust Fund (UTF) and payment of benefits for regular unemployment are made from this fund. There are also special unemployment programs available to qualified individuals.

Missouri Commission on Human Rights (MCHR) works to prevent and eliminate discrimination; investigates complaints of discrimination under the Missouri Human Rights Act; and facilitates fair and timely resolutions of discrimination claims.



MISSOURI DEPARTMENT OF LABOR & INDUSTRIAL RELATIONS

DEPARTMENT OF LABOR AND INDUSTRIAL RELATIONS
STATE AUDITOR'S REPORTS, OVERSIGHT EVALUATIONS AND MISSOURI SUNSET ACT REPORTS
FYs 2017 - 2020

Program or Division Name	Type of Report	Date Issued	Website
Missouri State Auditor - State of Missouri Single Audit Year Ended June 30, 2018	Audit Report	03/2019	https://app.auditor.mo.gov/Repository/Press/2019021102830.pdf
Unemployment Insurance System Data Security	Audit Report	02/2019	https://app.auditor.mo.gov/Repository/Press/2019008717620.pdf
Missouri State Auditor - State of Missouri Single Audit Year Ended June 30, 2017	Audit Report	03/2018	https://app.auditor.mo.gov/Repository/Press/2018016389739.pdf
Missouri State Auditor - State of Missouri Single Audit Year Ended June 30, 2016	Audit Report	03/2017	https://app.auditor.mo.gov/Repository/Press/2017018290343.pdf

There were no Oversight Evaluations or Missouri Sunset Act Reports issued for the Department of Labor and Industrial Relations during this period.

DIRECTOR & STAFF

CORE DECISION ITEM

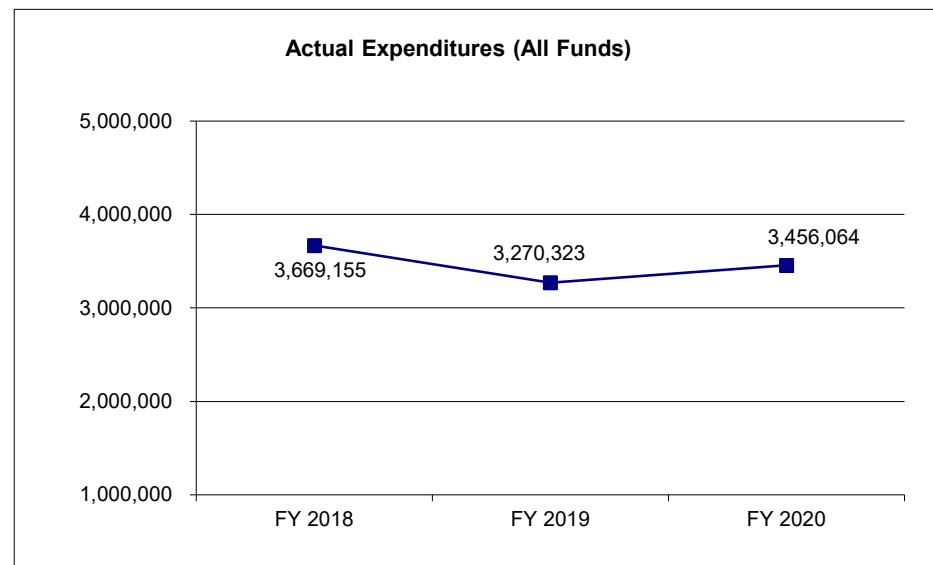
<u>Department of Labor and Industrial Relations</u>		<u>Budget Unit</u> <u>62601C</u>																																																									
<u>Director and Staff</u>																																																											
<u>Administration</u>		<u>HB Section</u> <u>07.800</u>																																																									
1. CORE FINANCIAL SUMMARY																																																											
<table> <thead> <tr> <th colspan="4">FY 2022 Budget Request</th> </tr> <tr> <th>GR</th> <th>Federal</th> <th>Other</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td>0</td> <td>2,724,868</td> <td>0</td> </tr> <tr> <td>EE</td> <td>0</td> <td>2,389,664</td> <td>0</td> </tr> <tr> <td>PSD</td> <td>0</td> <td>8,000</td> <td>0</td> </tr> <tr> <td>TRF</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Total</td><td>0</td><td>5,122,532</td><td>0</td> </tr> </tbody> </table>		FY 2022 Budget Request				GR	Federal	Other	Total	PS	0	2,724,868	0	EE	0	2,389,664	0	PSD	0	8,000	0	TRF	0	0	0	Total	0	5,122,532	0	<table> <thead> <tr> <th colspan="4">FY 2022 Governor's Recommendation</th> </tr> <tr> <th>GR</th> <th>Federal</th> <th>Other</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>EE</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>PSD</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TRF</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Total</td><td>0</td><td>0</td><td>0</td> </tr> </tbody> </table>		FY 2022 Governor's Recommendation				GR	Federal	Other	Total	PS	0	0	0	EE	0	0	0	PSD	0	0	0	TRF	0	0	0	Total	0	0	0
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<i>Est. Fringe</i> 0 1,571,863		<i>Est. Fringe</i> 0 0																																																									
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>																																																											
Other Funds:		Other Funds:																																																									
2. CORE DESCRIPTION																																																											
<p>The Director and Staff Section provides operational support functions for the Department's program agencies including Communications, Procurement, Financial Management, Human Resources, Legal Services, Legislative Affairs, and General Services. The cost of these administrative functions is shared among the programs within the Department through Administrative Fund Transfers according to the approved Cost Allocation Plan.</p> <p>This core request also includes funding for life insurance premiums for retirees who were grandfathered into MOSERS as a part of the consolidation of retirement plans. This appropriation was reduced by (\$5,000) for FY 2021. Expenditures will continue to decline as fewer individuals will remain on this plan and core reductions will continue to be taken as appropriate.</p>																																																											
3. PROGRAM LISTING (list programs included in this core funding)																																																											
Department of Labor and Industrial Relations Administration																																																											

CORE DECISION ITEM

Department of Labor and Industrial Relations	Budget Unit <u>62601C</u>
Director and Staff	
Administration	HB Section <u>07.800</u>

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	5,474,578	5,458,021	5,352,803	5,122,532
Less Reverted (All Funds)	0	0	(4)	N/A
Less Restricted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	<u>5,474,578</u>	<u>5,458,021</u>	<u>5,352,799</u>	N/A
Actual Expenditures (All Funds)	<u>3,669,155</u>	<u>3,270,323</u>	<u>3,456,064</u>	N/A
Unexpended (All Funds)	<u>1,805,423</u>	<u>2,187,698</u>	<u>1,896,735</u>	N/A
Unexpended, by Fund:				
General Revenue	-	-	126	N/A
Federal	1,805,423	232,698	1,893,796	N/A
Other	-	-	2,813	N/A
	(1)	(2)	(3)	(4)



*Current Year restricted amount is as of July 21, 2020.

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Includes (\$5,000) core reduction to Retiree Life Insurance Premium and (\$29,200) and (.75) FTE core transfer out to OA and Governor's Office.
- (2) Includes core transfer of (\$24,349) and (0.50) FTE to OA; a (\$10,000) core reduction to Retiree Life Insurance Premium; \$17,792 for FY 2019 pay plan; and (\$1,955,000) in agency reserve of unused appropriation authority due to staff vacancies and Federal appropriation authority retained to account for any fluctuations in operating expenses during any given fiscal year.
- (3) Includes core reallocation of (\$174,184) and (4.00) FTE for the Research & Analysis to DWC; \$17,792 for FY 2019 pay plan CTC; \$42,106 for FY 2020 pay plan and personal services market adjustments; and \$9,068 for Mileage Reimbursement Increase which will be allocated across the dept. in FY 2021.
- (4) Includes core reallocation of \$180,134 and 3.00 FTE for HR and Communications staff from the DES; \$36,339 and \$7,167, respectively for FY2020 pay plan cost and market adjustments CTC; \$157 reallocated in from Dept-wide mileage appropriation; core reduction of (\$5,000) from the Life Insurance Costs appropriation; core reduction of (\$440,000) from Federal appropriation for supplies and postage; and core reallocation of (\$9,068) of mileage appropriation authority to the divisions.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL DIRECTOR AND STAFF

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	47.65	0	2,724,868	0	2,724,868	
	EE	0.00	0	2,395,283	0	2,395,283	
	PD	0.00	0	2,381	0	2,381	
	Total	47.65	0	5,122,532	0	5,122,532	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	1119 1870	EE	0.00	0	(5,619)	0	(5,619) Core reallocations to better match planned expenditures.
Core Reallocation	1119 1870	PD	0.00	0	5,619	0	5,619 Core reallocations to better match planned expenditures.
NET DEPARTMENT CHANGES			0.00	0	0	0	
DEPARTMENT CORE REQUEST							
	PS	47.65	0	2,724,868	0	2,724,868	
	EE	0.00	0	2,389,664	0	2,389,664	
	PD	0.00	0	8,000	0	8,000	
	Total	47.65	0	5,122,532	0	5,122,532	
GOVERNOR'S RECOMMENDED CORE							
	PS	47.65	0	2,724,868	0	2,724,868	
	EE	0.00	0	2,389,664	0	2,389,664	
	PD	0.00	0	8,000	0	8,000	
	Total	47.65	0	5,122,532	0	5,122,532	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
DIRECTOR AND STAFF								
CORE								
PERSONAL SERVICES								
DEPT OF LABOR RELATIONS ADMIN	2,195,595	38.09	2,724,868	47.65	2,724,868	47.65	0	0.00
TOTAL - PS	2,195,595	38.09	2,724,868	47.65	2,724,868	47.65	0	0.00
EXPENSE & EQUIPMENT								
DEPT OF LABOR RELATIONS ADMIN	719,148	0.00	1,385,283	0.00	1,379,664	0.00	0	0.00
UNEMPLOYMENT COMP ADMIN	541,321	0.00	1,010,000	0.00	1,010,000	0.00	0	0.00
TOTAL - EE	1,260,469	0.00	2,395,283	0.00	2,389,664	0.00	0	0.00
PROGRAM-SPECIFIC								
DEPT OF LABOR RELATIONS ADMIN	0	0.00	2,381	0.00	8,000	0.00	0	0.00
TOTAL - PD	0	0.00	2,381	0.00	8,000	0.00	0	0.00
TOTAL	3,456,064	38.09	5,122,532	47.65	5,122,532	47.65	0	0.00
GRAND TOTAL	\$3,456,064	38.09	\$5,122,532	47.65	\$5,122,532	47.65	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DIRECTOR AND STAFF								
CORE								
SR OFFICE SUPPORT ASSISTANT	31,933	1.00	32,879	1.00	0	0.00	0	0.00
STOREKEEPER I	31,776	1.00	31,318	1.00	0	0.00	0	0.00
PROCUREMENT OFCR I	40,304	1.00	40,761	1.00	0	0.00	0	0.00
PROCUREMENT OFCR II	51,148	1.02	48,869	1.00	0	0.00	0	0.00
ACCOUNTING SPECIALIST I	44,833	1.04	50,238	1.00	0	0.00	0	0.00
ACCOUNTING SPECIALIST II	0	0.00	48,500	1.00	0	0.00	0	0.00
ACCOUNTING SPECIALIST III	83,140	1.62	59,430	1.00	0	0.00	0	0.00
BUDGET ANAL II	11,191	0.24	0	0.00	0	0.00	0	0.00
BUDGET ANAL III	35,950	0.63	57,441	1.00	0	0.00	0	0.00
ACCOUNTING TECHNICIAN	34,468	1.00	36,066	1.00	0	0.00	0	0.00
ACCOUNTING GENERALIST II	38,141	1.00	39,923	1.00	0	0.00	0	0.00
PERSONNEL OFFICER	45,714	0.90	52,323	1.00	0	0.00	0	0.00
HUMAN RELATIONS OFCR II	36,062	0.67	59,235	1.00	0	0.00	0	0.00
PERSONNEL ANAL I	1,631	0.04	0	0.00	0	0.00	0	0.00
PERSONNEL ANAL II	55,644	1.27	90,988	2.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	38,360	1.00	39,521	1.00	0	0.00	0	0.00
TRAINING TECH III	51,511	1.00	53,101	1.00	0	0.00	0	0.00
EXECUTIVE I	34,354	1.00	72,290	2.00	0	0.00	0	0.00
MANAGEMENT ANALYSIS SPEC I	44,515	0.86	46,344	1.00	0	0.00	0	0.00
PERSONNEL CLERK	24,011	0.69	35,485	1.00	0	0.00	0	0.00
ADMINISTRATIVE ANAL II	48,730	1.00	50,450	1.00	0	0.00	0	0.00
ADMINISTRATIVE ANAL III	49,081	1.00	51,343	1.00	0	0.00	0	0.00
GRAPHIC ARTS SPEC III	0	0.00	51,574	1.00	0	0.00	0	0.00
FACILITIES OPERATIONS MGR B1	51,179	1.01	53,049	1.00	0	0.00	0	0.00
FISCAL & ADMINISTRATIVE MGR B1	134,452	2.07	141,359	2.00	0	0.00	0	0.00
HUMAN RESOURCES MGR B1	2,503	0.04	0	0.00	0	0.00	0	0.00
LABOR & INDUSTRIAL REL MGR B1	0	0.00	56,626	1.00	0	0.00	0	0.00
STATE DEPARTMENT DIRECTOR	131,058	1.00	135,091	1.00	135,091	1.00	0	0.00
DEPUTY STATE DEPT DIRECTOR	112,628	1.00	116,099	1.00	116,099	1.00	0	0.00
DESIGNATED PRINCIPAL ASST DEPT	199,957	3.01	275,768	4.00	275,768	4.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	144,709	1.87	160,860	2.00	150,860	2.00	0	0.00
PARALEGAL	39,435	1.00	41,258	1.00	0	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DIRECTOR AND STAFF								
CORE								
LEGAL COUNSEL	270,379	4.00	279,769	4.00	279,769	4.00	0	0.00
CHIEF COUNSEL	102,389	1.00	105,661	1.00	104,030	1.00	0	0.00
CLERK	5,736	0.17	35,364	1.50	47,336	1.65	0	0.00
MISCELLANEOUS TECHNICAL	39,722	0.90	89,364	2.15	60,000	1.00	0	0.00
SPECIAL ASST PROFESSIONAL	1,631	0.04	46,782	1.00	84,000	2.00	0	0.00
SPECIAL ASST OFFICE & CLERICAL	101,250	2.00	104,739	2.00	107,211	2.00	0	0.00
ADMIN SUPPORT PROFESSIONAL	0	0.00	0	0.00	68,805	2.00	0	0.00
ADMINISTRATIVE MANAGER	0	0.00	0	0.00	51,500	1.00	0	0.00
SENIOR HUMAN RIGHTS OFFICER	0	0.00	0	0.00	54,590	1.00	0	0.00
SENIOR RESEARCH/DATA ANALYST	0	0.00	0	0.00	46,344	1.00	0	0.00
SENIOR MULTIMEDIA SPECIALIST	0	0.00	0	0.00	84,399	2.00	0	0.00
SR PUBLIC RELATIONS SPECIALIST	0	0.00	0	0.00	138,457	3.00	0	0.00
SR STAFF DEV TRAINING SPEC	0	0.00	0	0.00	53,101	1.00	0	0.00
AGENCY BUDGET SENIOR ANALYST	0	0.00	0	0.00	57,441	1.00	0	0.00
SENIOR ACCOUNTS ASSISTANT	0	0.00	0	0.00	36,066	1.00	0	0.00
ACCOUNTANT	0	0.00	0	0.00	90,161	2.00	0	0.00
INTERMEDIATE ACCOUNTANT	0	0.00	0	0.00	0	0.00	0	0.00
SENIOR ACCOUNTANT	0	0.00	0	0.00	108,460	2.00	0	0.00
ACCOUNTANT SUPERVISOR	0	0.00	0	0.00	56,959	1.00	0	0.00
ACCOUNTANT MANAGER	0	0.00	0	0.00	84,400	1.00	0	0.00
PROCUREMENT ASSOCIATE	0	0.00	0	0.00	32,879	1.00	0	0.00
PROCUREMENT ANALYST	0	0.00	0	0.00	41,204	1.00	0	0.00
PROCUREMENT SPECIALIST	0	0.00	0	0.00	51,500	1.00	0	0.00
HUMAN RESOURCES ASSISTANT	0	0.00	0	0.00	35,485	1.00	0	0.00
HUMAN RESOURCES GENERALIST	0	0.00	0	0.00	87,826	2.00	0	0.00
HUMAN RESOURCES SPECIALIST	0	0.00	0	0.00	50,000	1.00	0	0.00
HUMAN RESOURCES MANAGER	0	0.00	0	0.00	60,060	1.00	0	0.00
PARALEGAL	0	0.00	0	0.00	40,067	1.00	0	0.00
BENEFITS	26,070	0.00	35,000	0.00	35,000	0.00	0	0.00
TOTAL - PS	2,195,595	38.09	2,724,868	47.65	2,724,868	47.65	0	0.00
TRAVEL, IN-STATE	28,903	0.00	70,899	0.00	67,500	0.00	0	0.00
TRAVEL, OUT-OF-STATE	31,062	0.00	34,082	0.00	40,000	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DIRECTOR AND STAFF								
CORE								
SUPPLIES	590,359	0.00	1,153,953	0.00	1,179,000	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	45,112	0.00	65,874	0.00	66,000	0.00	0	0.00
COMMUNICATION SERV & SUPP	28,845	0.00	46,464	0.00	44,000	0.00	0	0.00
PROFESSIONAL SERVICES	381,255	0.00	709,468	0.00	682,664	0.00	0	0.00
M&R SERVICES	8,502	0.00	92,032	0.00	19,500	0.00	0	0.00
COMPUTER EQUIPMENT	9,514	0.00	0	0.00	18,000	0.00	0	0.00
MOTORIZED EQUIPMENT	27,171	0.00	45,861	0.00	42,000	0.00	0	0.00
OFFICE EQUIPMENT	3,576	0.00	19,009	0.00	8,000	0.00	0	0.00
OTHER EQUIPMENT	4,247	0.00	15,941	0.00	8,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	27,691	0.00	38,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	14,949	0.00	15,651	0.00	29,000	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	13,368	0.00	38,391	0.00	26,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	73,606	0.00	47,734	0.00	102,000	0.00	0	0.00
REBILLABLE EXPENSES	0	0.00	12,233	0.00	20,000	0.00	0	0.00
TOTAL - EE	1,260,469	0.00	2,395,283	0.00	2,389,664	0.00	0	0.00
REFUNDS	0	0.00	2,381	0.00	8,000	0.00	0	0.00
TOTAL - PD	0	0.00	2,381	0.00	8,000	0.00	0	0.00
GRAND TOTAL	\$3,456,064	38.09	\$5,122,532	47.65	\$5,122,532	47.65	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$3,456,064	38.09	\$5,122,532	47.65	\$5,122,532	47.65		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.800

Program Name: Administration

Program is found in the following core budget(s): Director & Staff

1a. What strategic priority does this program address?

Opportunity: Invest in our workforce for today and tomorrow.

1b. What does this program do?

- Provides centralized support functions to the six divisions of the Department including: Communications, Procurement, Financial Management, Human Resources, Legal Services, Legislative Affairs, and General Services in order to ensure smooth day-to-day operations of the Department.
- Ensures compliance with State and Federal laws for expenditure requirements, documentation and reporting, security of data and records, and program management to promote good stewardship of taxpayer funds and accountability for the services delivered by the department.

2a. Provide an activity measure(s) for the program.

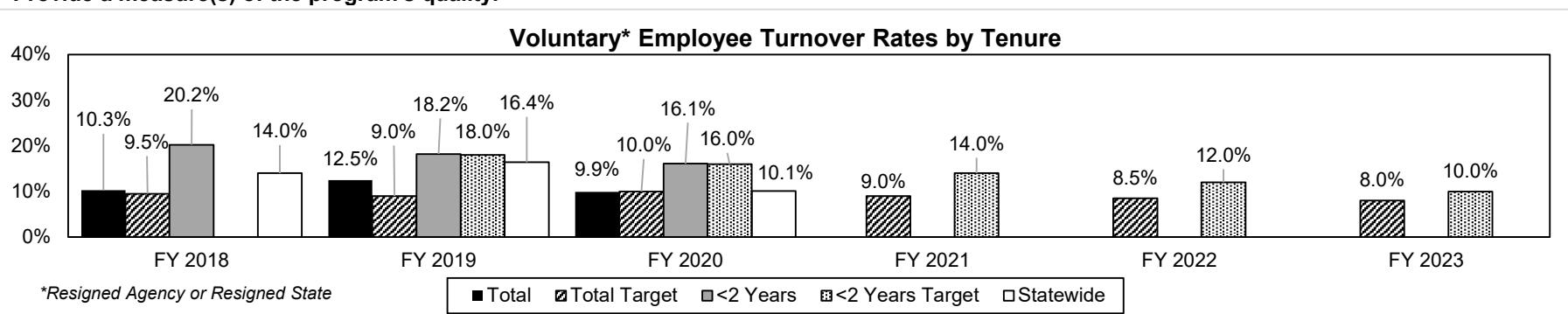
	FY 2018		FY 2019		FY 2020		FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
	Projected	Actual	Projected	Actual	Projected	Actual ³			
Hours of Training Completed by Employees ¹	These are new measures. FY 2018 data is the first available.	8,767	8,986	7,185	10,976	6,728	14,056	18,136	19,000
FTE Staff Trained		708	708	665	725	573	573	573	580
Training Sessions Conducted ¹		4,610	4,610	1,166	4,610	1,151	4,610	4,610	4,700
Number of Unduplicated Vendors Paid ²		11,289	11,500	6,232	7,500	5,888	7,500	7,500	6,500

¹ All types of training are counted (on-line, classroom, external, and specialized). Opportunities for training were limited between December 2018 and April 2019, between when LinkedIn Learning went live and the previous on-line training system expired in November 2018. This accounts for the lower than normal number trained in FY 2019. FY2020 actuals were lower than anticipated due to the lack of in-person trainings available due to the COVID-19 pandemic.

² Includes payments to individuals who participate in DOLIR programs (Second Injury Fund Payments, Tort Victims Compensation, Line of Duty Payments, etc.) as well as expense and equipment. This does not include unemployment insurance compensation payments. The number of payments fluctuates each year because of the variation in the number of claimants paid for Second Injury, Tort Victims, and Line of Duty Compensation.

³ Training hours were affected by a coronavirus outbreak. Some staff did not access LinkedIn, and no Classroom training offered during the timeframe of March 30th – July 1, 2020.

2b. Provide a measure(s) of the program's quality.



PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

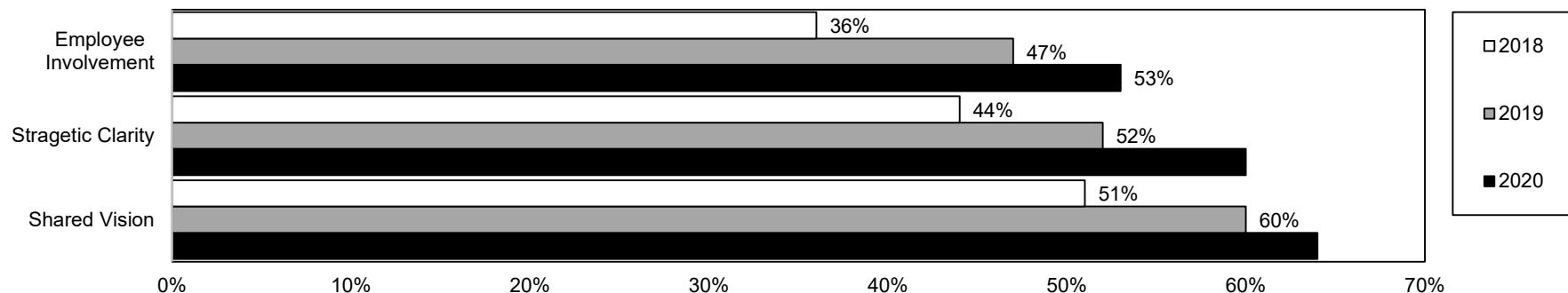
HB Section(s): 7.800

Program Name: Administration

Program is found in the following core budget(s): Director & Staff

2b. Provide a measure(s) of the program's quality.

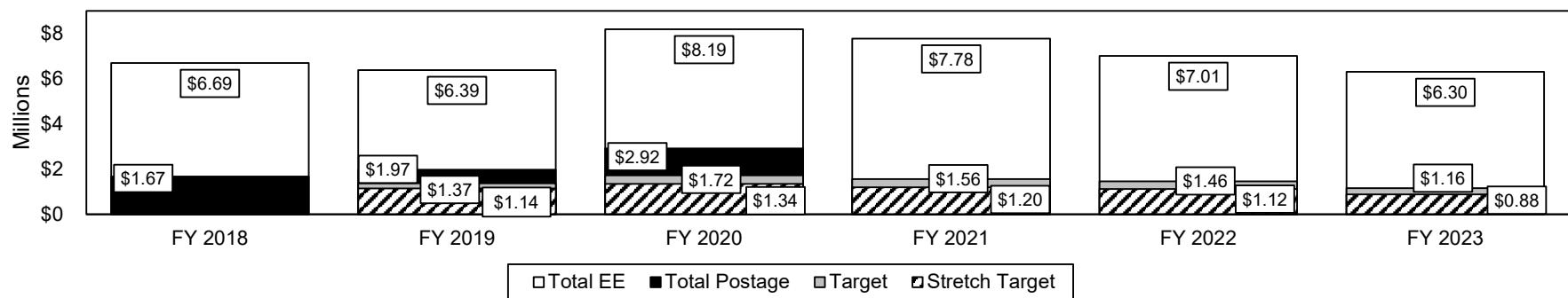
Communication by Department Management with Staff Regarding Strategic Direction of the Department⁴ Employees Who Feel They Understand:



⁴ The department is currently analyzing data in order to develop target goals for this measure.

2c. Provide a measure(s) of the program's impact.

Postage Expenses Compared to Total Department E&E Expenditures⁵



⁵ Postage costs for FY20 were increased due to the rise in unemployment claims as a result of COVID-19.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

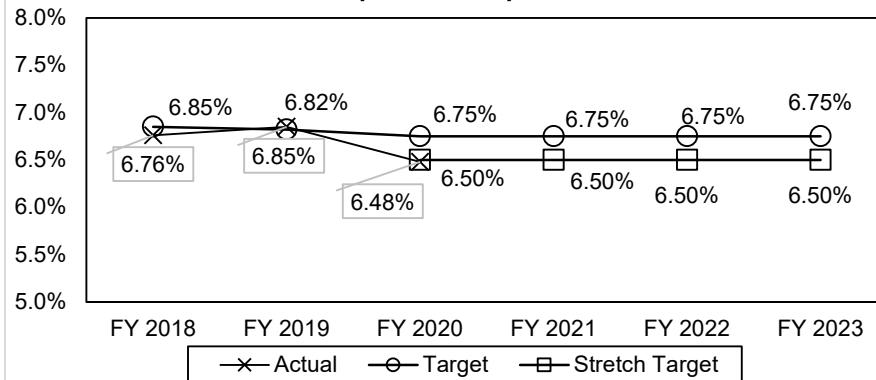
HB Section(s): 7.800

Program Name: Administration

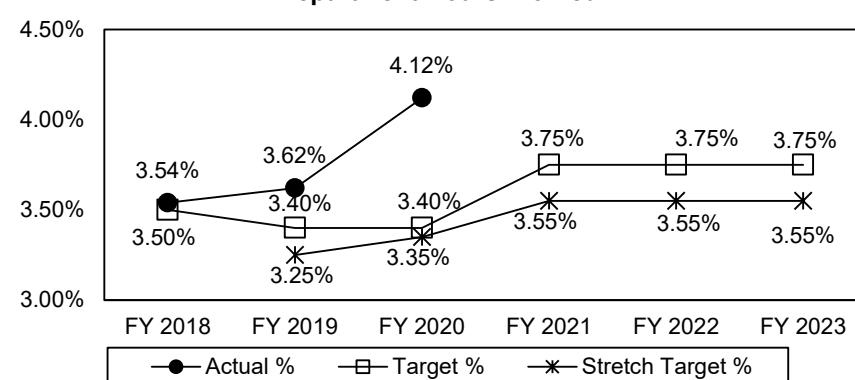
Program is found in the following core budget(s): Director & Staff

2d. Provide a measure(s) of the program's efficiency.

Department Administrative Expenditures as a Portion of Total Department Expenditures^{6, 7}



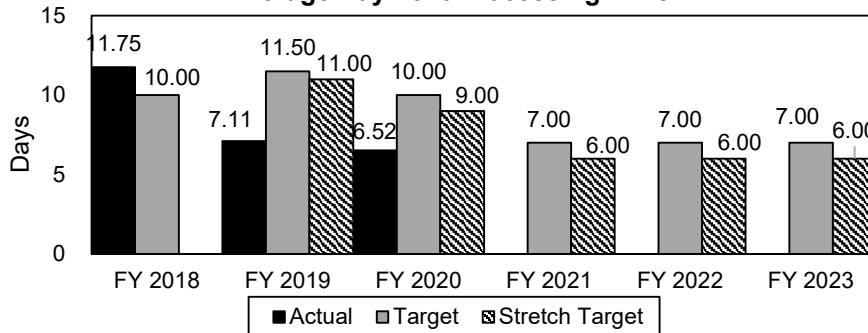
Department Administrative Hours as a Portion of Total Department Hours Worked⁷



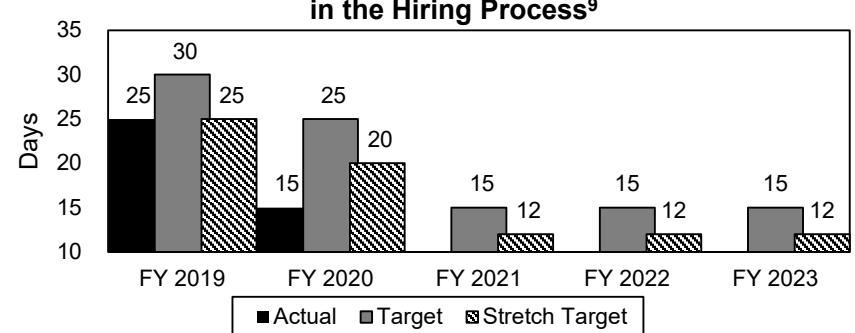
⁶As program costs and FTE decrease and administrative costs and FTE stay relatively the same, the percentages will increase. The stretch target is the minimum necessary for continued Department operations. The Department continues to work on improving Administrative efficiency.

⁷Several factors impacted the percentage of Administrative hours to Total Department Hours. There have been more Department-wide projects to improve Department operations. Also, staff levels in the Division of Employment Security were dropping in response to the low levels of unemployment until the onset of COVID-19, resulting in fewer staff overall.

Average Payment Processing Time⁸



Time to Complete HR Responsibilities in the Hiring Process⁹



⁸Minimum processing time is 7.00 days. Processing time decreased in FY 2019 because the unit was fully staffed. FY 2020 processing time decreased as employees had fewer documents to process. Travel was restricted and there were fewer purchases.

⁹The measure is defined to include only functions Human Resources can control; these functions include recruitment, eligibility review, background checks, and notification of approval. The stretch target is the minimum number of days required.

PROGRAM DESCRIPTION

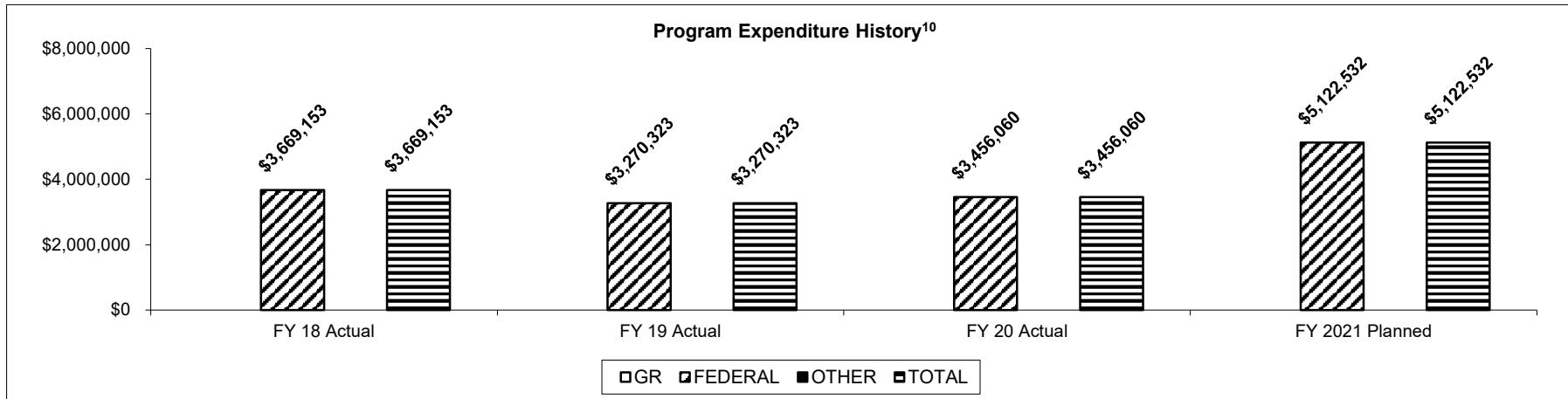
Department of Labor and Industrial Relations

HB Section(s): 7.800

Program Name: Administration

Program is found in the following core budget(s): Director & Staff

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



¹⁰ FY 2020 Planned amounts include anticipated expenditures relating to storm damage at the Dunklin Street Building in Jefferson City.

4. What are the sources of the "Other " funds?

NA

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

An administrative fund was created under Chapter 286, RSMo., which allows the Department of Labor and Industrial Relations to expend funds which relate to the administration of the laws under jurisdiction of the Department.

6. Are there federal matching requirements? If yes, please explain.

While the structure of the Division of Administration is not required, certain functions such as mailing, accounting, and so forth are mandated under departmental programs.

7. Is this a federally mandated program? If yes, please explain.

No

ADMINISTRATIVE FUND TRANSFERS

CORE DECISION ITEM

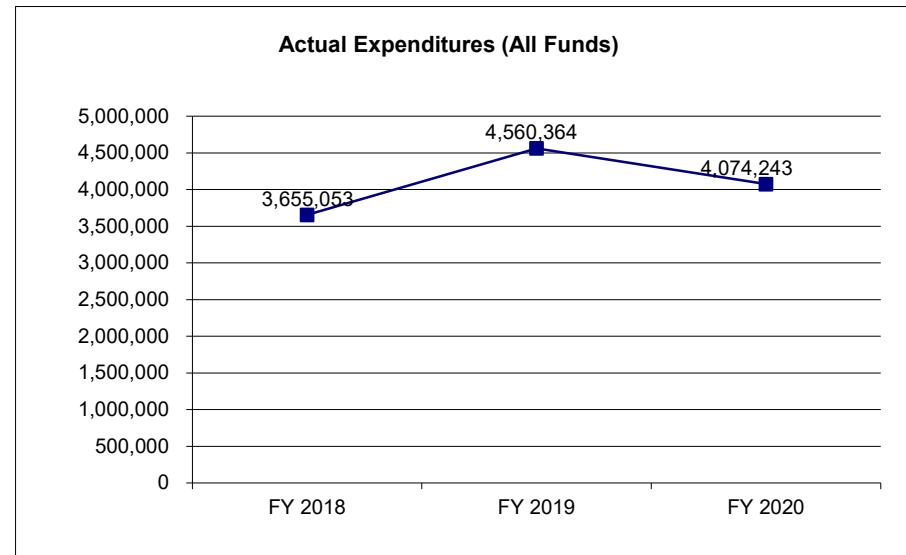
<u>Department of Labor and Industrial Relations</u>		<u>Budget Unit</u> <u>62602C</u>																																																																					
<u>Director and Staff</u>																																																																							
<u>Administrative Fund Transfer</u>		<u>HB Section</u> <u>07.805</u>																																																																					
1. CORE FINANCIAL SUMMARY																																																																							
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<p><i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i></p>																																																																							
<p>Other Funds: Workers' Compensation Fund (0652) Special Employment Security Fund (0949)</p>		<p>Other Funds: Workers' Compensation Fund (0652) Special Employment Security Fund (0949)</p>																																																																					
2. CORE DESCRIPTION																																																																							
<p>The Director and Staff pays personal services and expense and equipment expenditures from the Department of Labor and Industrial Relations (DOLIR) Administrative Fund. In compliance with its federal cost allocation plan, the Department transfers monies into this fund from four funding sources: General Revenue, Federal, Workers' Compensation, and Special Employment Security. By using the DOLIR Administrative Fund, the Department complies with the cost allocation requirements more efficiently in payment, payroll processing, and procurement. Fiscal, payroll, and procurement staff can input one-line accounting distributions rather than three-line entries, which were entered when costs were allocated over three funds for each transaction, reducing data entry by at least 67%.</p>																																																																							
<p>The transfers include amounts necessary to meet required fringe benefit transfers for these staff which are appropriated in HB 5.</p>																																																																							
3. PROGRAM LISTING (list programs included in this core funding)																																																																							
<p>Department of Labor and Industrial Relations Administrative Transfers</p>																																																																							

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u>	<u>Budget Unit</u> <u>62602C</u>
<u>Director and Staff</u>	
<u>Administrative Fund Transfer</u>	<u>HB Section</u> <u>07.805</u>

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	5,652,613	5,723,998	5,372,455	6,751,823
Less Reverted (All Funds)	(9,459)	(13,005)	(12,726)	N/A
Less Restricted (All Funds)*	0	0	0	N/A
Budget Authority (All Funds)	5,643,154	5,710,993	5,359,729	6,751,823
Actual Expenditures (All Funds)	3,655,053	4,560,364	4,074,243	N/A
Unexpended (All Funds)	1,988,101	1,150,629	1,285,486	N/A
Unexpended, by Fund:				
General Revenue	1	1	17,751	N/A
Federal	1,939,099	10,611	1,267,735	N/A
Other	49,001	5,017	0	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Transfer authority adjusted between DOLIR and OA Transfers to reflect cost allocation percentages.
- (2) Includes adjustments to transfer authority between DOLIR and OA Transfers to reflect cost allocation percentages.
- (3) Includes adjustments to transfer authority between DOLIR and OA Transfers to reflect cost allocation percentages; \$1,583 for FY2019 pay plan cost-to-continue; \$5,450 for FY2020 pay plan; and \$3,662 for personal services market adjustments.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL ADMIN SERVICES-TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	TRF	0.00	440,038	4,936,043	1,375,742	6,751,823	
	Total	0.00	440,038	4,936,043	1,375,742	6,751,823	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	872 T470	TRF	0.00	2,204	0	2,204	Core reallocations to better match planned expenditures.
Core Reallocation	872 T472	TRF	0.00	0	0	19,215	Core reallocations to better match planned expenditures.
Core Reallocation	872 T471	TRF	0.00	0	(21,419)	0	Core reallocations to better match planned expenditures.
Core Reallocation	875 T522	TRF	0.00	0	0	(43,000)	Core reallocations to better match planned expenditures.
Core Reallocation	875 T470	TRF	0.00	(81,301)	0	0	Core reallocations to better match planned expenditures.
	NET DEPARTMENT CHANGES	0.00	(79,097)	(21,419)	(23,785)	(124,301)	
DEPARTMENT CORE REQUEST							
	TRF	0.00	360,941	4,914,624	1,351,957	6,627,522	
	Total	0.00	360,941	4,914,624	1,351,957	6,627,522	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	360,941	4,914,624	1,351,957	6,627,522	
	Total	0.00	360,941	4,914,624	1,351,957	6,627,522	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
ADMIN SERVICES-TRANSFER								
CORE								
FUND TRANSFERS								
GENERAL REVENUE	393,716	0.00	440,038	0.00	360,941	0.00	0	0.00
DIV OF LABOR STANDARDS FEDERAL	26,547	0.00	73,296	0.00	100,962	0.00	0	0.00
UNEMPLOYMENT COMP ADMIN	2,444,888	0.00	3,496,297	0.00	3,447,212	0.00	0	0.00
DOLIR FEDERAL STIMULUS	0	0.00	1,366,450	0.00	1,366,450	0.00	0	0.00
WORKERS COMPENSATION	1,046,092	0.00	1,212,742	0.00	1,231,957	0.00	0	0.00
SPECIAL EMPLOYMENT SECURITY	163,000	0.00	163,000	0.00	120,000	0.00	0	0.00
TOTAL - TRF	4,074,243	0.00	6,751,823	0.00	6,627,522	0.00	0	0.00
TOTAL	4,074,243	0.00	6,751,823	0.00	6,627,522	0.00	0	0.00
GRAND TOTAL	\$4,074,243	0.00	\$6,751,823	0.00	\$6,627,522	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMIN SERVICES-TRANSFER								
CORE								
TRANSFERS OUT	4,074,243	0.00	6,751,823	0.00	6,627,522	0.00	0	0.00
TOTAL - TRF	4,074,243	0.00	6,751,823	0.00	6,627,522	0.00	0	0.00
GRAND TOTAL	\$4,074,243	0.00	\$6,751,823	0.00	\$6,627,522	0.00	\$0	0.00
GENERAL REVENUE	\$393,716	0.00	\$440,038	0.00	\$360,941	0.00		0.00
FEDERAL FUNDS	\$2,471,435	0.00	\$4,936,043	0.00	\$4,914,624	0.00		0.00
OTHER FUNDS	\$1,209,092	0.00	\$1,375,742	0.00	\$1,351,957	0.00		0.00

CORE DECISION ITEM

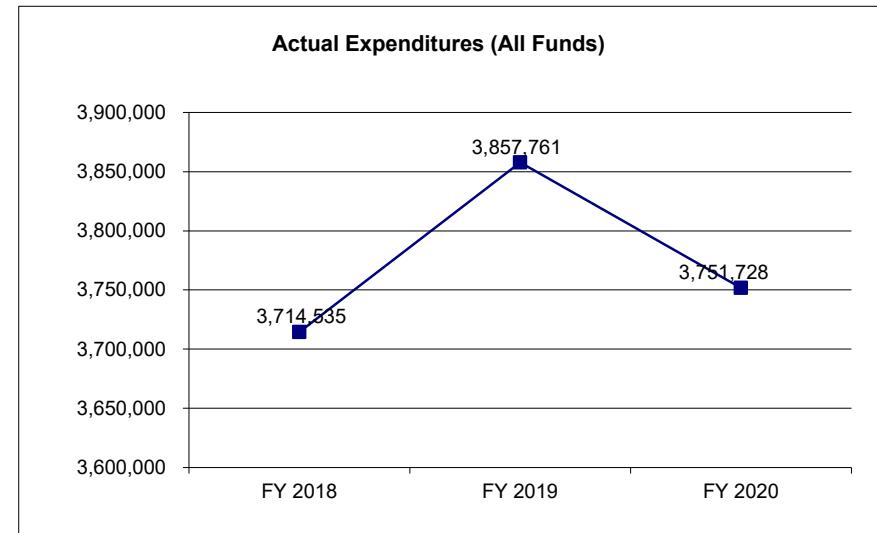
<u>Department of Labor and Industrial Relations</u> <u>Director and Staff</u> <u>Administrative Fund Transfers for OA Services</u>				<u>Budget Unit</u> 62603C <u>HB Section</u> 07.810																																																												
1. CORE FINANCIAL SUMMARY																																																																
FY 2022 Budget Request <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>GR</th> <th>Federal</th> <th>Other</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>EE</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>PSD</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TRF</td> <td>311,906</td> <td>6,893,359</td> <td>1,177,081</td> <td>8,382,346</td> </tr> <tr> <td>Total</td> <td>311,906</td> <td>6,893,359</td> <td>1,177,081</td> <td>8,382,346</td> </tr> </tbody> </table>					GR	Federal	Other	Total	PS	0	0	0	0	EE	0	0	0	0	PSD	0	0	0	0	TRF	311,906	6,893,359	1,177,081	8,382,346	Total	311,906	6,893,359	1,177,081	8,382,346	FY 2022 Governor's Recommendation <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>GR</th> <th>Federal</th> <th>Other</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>EE</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>PSD</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TRF</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Total</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> </tbody> </table>		GR	Federal	Other	Total	PS	0	0	0	0	EE	0	0	0	0	PSD	0	0	0	0	TRF	0	0	0	0	Total	0	0	0	0
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2. CORE DESCRIPTION																																																																
<p>These transfers fund personal services, fringe benefits, and expense and equipment costs for Office of Administration (OA)/Information Technology Services Division (ITSD) for projects authorized by the Department of Labor and Industrial Relations (DOLIR) using Administrative Services Funds. In compliance with its Federal cost allocation plan, the Department transfers monies into this fund from four funding sources: General Revenue, Federal, Workers' Compensation, and Special Employment Security. By using the DOLIR Administrative Fund, the Department complies with the cost allocation requirements more efficiently.</p> <p>The appropriations for OA\ITSD personal services, fringe benefits, and expense and equipment appear in HB 5.</p> <p>OA Facilities Management, Design and Construction charges the DOLIR Administrative Fund directly for state-owned building operational costs; a portion of other OA Divisions' expenses that support DOLIR functions are also charged to the fund.</p>																																																																
3. PROGRAM LISTING (list programs included in this core funding)																																																																
OA/ITSD - DOLIR	OA/FMDC-State Owned Building Operations		Office of Administration Departmental Support																																																													

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u>	<u>Budget Unit</u> 62603C
<u>Director and Staff</u>	
<u>Administrative Fund Transfers for OA Services</u>	<u>HB Section</u> 07.810

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	6,350,731	6,215,704	6,304,704	8,191,705
Less Reverted (All Funds)	(4,627)	(4,627)	(5,227)	N/A
Less Restricted (All Funds)*	0	0	0	N/A
Budget Authority (All Funds)	6,346,104	6,211,077	6,299,477	8,191,705
Actual Expenditures (All Funds)	3,714,535	3,857,761	3,751,728	N/A
Unexpended (All Funds)	2,631,569	2,353,316	2,547,749	N/A
Unexpended, by Fund:				
General Revenue	3	0	42,249	N/A
Federal	2,501,034	2,161,726	2,419,695	N/A
Other	130,532	191,590	85,805	N/A
	(1)	(2)		



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Reallocated funding based on the cost allocation plan.
- (2) Reallocated funding based on the cost allocation plan.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL ADMIN SERVICES OA - TRANSFER

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	TRF	0.00	164,265	6,893,359	1,134,081	8,191,705	
	Total	0.00	164,265	6,893,359	1,134,081	8,191,705	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	876 T891	TRF	0.00	0	(10,960)	0	(10,960) Core reallocations to better match planned expenditures.
Core Reallocation	876 T890	TRF	0.00	0	10,960	0	10,960 Core reallocations to better match planned expenditures.
Core Reallocation	877 T889	TRF	0.00	81,301	0	0	81,301 Core reallocations to better match planned expenditures.
Core Reallocation	877 T909	TRF	0.00	0	0	43,000	43,000 Core reallocations to better match planned expenditures.
Core Reallocation	1111 T889	TRF	0.00	66,340	0	0	66,340 Core reallocations to better match planned expenditures.
NET DEPARTMENT CHANGES		0.00	147,641	0	43,000	190,641	
DEPARTMENT CORE REQUEST							
	TRF	0.00	311,906	6,893,359	1,177,081	8,382,346	
	Total	0.00	311,906	6,893,359	1,177,081	8,382,346	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	311,906	6,893,359	1,177,081	8,382,346	
	Total	0.00	311,906	6,893,359	1,177,081	8,382,346	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
ADMIN SERVICES OA - TRANSFER								
CORE								
FUND TRANSFERS								
GENERAL REVENUE	126,750	0.00	164,265	0.00	311,906	0.00	0	0.00
DIV OF LABOR STANDARDS FEDERAL	7,850	0.00	42,815	0.00	53,775	0.00	0	0.00
UNEMPLOYMENT COMP ADMIN	2,709,412	0.00	4,963,543	0.00	4,952,583	0.00	0	0.00
DOLIR FEDERAL STIMULUS	0	0.00	1,887,001	0.00	1,887,001	0.00	0	0.00
WORKERS COMPENSATION	907,716	0.00	1,048,277	0.00	1,048,277	0.00	0	0.00
SPECIAL EMPLOYMENT SECURITY	0	0.00	85,804	0.00	128,804	0.00	0	0.00
TOTAL - TRF	3,751,728	0.00	8,191,705	0.00	8,382,346	0.00	0	0.00
TOTAL	3,751,728	0.00	8,191,705	0.00	8,382,346	0.00	0	0.00
GRAND TOTAL	\$3,751,728	0.00	\$8,191,705	0.00	\$8,382,346	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMIN SERVICES OA - TRANSFER								
CORE								
TRANSFERS OUT	3,751,728	0.00	8,191,705	0.00	8,382,346	0.00	0	0.00
TOTAL - TRF	3,751,728	0.00	8,191,705	0.00	8,382,346	0.00	0	0.00
GRAND TOTAL	\$3,751,728	0.00	\$8,191,705	0.00	\$8,382,346	0.00	\$0	0.00
GENERAL REVENUE	\$126,750	0.00	\$164,265	0.00	\$311,906	0.00		0.00
FEDERAL FUNDS	\$2,717,262	0.00	\$6,893,359	0.00	\$6,893,359	0.00		0.00
OTHER FUNDS	\$907,716	0.00	\$1,134,081	0.00	\$1,177,081	0.00		0.00

LABOR AND INDUSTRIAL RELATIONS COMMISSION

CORE DECISION ITEM

Department of Labor and Industrial Relations				Budget Unit 63701C																																																															
Labor and Industrial Relations Commission																																																																			
Administration				HB Section 07.815																																																															
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Total	0	0	0	0																																																															
FTE 0.00 6.21 7.38 13.59				FTE 0.00 0.00 0.00 0.00																																																															
<i>Est. Fringe</i> 4,775 237,372 275,784 517,931 <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				<i>Est. Fringe</i> 0 0 0 0 <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>																																																															
Other Funds: Workers' Compensation Fund (0652)				Other Funds: Workers' Compensation Fund (0652)																																																															
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<p>The Labor and Industrial Relations Commission (LIRC) serves as a higher authority appeal board for the Department of Labor and Industrial Relations (DOLIR). The LIRC reviews all appeals from decisions and awards in workers' compensation cases, unemployment insurance cases, and tort victims' compensation cases. The LIRC also hears and decides prevailing wage disputes. Decisions and opinions issued by the LIRC are subject to review by the Missouri Supreme Court and courts of lesser appellate jurisdiction.</p> <p>In addition, the LIRC is charged with the statutory authority to approve or disapprove all proposed rules or regulations promulgated by the Divisions within the Department. The LIRC nominates and the Governor appoints a director to be the chief executive officer of the Department with the advice and consent of the Senate.</p>																																																																			
3. PROGRAM LISTING (list programs included in this core funding)																																																																			
Higher Authority Review																																																																			

CORE DECISION ITEM

Department of Labor and Industrial Relations
 Labor and Industrial Relations Commission
 Administration

Budget Unit 63701C

HB Section 07.815

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	1,007,001	1,012,520	1,032,418	1,046,807
Less Reverted (All Funds)	(302)	(304)	(309)	(311)
Less Restricted (All Funds)	0	0	0	(7,154)
Budget Authority (All Funds)	1,006,699	1,012,216	1,032,109	1,039,342
Actual Expenditures (All Funds)	776,695	896,671	943,833	N/A
Unexpended (All Funds)	<u>230,004</u>	<u>115,545</u>	<u>88,276</u>	N/A
Unexpended, by Fund:				
General Revenue	(1)	5	680	N/A
Federal	150,038	104,184	70,810	N/A
Other	79,967	11,356	16,786	N/A
	(1)	(2)	(3)	(4)

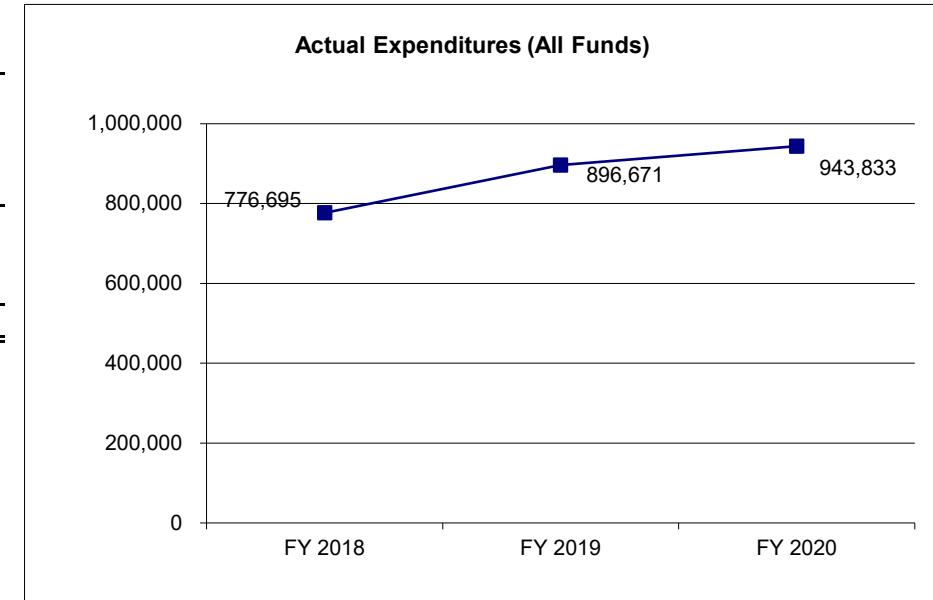
*Current Year restricted amount is as of July 21, 2020.

Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Decreased expenditures were caused by a 15-month vacancy of a Commission Member and a vacancy in a Legal Counsel position.
- (2) Includes \$5,519 for the FY2019 pay plan.
- (3) Increased PS expenditures of \$38,662 were caused by filling a vacant attorney position in March 2020 and increased E&E expenditures of \$8,503 were due to increased legal research subscription costs, increased copier rental costs, and increase travel expenses.
- (4) Increased PS appropriations of \$14,379 FY2020 pay plan cost-to-continue and increased E&E appropriation of \$10 allocated from Dept-wide mileage appropriation.



CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL INDUSTRIAL COMMISSION

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	13.59	16,450	434,370	536,555	987,375	
	EE	0.00	1,038	24,800	33,594	59,432	
	Total	13.59	17,488	459,170	570,149	1,046,807	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	870 3096	PS	0.00	0	0	(16,900)	(16,900) Core reallocations to better match planned expenditures.
Core Reallocation	870 3094	PS	0.00	0	18,934	0	18,934 Core reallocations to better match planned expenditures.
Core Reallocation	870 3092	PS	0.00	(2,034)	0	0	(2,034) Core reallocations to better match planned expenditures.
Core Reallocation	870 4526	EE	0.00	0	0	(2,315)	(2,315) Core reallocations to better match planned expenditures.
Core Reallocation	870 3095	EE	0.00	0	2,485	0	2,485 Core reallocations to better match planned expenditures.
Core Reallocation	870 3093	EE	0.00	(170)	0	0	(170) Core reallocations to better match planned expenditures.
Core Reallocation	1102 3094	PS	0.50	0	0	0	0 Core reallocations to better match planned expenditures.
Core Reallocation	1102 3096	PS	(0.50)	0	0	0	0 Core reallocations to better match planned expenditures.
	NET DEPARTMENT CHANGES	0.00	(2,204)	21,419	(19,215)	0	
DEPARTMENT CORE REQUEST							
	PS	13.59	14,416	453,304	519,655	987,375	
	EE	0.00	868	27,285	31,279	59,432	
	Total	13.59	15,284	480,589	550,934	1,046,807	
GOVERNOR'S RECOMMENDED CORE							
	PS	13.59	14,416	453,304	519,655	987,375	
	EE	0.00	868	27,285	31,279	59,432	
	Total	13.59	15,284	480,589	550,934	1,046,807	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
INDUSTRIAL COMMISSION								
CORE								
PERSONAL SERVICES								
GENERAL REVENUE	9,103	0.13	16,450	0.00	14,416	0.00	0	0.00
UNEMPLOYMENT COMP ADMIN	445,477	5.82	434,370	6.21	453,304	6.71	0	0.00
WORKERS COMPENSATION	451,700	6.53	536,555	7.38	519,655	6.88	0	0.00
TOTAL - PS	906,280	12.48	987,375	13.59	987,375	13.59	0	0.00
EXPENSE & EQUIPMENT								
GENERAL REVENUE	218	0.00	1,038	0.00	868	0.00	0	0.00
UNEMPLOYMENT COMP ADMIN	15,142	0.00	24,800	0.00	27,285	0.00	0	0.00
WORKERS COMPENSATION	22,193	0.00	33,594	0.00	31,279	0.00	0	0.00
TOTAL - EE	37,553	0.00	59,432	0.00	59,432	0.00	0	0.00
TOTAL	943,833	12.48	1,046,807	13.59	1,046,807	13.59	0	0.00
GRAND TOTAL	\$943,833	12.48	\$1,046,807	13.59	\$1,046,807	13.59	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
INDUSTRIAL COMMISSION								
CORE								
LEGAL COUNSEL	228,446	3.28	277,519	4.00	277,519	4.00	0	0.00
CHIEF COUNSEL	89,078	1.00	91,795	1.00	91,795	1.00	0	0.00
COMMISSION MEMBER	222,693	2.00	229,505	2.00	229,505	2.00	0	0.00
COMMISSION CHAIRMAN	111,354	1.00	114,787	1.00	114,787	1.00	0	0.00
OFFICE WORKER MISCELLANEOUS	8,691	0.20	9,958	0.49	10,753	0.49	0	0.00
SPECIAL ASST OFFICE & CLERICAL	173,441	4.00	188,893	4.10	188,098	4.10	0	0.00
PRINCIPAL ASST BOARD/COMMISSION	72,577	1.00	74,918	1.00	74,918	1.00	0	0.00
TOTAL - PS	906,280	12.48	987,375	13.59	987,375	13.59	0	0.00
TRAVEL, IN-STATE	312	0.00	1,476	0.00	1,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,239	0.00	0	0.00	1,500	0.00	0	0.00
SUPPLIES	23,244	0.00	32,250	0.00	30,500	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	3,967	0.00	7,513	0.00	7,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	4,304	0.00	13,104	0.00	10,542	0.00	0	0.00
PROFESSIONAL SERVICES	1,676	0.00	4,060	0.00	4,000	0.00	0	0.00
M&R SERVICES	577	0.00	535	0.00	750	0.00	0	0.00
OFFICE EQUIPMENT	616	0.00	344	0.00	750	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	30	0.00	30	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	31	0.00	31	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	29	0.00	29	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	1,578	0.00	30	0.00	2,750	0.00	0	0.00
MISCELLANEOUS EXPENSES	40	0.00	30	0.00	50	0.00	0	0.00
TOTAL - EE	37,553	0.00	59,432	0.00	59,432	0.00	0	0.00
GRAND TOTAL	\$943,833	12.48	\$1,046,807	13.59	\$1,046,807	13.59	\$0	0.00
GENERAL REVENUE	\$9,321	0.13	\$17,488	0.00	\$15,284	0.00		0.00
FEDERAL FUNDS	\$460,619	5.82	\$459,170	6.21	\$480,589	6.71		0.00
OTHER FUNDS	\$473,893	6.53	\$570,149	7.38	\$550,934	6.88		0.00

FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 63701C	DEPARTMENT: Labor and Industrial Relations
BUDGET UNIT NAME: Labor and Industrial Relations Commission	
HOUSE BILL SECTION: 7.815	DIVISION: Labor and Industrial Relations Commission

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST

The Labor and Industrial Relations Commission is requesting 10% flexibility for Funds 0101, 0652, and 0948. Due the uncertainty regarding what type of costs might be incurred related to hearing Prevailing Wage objections and Workers' Compensation and Unemployment Insurance appeals, and the small dollar amount of the appropriations, the commission needs the ability to adapt and pay any costs incurred based on the ratio of types cases they are processing.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
None	None	10% from PS to E&E for funds 0101, 0652, and 0948 10% from E&E to PS for funds 0101, 0652, and 0948

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
None	To continue operations should there be any unexpected costs.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.815

Higher Authority Review

Program is found in the following core budget(s): Labor and Industrial Relations Commission

1a. What strategic priority does this program address?

Growth: Foster a business environment to support economic development.

Provide fair and consistent review of appeals and approve department regulations.

1b. What does this program do?

- Reviews appeals of decisions and awards in workers' compensation, unemployment insurance compensation, and tort victims' compensation programs, and prevailing wage objections in compliance with Chapters 286, 287, 288, 290, 537, RSMo, to ensure fair and consistent application of the law.
- Renders impartial written opinions that can impact workers and employers, which can be appealed through the Missouri court system.
- Reviews and approves department regulations to ensure compliance with state and federal laws and equal protection for workers and employers.

2a. Provide an activity measure(s) for the program.

	FY 2018		FY 2019		FY 2020		FY 2021 Projected	FY 2022 Projected ⁵	FY 2023 Projected ⁵
	Projected	Actual	Projected	Actual	Projected	Actual			
Employment Security									
Appeals Filed ³	1,529	1,702	1,915	1,935	2,103	1,667	6,000	2,000	1,869
Decisions Issued ⁴	1,838	2,472	2,270	2,179	2,348	1,676	7,000	2,300	2,132
Oral Arguments Heard	1	0	0	0	0	1	0	0	0
Appeals to Court	169	172	216	280	253	245	750	280	235
Workers' Compensation									
Appeals Filed	285	256	297	253	295	204	270	270	270
Decisions Issued	427	370	413	307	389	255	360	360	360
Oral Arguments Heard	28	35	35	25	40	32	33	33	33
Appeals to Court	39	20	36	53	43	67	46	46	46
Prevailing Wage¹									
Objections Filed	130	0	350	76	44	10	19	19	19
Decisions Issued ²	30	1	30	6	9	11	5	5	5
Hearings Held	3	0	3	1	0	0	0	0	0
Appeals to Court	0	0	1	0	0	1	0	0	0

¹A new prevailing wage law, effective August 28, 2018, changed the occupational title descriptions, which could lead to numerous objections from the crafts involved. Objections filed are counted by each craft and each county. They can be combined for hearings and decisions issued in the interest of efficiency.

²The Division of Labor Standards filed a Motion to Amend to correct errors in FY 2018. The LIRC issued an Order granting the Motion to Amend.

³The increase in the estimated number of appeals for FY2021 is based on the unprecedented number of unemployment claims filed during the COVID-19 pandemic.

⁴The number of decisions issued is often higher than the number of appeals filed due to the fact that multiple decisions may be issued per appeal.

⁵Projections are difficult to predict since the decision to appeal depends solely on an individual's choice. Projections are based on averages of the previous five years' data.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

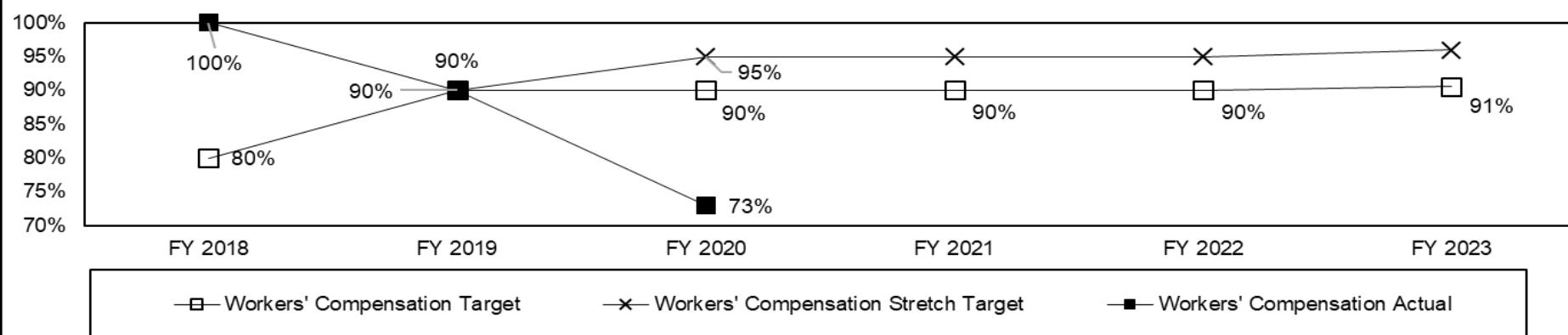
HB Section(s): 7.815

Higher Authority Review

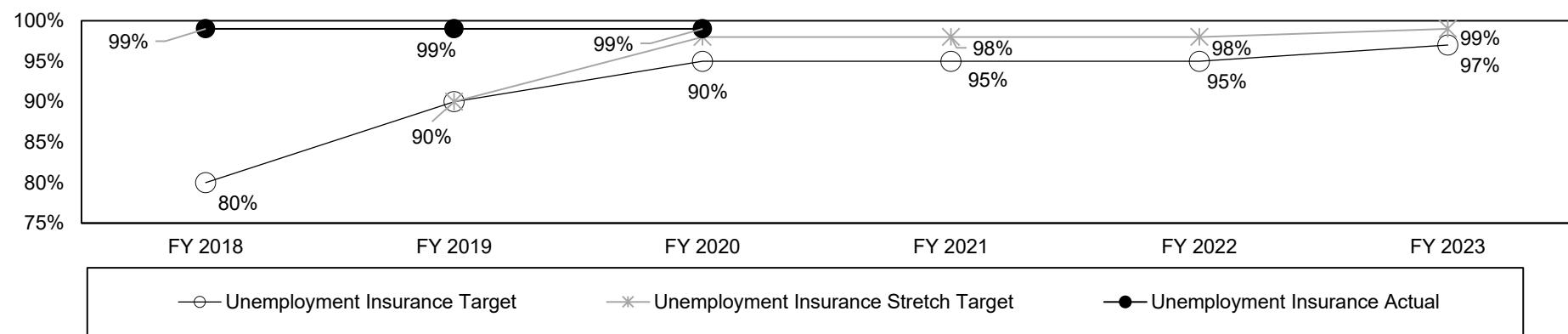
Program is found in the following core budget(s): Labor and Industrial Relations Commission

2b. Provide a measure(s) of the program's quality.

Percentage of LIRC Worker's Compensation Appeals Decisions Affirmed by the Court⁶



Percentage of LIRC Appeals Unemployment Insurance Decisions Affirmed by the Court⁶



⁶ Because affirmations by courts are highly dependent on the location, nature, and time of the appeal, it is difficult to predict the affirmation rate.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.815

Higher Authority Review

Program is found in the following core budget(s): Labor and Industrial Relations Commission

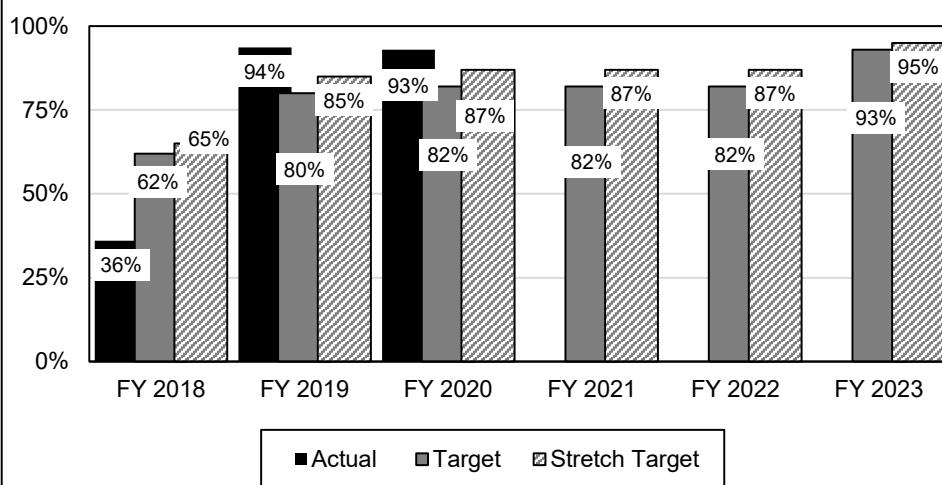
2c. Provide a measure(s) of the program's impact.

Since the Labor and Industrial Relations Commission is an appellate body, the impact of decisions are reflected in the affirmation of decisions by the courts.

2d. Provide a measure(s) of the program's efficiency.

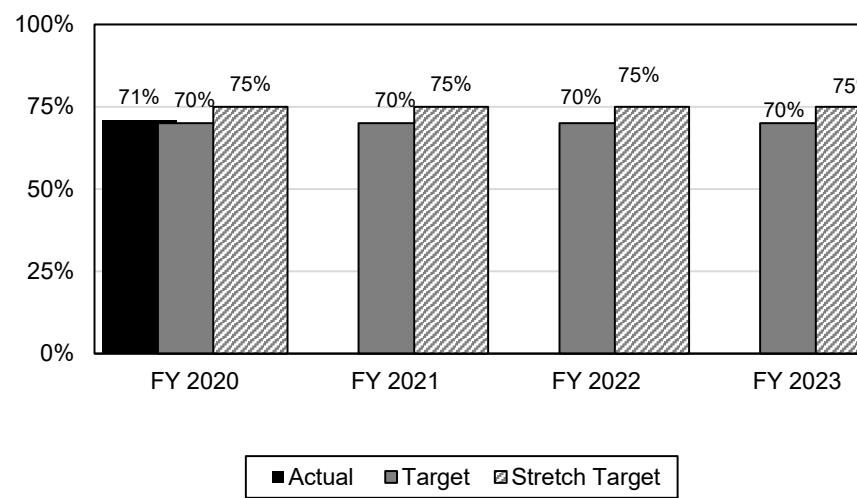
Percentage of Unemployment Insurance Appeals with Case Aging Less than 40 Days^{7, 8, 9}

(in accordance with Federally-accepted timeframes of 50% completion within 40 days)



Percentage of Workers' Compensation Filings Resolved within 90 Days of Submission¹⁰

(generally accepted as reasonable timeframe for completion)



⁷The implementation of UInteract, the new Unemployment Insurance computer system, resulted in a slow-down of appeal decision issuance. In addition, there was a 15 month vacancy of a Commission Member position.

⁸From July to November 2018, the LIRC has improved the percentage of unemployment insurance appeals with a case age of less than 40 days to 92.5%. Targets and Stretch were readjusted for FYs 2020 and 2021.

⁹The FY2021 targets were established prior to the COVID-19 pandemic. Actuals for the year are estimated to still be completed within the targeted goal; however, a larger than normal volume of cases are also estimated.

¹⁰The new measure runs from the date a case is submitted to the LIRC for review, and includes every workers' compensation matter disposed by the LIRC within the fiscal year, whereas the prior 180-day measure ran from the date of an application for review and only included appeals from an ALJ award. This measure was enacted in FY2021 and actual data is only available from FY2020 onward.

PROGRAM DESCRIPTION

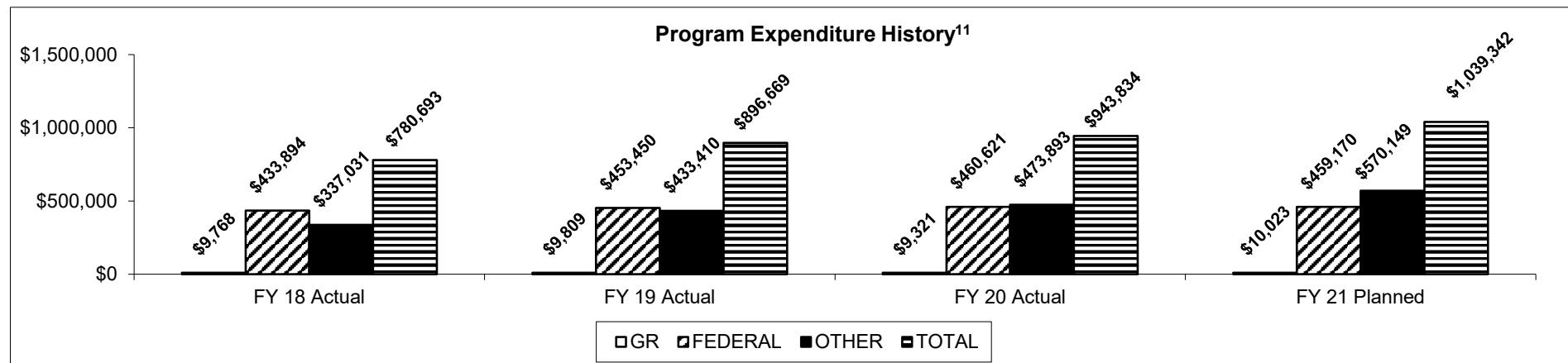
Department of Labor and Industrial Relations

HB Section(s): 7.815

Higher Authority Review

Program is found in the following core budget(s): Labor and Industrial Relations Commission

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



¹¹ The decrease in FY 2018 expenditures is attributed to a 15-month vacancy of a commission member position and staff vacancies throughout the fiscal year. FY 2021 Planned is after reserves and restrictions.

4. What are the sources of the "Other" funds?

Workers' Compensation Administration

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

The duties and responsibilities of the Labor and Industrial Relations Commission (LIRC) are set out in Chapter 286, RSMo. Appeal rights for the various cases are authorized as follows: Workers' Compensation, Chapter 287, RSMo; Unemployment Insurance, Chapter 288, RSMo; Tort Victims' Compensation, Chapter 537, RSMo; and Prevailing Wage Objections, Chapter 290, RSMo.

6. Are there federal matching requirements? If yes, please explain.

The LIRC does not have Federal matching requirements; however, the LIRC receives Federal funds for review of unemployment insurance cases.

7. Is this a federally mandated program? If yes, please explain.

No

DIVISION OF LABOR STANDARDS

CORE DECISION ITEM

Department of Labor and Industrial Relations				Budget Unit	62713C																																																												
Division of Labor Standards																																																																	
Administration				HB Section																																																													
1. CORE FINANCIAL SUMMARY																																																																	
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<i>Est. Fringe</i>	233,747	56,337	56,337	346,422																																																													
<p><i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i></p>																																																																	
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Child Labor Enforcement Fund (0826)			Child Labor Enforcement Fund (0826)																																																														
Workers' Compensation Administration Fund (0652)			Workers' Compensation Administration Fund (0652)																																																														
2. CORE DESCRIPTION																																																																	
<p>This core includes funding for the administration of all of the Division of Labor Standards' programs. It also includes funding for the Research and Analysis Unit. This unit collects and analyzes data relating to occupational and work-related injuries and fatalities in cooperation with the U.S. Department of Labor, Bureau of Labor Statistics (US DOL/BLS). This program is funded 50% federal funds and 50% state-match provided by the Workers' Compensation Administration Fund (0652).</p> <p>It also includes the Wage and Hour Program which provides education, training, employer and employee assistance, and case reviews for Youth Employment and Minimum Wage, and responds to thousands of inquiries from employers and workers in Missouri about their responsibilities and rights under state and federal Wage and Hour Laws.</p>																																																																	
3. PROGRAM LISTING (list programs included in this core funding)																																																																	
DLS Administration		Wage & Hour Program		Research & Analysis																																																													

CORE DECISION ITEM

Department of Labor and Industrial Relations
 Division of Labor Standards
 Administration

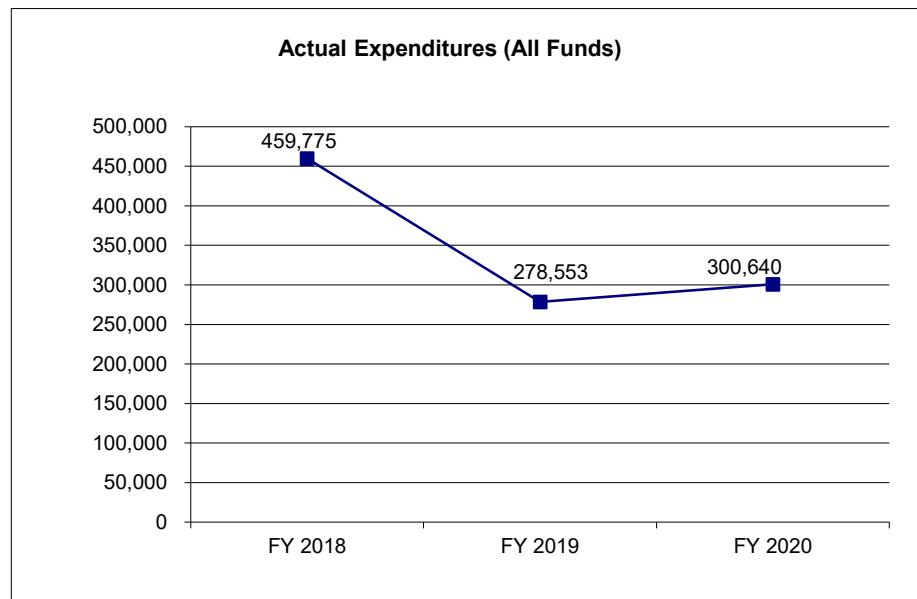
Budget Unit 62713C

HB Section 07.820

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	745,109	418,895	464,875	687,324
Less Reverted (All Funds)	(14,343)	(11,235)	(10,582)	(8,015)
Less Restricted (All Funds)*	0	0	0	(127,686)
Budget Authority (All Funds)	730,766	407,660	454,293	551,623
Actual Expenditures (All Funds)	459,775	278,553	300,640	N/A
Unexpended (All Funds)	270,991	129,107	153,653	N/A
Unexpended, by Fund:				
General Revenue	48,827	19,732	46,595	N/A
Federal	32,670	32,670	32,670	N/A
Other	189,494	76,705	74,389	N/A
	(1)	(2)	(3)	

*Current Year restricted amount is as of July 21, 2020.



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Includes a core reduction of (\$100,000) in excess authority in the Child Labor Fund; a Governor's core reduction of (\$77,214) and (0.99) FTE in GR; a core reallocation of (\$151,145) and (2.50) FTE to Mine and Cave Safety; and \$2,145 for FY2019 pay plan.
- (2) Includes an NDI for \$39,744 and 1.00 FTE for Prevailing Wage; \$2,145 for cost-to-continue FY2019 pay plan; and \$4,091 for FY2020 pay plan. Actual expenditures increased due to the pay plans and additional staff.
- (3) Includes an NDI for \$39,959 and 1.00 FTE for Prevailing Wage; \$6,406 for cost-to-continue FY2020 pay plan, core reallocation of \$177,910 related to the Research and Analysis Unit; and \$174 reallocation of mileage reimbursement.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL ADMINISTRATION/LS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	12.22	357,102	79,788	79,788	516,678	
	EE	0.00	37,563	42,900	89,773	170,236	
	PD	0.00	210	100	100	410	
	Total	12.22	394,875	122,788	169,661	687,324	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	1136 8667	PS	(0.03)	(3,325)	0	0	(3,325) Core reallocations to better match planned expenditures.
Core Reallocation	1136 8671	PS	(0.24)	(15,476)	0	0	(15,476) Core reallocations to better match planned expenditures.
Core Reallocation	1136 8670	PS	0.27	18,801	0	0	18,801 Core reallocations to better match planned expenditures.
Core Reallocation	1142 3565	PS	0.00	0	0	5,482	5,482 Core reallocations to better match planned expenditures.
Core Reallocation	1142 2320	PS	0.00	0	5,482	0	5,482 Core reallocations to better match planned expenditures.
	NET DEPARTMENT CHANGES		0.00	0	5,482	5,482	10,964
DEPARTMENT CORE REQUEST							
	PS	12.22	357,102	85,270	85,270	527,642	
	EE	0.00	37,563	42,900	89,773	170,236	
	PD	0.00	210	100	100	410	
	Total	12.22	394,875	128,270	175,143	698,288	
GOVERNOR'S RECOMMENDED CORE							
	PS	12.22	357,102	85,270	85,270	527,642	
	EE	0.00	37,563	42,900	89,773	170,236	
	PD	0.00	210	100	100	410	
	Total	12.22	394,875	128,270	175,143	698,288	

DECISION ITEM SUMMARY

Budget Unit	FY 2020		FY 2020		FY 2021		FY 2021		FY 2022		FY 2022		*****	*****
Decision Item	ACTUAL	DOLLAR	ACTUAL	FTE	BUDGET	DOLLAR	BUDGET	FTE	DEPT REQ	DOLLAR	FTE	DEPT REQ	SECURED	SECURED
Budget Object Summary													COLUMN	COLUMN
Fund														
ADMINISTRATION/LS														
CORE														
PERSONAL SERVICES														
GENERAL REVENUE	282,880		6.37		357,102		8.22		357,102		8.22		0	0.00
DIV OF LABOR STANDARDS FEDERAL	0		0.00		79,788		2.00		85,270		2.00		0	0.00
WORKERS COMPENSATION	0		0.00		79,788		2.00		85,270		2.00		0	0.00
TOTAL - PS	282,880		6.37		516,678		12.22		527,642		12.22		0	0.00
EXPENSE & EQUIPMENT														
GENERAL REVENUE	12,698		0.00		37,563		0.00		37,563		0.00		0	0.00
DIV OF LABOR STANDARDS FEDERAL	0		0.00		42,900		0.00		42,900		0.00		0	0.00
WORKERS COMPENSATION	0		0.00		10,330		0.00		10,330		0.00		0	0.00
CHILD LABOR ENFORCEMENT	5,062		0.00		79,443		0.00		79,443		0.00		0	0.00
TOTAL - EE	17,760		0.00		170,236		0.00		170,236		0.00		0	0.00
PROGRAM-SPECIFIC														
GENERAL REVENUE	0		0.00		210		0.00		210		0.00		0	0.00
DIV OF LABOR STANDARDS FEDERAL	0		0.00		100		0.00		100		0.00		0	0.00
CHILD LABOR ENFORCEMENT	0		0.00		100		0.00		100		0.00		0	0.00
TOTAL - PD	0		0.00		410		0.00		410		0.00		0	0.00
TOTAL	300,640		6.37		687,324		12.22		698,288		12.22		0	0.00
GRAND TOTAL	\$300,640		6.37		\$687,324		12.22		\$698,288		12.22		\$0	0.00

FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 62713C	DEPARTMENT: Labor and Industrial Relations
BUDGET UNIT NAME: Labor Standards Administration	
HOUSE BILL SECTION: 7.820	DIVISION: Division of Labor Standards

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST

The Division of Labor Standards Administration is requesting 10% flexibility for Fund 0101. This will allow the division to more efficiently use its budget and to address any unanticipated costs.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
None	None	10% from PS to E&E 10% from E&E to PS

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
None	Continuation of operations should there be any unexpected costs.

FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 62713C	DEPARTMENT: Labor and Industrial Relations
BUDGET UNIT NAME: Labor Standards Wage & Hour	
HOUSE BILL SECTION: 7.820	DIVISION: Division of Labor Standards

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST

The Division of Labor Standards, Wage and Hour Section is requesting 10% flexibility for Fund 0101 between PS & EE and between General Revenue appropriations for the Youth Employment, Prevailing Wage, and Minimum Wage Programs. This will allow the program to make adjustments to accurately report expenses related to the types of cases investigated and to cover any unanticipated costs.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
None.	None.	10% from PS to E&E 10% from E&E to PS 10% between appropriations for Youth Employment, Prevailing Wage and Minimum Wage

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
None.	Flexibility will be used to pay staff and expenses for those who review complaints within any of the three areas - Youth Employment, Minimum Wage, or Prevailing Wage - should the appropriated amount prove insufficient.

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMINISTRATION/LS								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	15,407	0.42	71,826	2.00	0	0.00	0	0.00
SR OFFICE SUPPORT ASSISTANT	367	0.01	28,716	1.00	0	0.00	0	0.00
RESEARCH ANAL I	23,644	0.59	34,996	1.00	0	0.00	0	0.00
EXECUTIVE I	30,181	0.85	27,153	0.75	0	0.00	0	0.00
WAGE & HOUR INVESTIGATOR I	41,846	1.19	67,456	2.11	0	0.00	0	0.00
WAGE & HOUR INVESTIGATOR II	62,484	1.54	131,215	3.10	0	0.00	0	0.00
WAGE & HOUR INVESTIGATOR III	21,083	0.46	0	0.00	0	0.00	0	0.00
MINE INSPECTOR	3,157	0.07	0	0.00	0	0.00	0	0.00
RESEARCH MANAGER B2	0	0.00	65,156	1.00	0	0.00	0	0.00
LABOR & INDUSTRIAL REL MGR B1	63,815	1.02	63,452	1.00	0	0.00	0	0.00
DIVISION DIRECTOR	20,896	0.22	26,708	0.26	26,708	0.26	0	0.00
ADMIN SUPPORT ASSISTANT	0	0.00	0	0.00	0	0.00	0	0.00
ADMIN SUPPORT PROFESSIONAL	0	0.00	0	0.00	27,153	0.75	0	0.00
LEAD CUSTOMER SERVICE REP	0	0.00	0	0.00	41,118	1.00	0	0.00
RESEARCH/DATA ASSISTANT	0	0.00	0	0.00	30,000	1.00	0	0.00
ASSOC RESEARCH/DATA ANALYST	0	0.00	0	0.00	75,384	2.00	0	0.00
RESEARCH DATA ANALYSIS SPV/MGR	0	0.00	0	0.00	65,156	1.00	0	0.00
HEALTH AND SAFETY MANAGER	0	0.00	0	0.00	63,452	1.00	0	0.00
REGULATORY AUDITOR	0	0.00	0	0.00	198,671	5.21	0	0.00
TOTAL - PS	282,880	6.37	516,678	12.22	527,642	12.22	0	0.00
TRAVEL, IN-STATE	4,163	0.00	42,266	0.00	42,240	0.00	0	0.00
TRAVEL, OUT-OF-STATE	1,307	0.00	6,819	0.00	6,800	0.00	0	0.00
SUPPLIES	3,363	0.00	29,473	0.00	29,266	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	1,763	0.00	13,889	0.00	13,787	0.00	0	0.00
COMMUNICATION SERV & SUPP	4,529	0.00	27,746	0.00	27,923	0.00	0	0.00
PROFESSIONAL SERVICES	1,246	0.00	19,454	0.00	19,495	0.00	0	0.00
M&R SERVICES	70	0.00	9,701	0.00	9,687	0.00	0	0.00
OFFICE EQUIPMENT	143	0.00	5,512	0.00	5,512	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	4,533	0.00	4,533	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	969	0.00	969	0.00	0	0.00
BUILDING LEASE PAYMENTS	625	0.00	799	0.00	949	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	389	0.00	6,294	0.00	6,294	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMINISTRATION/LS								
CORE								
MISCELLANEOUS EXPENSES	162	0.00	2,371	0.00	2,371	0.00	0	0.00
REBILLABLE EXPENSES	0	0.00	410	0.00	410	0.00	0	0.00
TOTAL - EE	17,760	0.00	170,236	0.00	170,236	0.00	0	0.00
REFUNDS	0	0.00	410	0.00	410	0.00	0	0.00
TOTAL - PD	0	0.00	410	0.00	410	0.00	0	0.00
GRAND TOTAL	\$300,640	6.37	\$687,324	12.22	\$698,288	12.22	\$0	0.00
GENERAL REVENUE	\$295,578	6.37	\$394,875	8.22	\$394,875	8.22		0.00
FEDERAL FUNDS	\$0	0.00	\$122,788	2.00	\$128,270	2.00		0.00
OTHER FUNDS	\$5,062	0.00	\$169,661	2.00	\$175,143	2.00		0.00

PROGRAM DESCRIPTION											
Department of Labor and Industrial Relations								HB Section(s): <u>7.820</u>			
Wage and Hour Program											
Program is found in the following core budget(s): Division of Labor Standards											
1a. What strategic priority does this program address?											
Growth: Foster a business environment to support economic development.											
1b. What does this program do?											
<ul style="list-style-type: none"> Mediates employment and pay disputes between workers and employers so workers receive proper compensation and employers are able to avoid penalties and litigation. Encourages youth employment for gainful work experience and reviews and issues youth work certificates and entertainment permits to protect the rights, safety, and education of working youth in Missouri. Determines prevailing wage and average hourly wage rates for public bodies and contractors in order to comply with Sections 290.210 - 290.340, RSMo. 											
2a. Provide an activity measure(s) for the program.											
Numbers are not unduplicated between categories	FY 2018		FY 2019		FY 2020		FY 2021	FY 2022	FY 2023		
	Projected ¹	Actual	Projected ¹	Actual	Projected ¹	Actual	Projected	Projected	Projected		
Minimum Wage											
Businesses and Employees Assisted	18,408	18,138	18,229	20,319	20,725	23,190	23,654	24,837	26,079		
Complaints Received	960	944	947	760	775	983	1,003	1,053	1,106		
Complaints Closed	707	847	721	770	785	972	991	1,041	1,093		
Youth Employment											
Businesses and Employees Assisted	1,879	2,969	2,984	3,813	3,889	4,322	4,409	4,629	4,860		
Complaints Received	13	25	25	14	14	16	16	17	18		
Complaints Closed ³	13	19	20	18	18	53	54	57	60		
Youth Work Certificates Issued		5,044	5,145	5,781	5,897	4,934	5,033	5,285	5,549		
Prevailing Wage											
Businesses and Employees Assisted	0	3,367	3,434	3,132	3,195	3,507	3,577	3,756	3,944		
Complaints Received	0	182	186	47	48	13	13	14	15		
Complaints Closed ²	0	0	0	47	48	13	13	14	15		

¹Projected amounts based on loss of 5.79 FTE and funding for Prevailing Wage.

²Due to budget constraints, prevailing wage complaints received in FY 2019 were tracked, but administratively closed without further investigation.

³FY 20 Actual Complaints Closed includes routine violations found as a result of Outreach visits.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

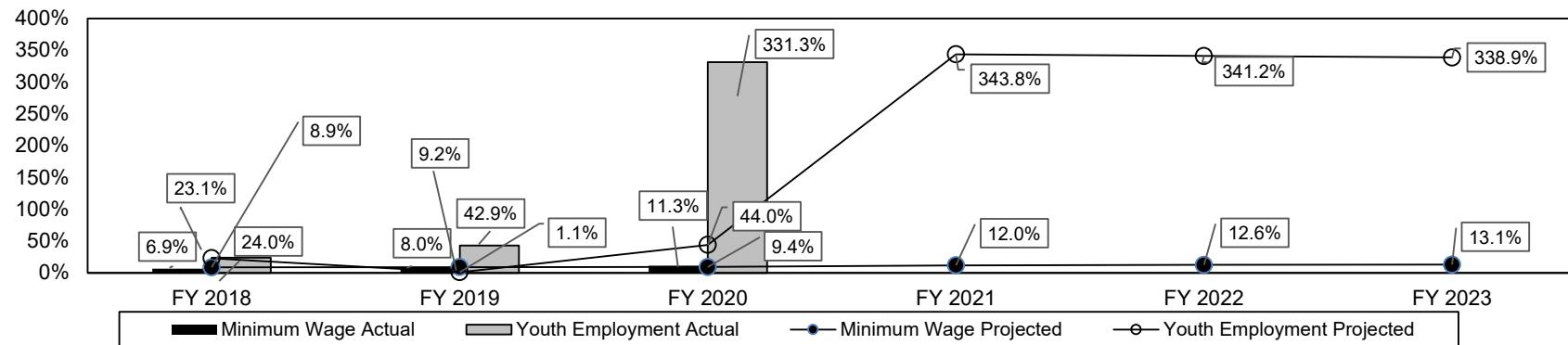
HB Section(s): 7.820

Wage and Hour Program

Program is found in the following core budget(s): Division of Labor Standards

2b. Provide a measure(s) of the program's quality.

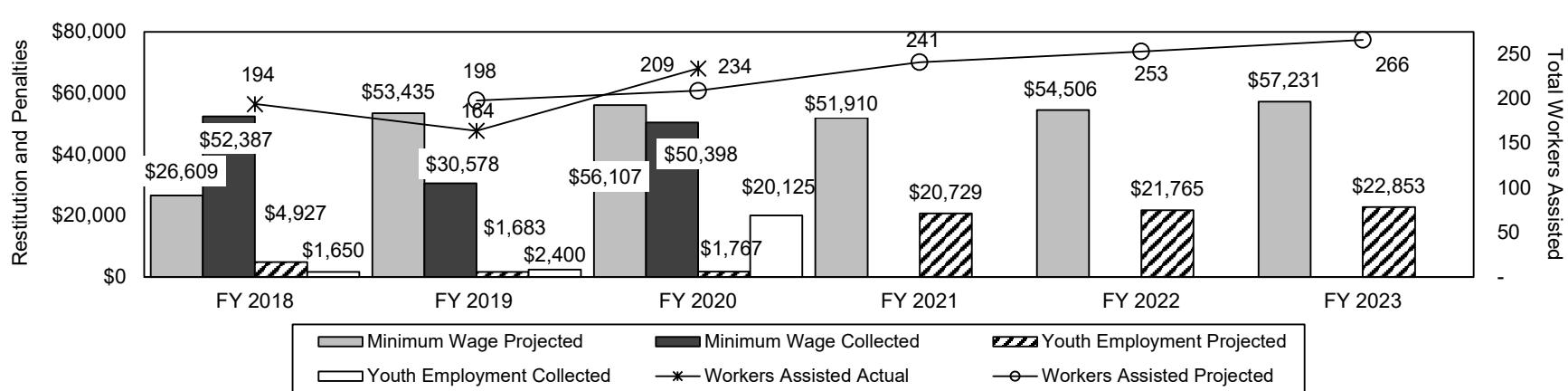
Affirmed Finding Rates by Complaint Type⁴



⁴ FY20 Youth Employment actuals reflect the increased number of cases closed over those received due to increased Outreach visits.

2c. Provide a measure(s) of the program's impact.

State Restitution and Penalties Collected⁵



⁵ The FY2022 and FY2023 Youth Employment projections for the number of workers assisted and substantiated violations reflects a surge due to the increase in Outreach visits.

PROGRAM DESCRIPTION

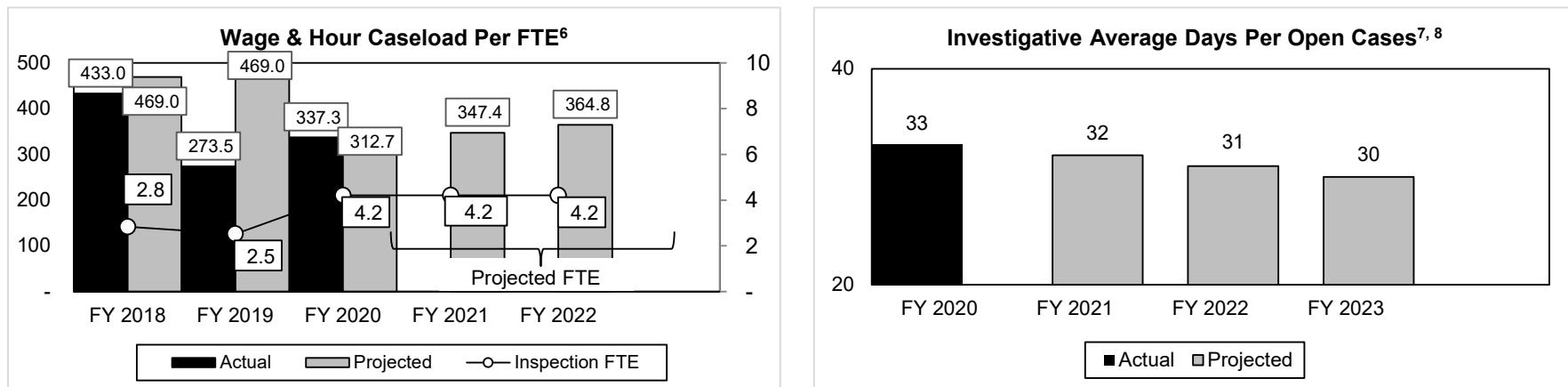
Department of Labor and Industrial Relations

HB Section(s): 7.820

Wage and Hour Program

Program is found in the following core budget(s): Division of Labor Standards

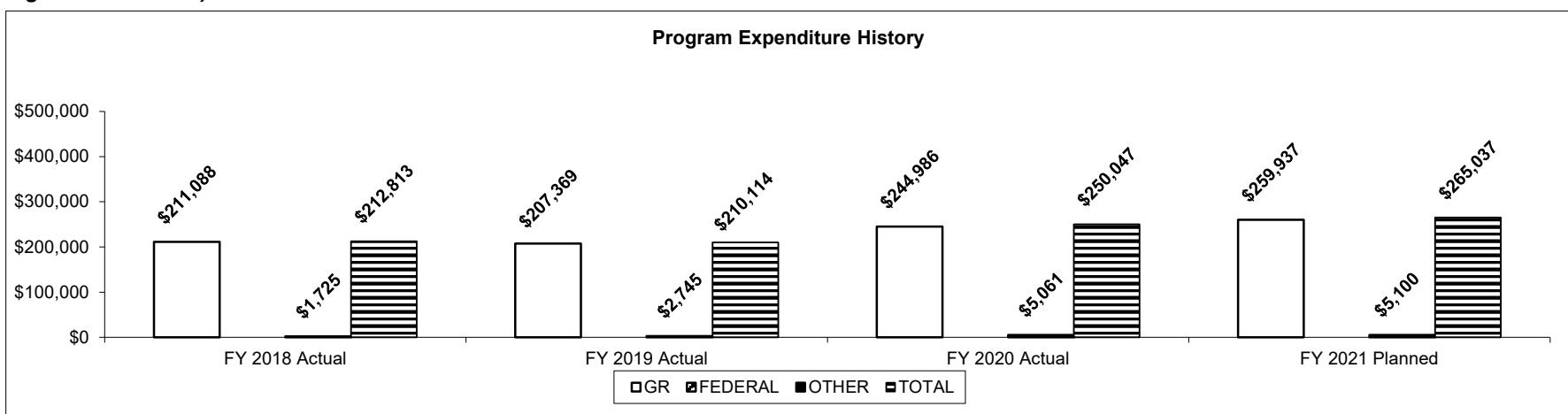
2d. Provide a measure(s) of the program's efficiency.



⁶ In FY 2019, prevailing wage complaints were taken; however, the cases were not investigated due to budget constraints. ⁷ In FY2018 and 2019, prevailing wage complaints were taken; however, the cases were not investigated due to budget constraints. Actual data is not available for those years.

⁸ In FY2020, hours per case decreased due to an additional FTE assisting with reducing the backlog of cases.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



PROGRAM DESCRIPTION													
Department of Labor and Industrial Relations				HB Section(s): <u>7.820</u>									
Wage and Hour Program													
Program is found in the following core budget(s): Division of Labor Standards													
		FY 2018 Actual ⁹		FY 2019 Actual ⁹		FY 2020 Actual		FY 2021 Planned ¹⁰					
		Funds	FTE	Funds	FTE	Funds	FTE						
Minimum Wage		\$158,976	4.02	\$151,319	3.43	\$163,136	3.59	\$186,433					
Prevailing Wage		\$10,198	0.10	\$20,494	0.42	\$34,128	0.78	\$33,112					
Youth Employment		\$43,639	1.09	\$38,301	0.82	\$47,708	0.97	\$45,492					
TOTAL		\$212,813	5.21	\$210,114	4.67	\$244,972	5.34	\$265,037					
⁹ FY 2018 Actual and FY 2019 Actual expenditures reflect the 10% appropriation flexibility used to fund the calculation process for prevailing wage. There were no investigative duties funded in FY 2018 and FY 2019.													
¹⁰ FY 2021 Planned is appropriations less reverses and restrictions as of July 21, 2020.													
4. What are the sources of the "Other " funds?													
Child Labor Fund													
5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)													
Chapters 290 and 294, RSMo.													
6. Are there federal matching requirements? If yes, please explain.													
No													
7. Is this a federally mandated program? If yes, please explain.													
No													

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.840

Missouri Workers' Safety Program (MWSP)

Program is found in the following core budget(s): Division of Workers' Compensation & Division of Labor Standards

1a. What strategic priority does this program address?

Safety: Prevent injuries and save lives on the job.

Promote the health and safety of every worker.

1b. What does this program do?

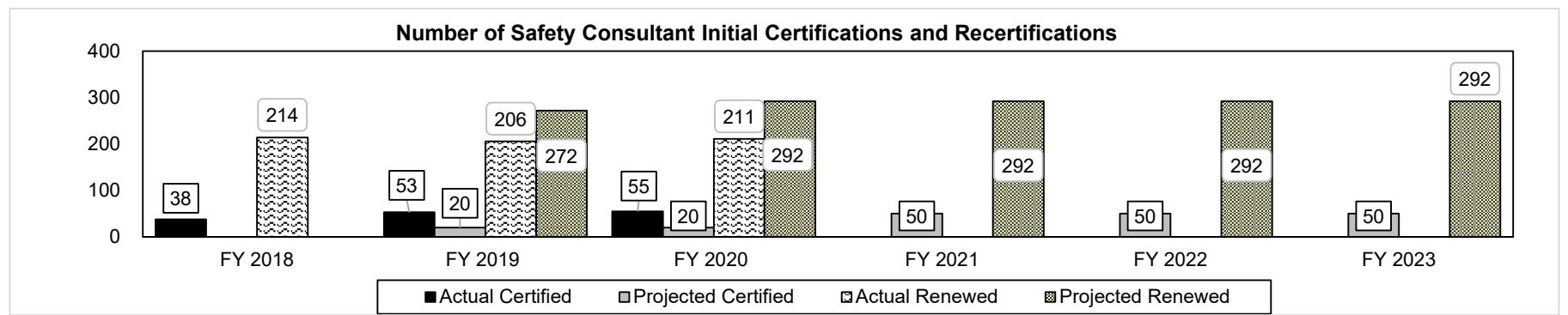
- Certifies the safety programs of insurance carriers that write workers' compensation insurance policies in Missouri and ensures that they provide effective safety assistance to their policyholders at request.
- Evaluates and certifies safety consultants and maintains a registry of these certified consultants for use by employers.
- Assists Missouri employers in developing comprehensive safety and health programs that eliminate workplace hazards and reduce injuries and illnesses.
- Evaluates the safety programs of individual self-insureds and self-insured trusts to ensure that they can control injuries and illnesses.
- Works with public entities to provide safety services when requested.
- Investigates and certifies rehabilitation facilities to ensure they are capable of rendering competent physical rehabilitation service for seriously injured workers and to enable workers to receive the SIF Rehab benefits.

2a. Provide an activity measure(s) for the program.

Certifications take place on a three-year cycle. ¹	FY 2018 Actual	FY 2019		FY 2020		FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
		Projected	Actual	Projected	Actual			
Number of Carrier Groups w/ Safety Programs Certified	140	120	85	120	165	120	120	120
Number of Safety Consultants Certified	252	255	259	255	266	266	266	266
Number of Physical Rehabilitation Facilities Certified	186	179	92	200	126	186	92	126

¹ Certifications for Physical Rehabilitation Facilities take place on a three-year cycle, while Carrier Groups and Safety Consultants are certified annually.

2b. Provide a measure(s) of the program's quality.



PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

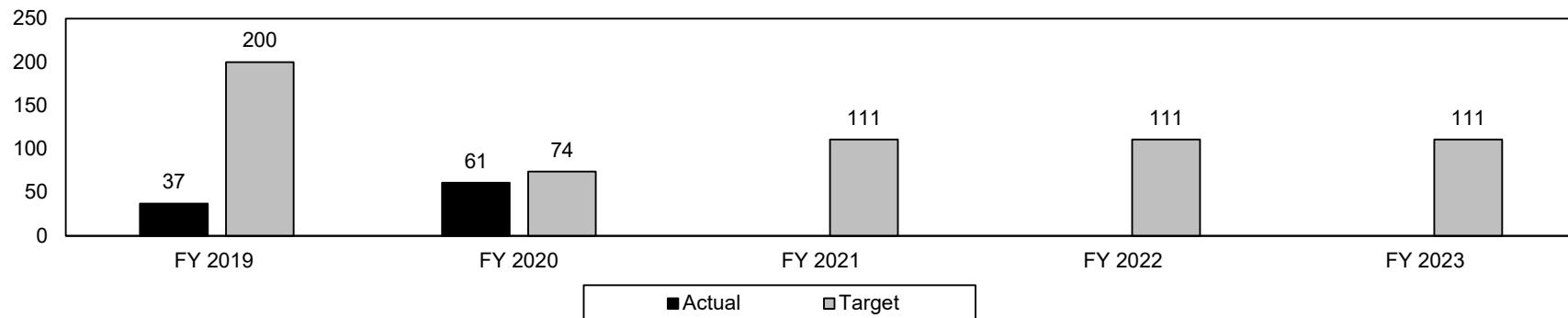
HB Section(s): 7.840

Missouri Workers' Safety Program (MWSP)

Program is found in the following core budget(s): Division of Workers' Compensation & Division of Labor Standards

2c. Provide a measure(s) of the program's impact.

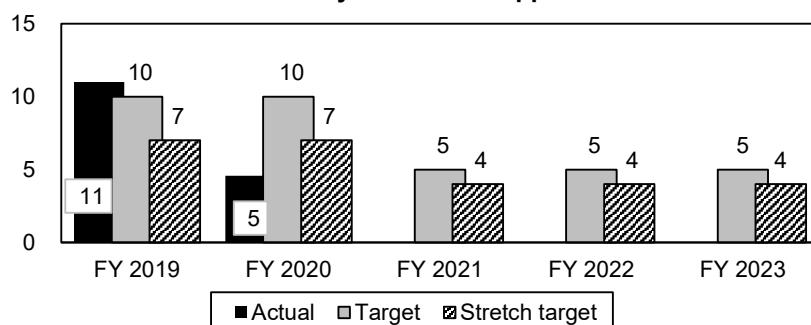
Number of Workplace Hazards Eliminated as a Result of a MWSP Audit²



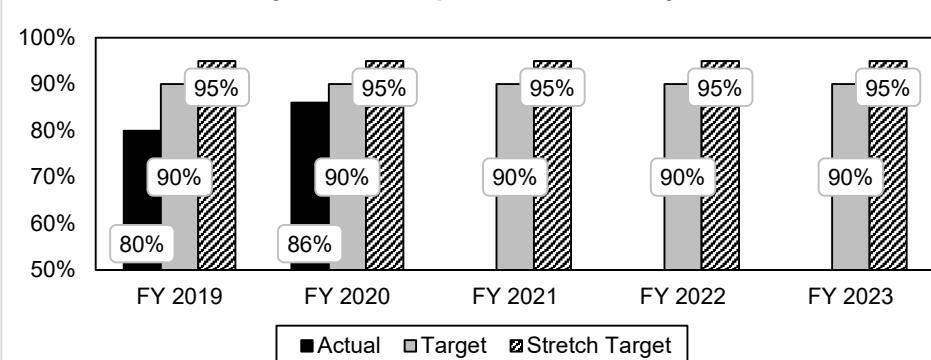
² This was a new measure for FY 2019. Prior year data is not available. Only 1.0 FTE in 2019; vacant FTE filled in 2020.

2d. Provide a measure(s) of the program's efficiency.

Average Number of Days to Process Certified Safety Consultant Applications³



Safety Audits Completed within 30 Days⁴



³ New measure for FY 2019. In FY 2019, 259 applications were processed.

⁴ New measure for FY 2019. Workers' Safety makes every effort to complete safety audits within 30 days. However, there are times when employers have a specific date/time in mind which may be longer than 30 days from the request. Also, in FY 2019, there was a staff vacancy so there was only 1.00 FTE to perform these audits.

PROGRAM DESCRIPTION

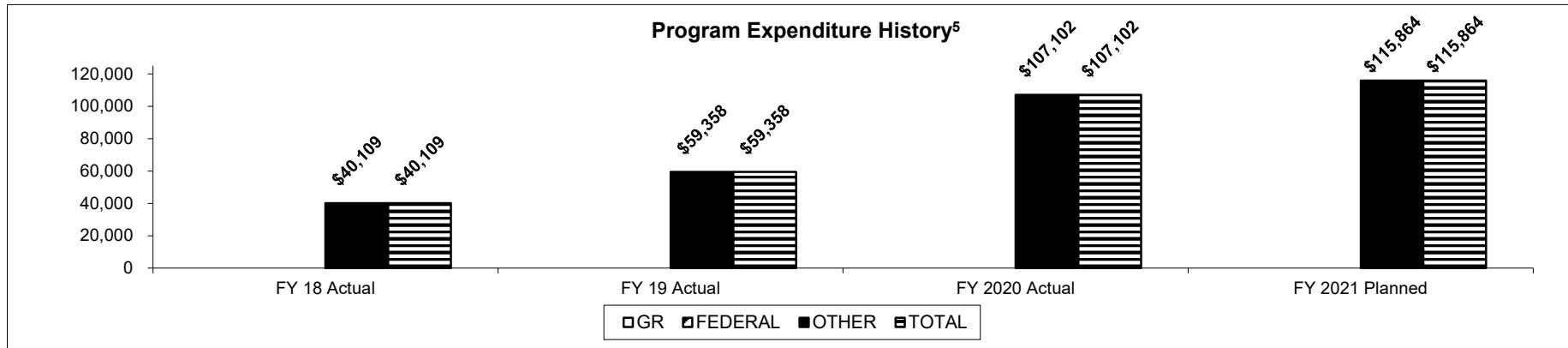
Department of Labor and Industrial Relations

HB Section(s): 7.840

Missouri Workers' Safety Program (MWSP)

Program is found in the following core budget(s): Division of Workers' Compensation & Division of Labor Standards

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



⁵ The decreases in FY 2018 and FY 2019 actual expenditures are due to staff vacancies.

4. What are the sources of the "Other" funds?

Workers' Compensation Administration Fund

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

287.123, RSMo. and 8 CSR 50-7

6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No

CORE DECISION ITEM

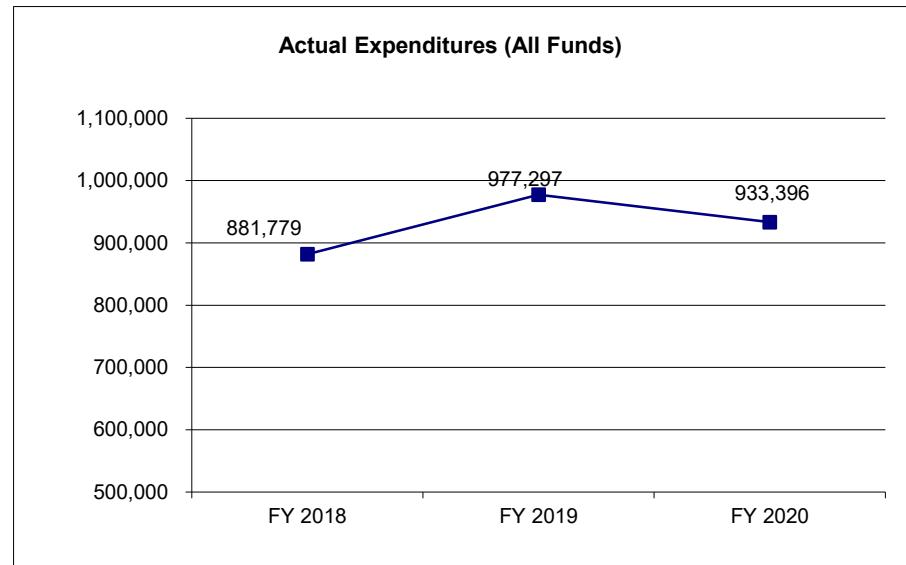
Department of Labor and Industrial Relations	Budget Unit 62724C
Division of Labor Standards	
On-Site Safety and Health Consultation Program	HB Section 07.825
1. CORE FINANCIAL SUMMARY	
	FY 2022 Budget Request
	GR Federal Other Total
PS	0 753,687 130,905 884,592
EE	0 290,835 39,442 330,277
PSD	0 100 100 200
TRF	0 0 0 0
Total	0 1,044,622 170,447 1,215,069
	FY 2022 Governor's Recommendation
	GR Federal Other Total
PS	0 0 0 0
EE	0 0 0 0
PSD	0 0 0 0
TRF	0 0 0 0
Total	0 0 0 0
FTE	0.00 14.55 2.45 17.00
<i>Est. Fringe</i>	0 454,020 77,773 531,793
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.	
Other Funds:	Workers' Compensation Fund (0652)
2. CORE DESCRIPTION	
The On-Site Safety and Health Consultation Program provides a state-administered, no-cost consultative service to assist Missouri's small employers in achieving compliance with Occupational Safety and Health Administration (OSHA) safety and health standards, helping employers avoid federal fines and penalties, provide healthy and hazard-free workplaces for Missourians, and reduce occupational accidents and illnesses. Occupational safety and health consultants visit workplaces to assist employers with safety and health hazard recognition, evaluation, and control at their facilities. The program also informs employers of overall safety and health management techniques and provides safety and health employee training designed to control hazards at the worksite.	
The program is funded by 90% Federal (OSHA) Funds, with a 10% required state match by the Workers' Compensation Fund.	
3. PROGRAM LISTING (list programs included in this core funding)	
On-Site Safety and Health Consultation	

CORE DECISION ITEM

Department of Labor and Industrial Relations	Budget Unit <u>62724C</u>
Division of Labor Standards	
On-Site Safety and Health Consultation Program	HB Section <u>07.825</u>

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	1,169,327	1,175,280	1,201,380	1,215,069
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)*	0	0	0	N/A
Budget Authority (All Funds)	1,169,327	1,175,280	1,201,380	1,215,069
Actual Expenditures (All Funds)	881,779	977,297	933,396	N/A
Unexpended (All Funds)	287,548	197,983	267,984	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	285,913	190,829	253,642	N/A
Other	1,635	7,155	14,342	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Includes \$5,923 for FY2019 pay plan.
- (2) Includes an NDI for \$6,500 in appropriation authority from Workers' Compensation fund as matching funds to maximize Federal funding; \$5,953 for cost-to-continue FY2019 pay plan; \$12,860 for FY2020 pay plan; and \$787 in personal services for market adjustments.
- (3) Includes \$12,860 and \$787, respectively, for the FY2020 pay plan and market adjustments. Includes \$42 reallocation of mileage reimbursement.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL ON-SITE CONSULTATIONS/LS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	17.00	0	753,687	130,905	884,592	
	EE	0.00	0	290,835	39,442	330,277	
	PD	0.00	0	100	100	200	
	Total	17.00	0	1,044,622	170,447	1,215,069	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	1139 7254	PS	0.00	0	0	0	Core reallocations to better match planned expenditures.
Core Reallocation	1139 5890	PS	0.00	0	0	0	Core reallocations to better match planned expenditures.
NET DEPARTMENT CHANGES		0.00	0	0	0	0	
DEPARTMENT CORE REQUEST							
	PS	17.00	0	753,687	130,905	884,592	
	EE	0.00	0	290,835	39,442	330,277	
	PD	0.00	0	100	100	200	
	Total	17.00	0	1,044,622	170,447	1,215,069	
GOVERNOR'S RECOMMENDED CORE							
	PS	17.00	0	753,687	130,905	884,592	
	EE	0.00	0	290,835	39,442	330,277	
	PD	0.00	0	100	100	200	
	Total	17.00	0	1,044,622	170,447	1,215,069	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
ON-SITE CONSULTATIONS/LS								
CORE								
PERSONAL SERVICES								
DIV OF LABOR STANDARDS FEDERAL	627,559	12.50	753,687	14.55	753,687	14.55	0	0.00
WORKERS COMPENSATION	127,700	2.42	130,905	2.45	130,905	2.45	0	0.00
TOTAL - PS	755,259	14.92	884,592	17.00	884,592	17.00	0	0.00
EXPENSE & EQUIPMENT								
DIV OF LABOR STANDARDS FEDERAL	151,639	0.00	290,835	0.00	290,835	0.00	0	0.00
WORKERS COMPENSATION	26,498	0.00	39,442	0.00	39,442	0.00	0	0.00
TOTAL - EE	178,137	0.00	330,277	0.00	330,277	0.00	0	0.00
PROGRAM-SPECIFIC								
DIV OF LABOR STANDARDS FEDERAL	0	0.00	100	0.00	100	0.00	0	0.00
WORKERS COMPENSATION	0	0.00	100	0.00	100	0.00	0	0.00
TOTAL - PD	0	0.00	200	0.00	200	0.00	0	0.00
TOTAL	933,396	14.92	1,215,069	17.00	1,215,069	17.00	0	0.00
GRAND TOTAL	\$933,396	14.92	\$1,215,069	17.00	\$1,215,069	17.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ON-SITE CONSULTATIONS/LS								
CORE								
INFORMATION SUPPORT COOR	0	0.00	34,529	1.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC I	33,848	1.00	35,350	1.00	0	0.00	0	0.00
EXECUTIVE I	5,097	0.15	9,111	0.25	0	0.00	0	0.00
OCCUPTNL SFTY & HLTH CNSLT I	85,288	1.93	103,430	2.11	0	0.00	0	0.00
OCCUPTNL SFTY & HLTH CNSLT II	342,459	7.14	411,428	8.25	0	0.00	0	0.00
OCCUPTNL SFTY & HLTH CNSLT III	82,478	1.60	63,304	1.10	0	0.00	0	0.00
OCCUPTNL SFTY & HLTH SUPV	119,921	1.93	128,426	2.00	0	0.00	0	0.00
LABOR & INDUSTRIAL REL MGR B1	69,697	1.00	71,735	1.00	0	0.00	0	0.00
DIVISION DIRECTOR	16,471	0.17	27,279	0.29	27,279	0.29	0	0.00
ADMIN SUPPORT ASSISTANT	0	0.00	0	0.00	34,529	1.00	0	0.00
ADMIN SUPPORT PROFESSIONAL	0	0.00	0	0.00	9,111	0.25	0	0.00
PUBLIC RELATIONS SPECIALIST	0	0.00	0	0.00	35,350	1.00	0	0.00
HEALTH AND SAFETY ANALYST	0	0.00	0	0.00	473,162	9.46	0	0.00
SR HEALTH AND SAFETY ANALYST	0	0.00	0	0.00	105,000	2.00	0	0.00
HEALTH AND SAFETY SUPERVISOR	0	0.00	0	0.00	128,426	2.00	0	0.00
HEALTH AND SAFETY MANAGER	0	0.00	0	0.00	71,735	1.00	0	0.00
TOTAL - PS	755,259	14.92	884,592	17.00	884,592	17.00	0	0.00
TRAVEL, IN-STATE	16,156	0.00	40,639	0.00	40,639	0.00	0	0.00
TRAVEL, OUT-OF-STATE	13,083	0.00	23,912	0.00	25,312	0.00	0	0.00
SUPPLIES	19,052	0.00	80,049	0.00	74,991	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	12,910	0.00	12,170	0.00	16,000	0.00	0	0.00
COMMUNICATION SERV & SUPP	15,318	0.00	30,893	0.00	19,500	0.00	0	0.00
PROFESSIONAL SERVICES	1,033	0.00	28,283	0.00	11,500	0.00	0	0.00
M&R SERVICES	14,731	0.00	21,296	0.00	17,200	0.00	0	0.00
MOTORIZED EQUIPMENT	71,242	0.00	36,995	0.00	71,501	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	12,884	0.00	12,884	0.00	0	0.00
OTHER EQUIPMENT	6,466	0.00	18,580	0.00	15,100	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	200	0.00	200	0.00	0	0.00
BUILDING LEASE PAYMENTS	3,535	0.00	6,310	0.00	6,499	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	823	0.00	12,557	0.00	11,151	0.00	0	0.00
MISCELLANEOUS EXPENSES	3,788	0.00	5,309	0.00	7,600	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ON-SITE CONSULTATIONS/LS								
CORE								
REBILLABLE EXPENSES	0	0.00	200	0.00	200	0.00	0	0.00
TOTAL - EE	178,137	0.00	330,277	0.00	330,277	0.00	0	0.00
REFUNDS	0	0.00	200	0.00	200	0.00	0	0.00
TOTAL - PD	0	0.00	200	0.00	200	0.00	0	0.00
GRAND TOTAL	\$933,396	14.92	\$1,215,069	17.00	\$1,215,069	17.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$779,198	12.50	\$1,044,622	14.55	\$1,044,622	14.55		0.00
OTHER FUNDS	\$154,198	2.42	\$170,447	2.45	\$170,447	2.45		0.00

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.825

On Site Safety and Health Consultation

Program is found in the following core budget(s): On-Site Safety & Health Consultation

1a. What strategic priority does this program address?

Safety: Prevent injuries and save lives on the job.
Promote a healthy and safe environment for every worker.

1b. What does this program do?

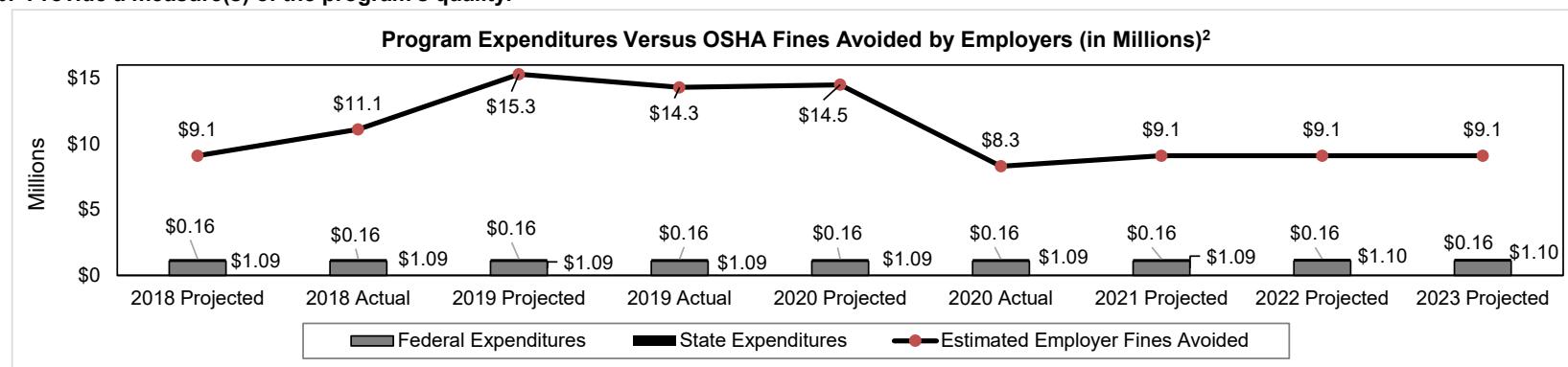
- Performs no cost, on-site safety and health consultation services, when requested by small businesses, to create and promote workplace safety in Missouri, saving employers money by lowering workers' compensation premiums and decreasing potential Occupational Safety and Health Administration (OSHA) fines, penalties and other litigation.
- Educes and informs employers of proven Safety and Health Program Management techniques that make a lasting impact for a safe workplace, reducing accidents, lost production, and workers' compensation claims.

2a. Provide an activity measure(s) for the program.

	FY 2018 Actual	FY 2019		FY 2020		FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
		Projected	Actual	Projected	Actual ¹			
Number of serious workplace hazards eliminated	3,045	5,200	3,930	4,000	2,283	4,080	4,162	4,245
Number of employers assisted	233	275	275	281	190	287	293	299
No. of workers assisted by consultation services	27,423	27,971	28,651	29,216	25,050	29,600	30,192	30,796

¹ One consultant retired in February 2020 and the position remains unfilled and currently unfunded. This vacancy, along with the inability to conduct in-person services during the COVID-19 pandemic resulted in decreased FY2020 actuals.

2b. Provide a measure(s) of the program's quality.



² One consultant retired in February 2020 and the position remains unfilled and currently unfunded. This vacancy, along with the inability to conduct in-person services during the COVID-19 pandemic resulted in decreased FY2020 actuals.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.825

On Site Safety and Health Consultation

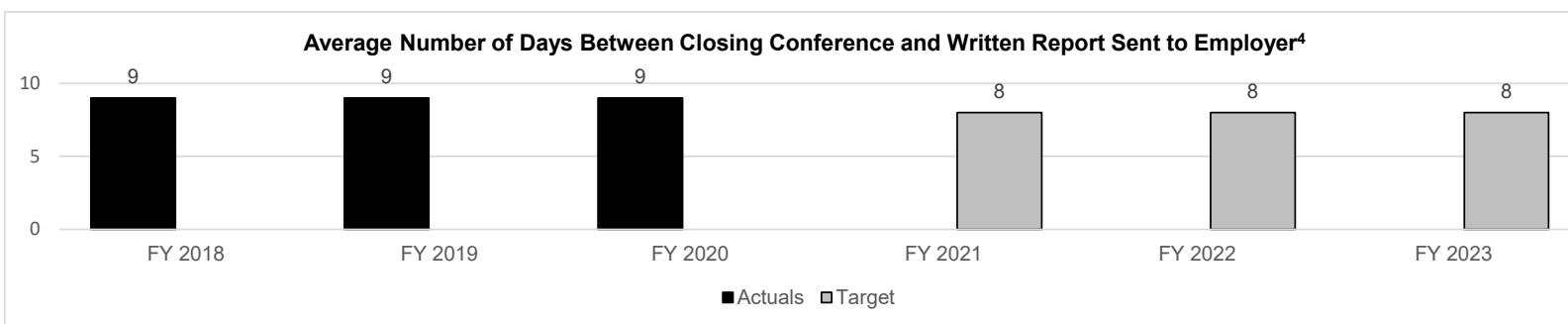
Program is found in the following core budget(s): On-Site Safety & Health Consultation

2c. Provide a measure(s) of the program's impact.

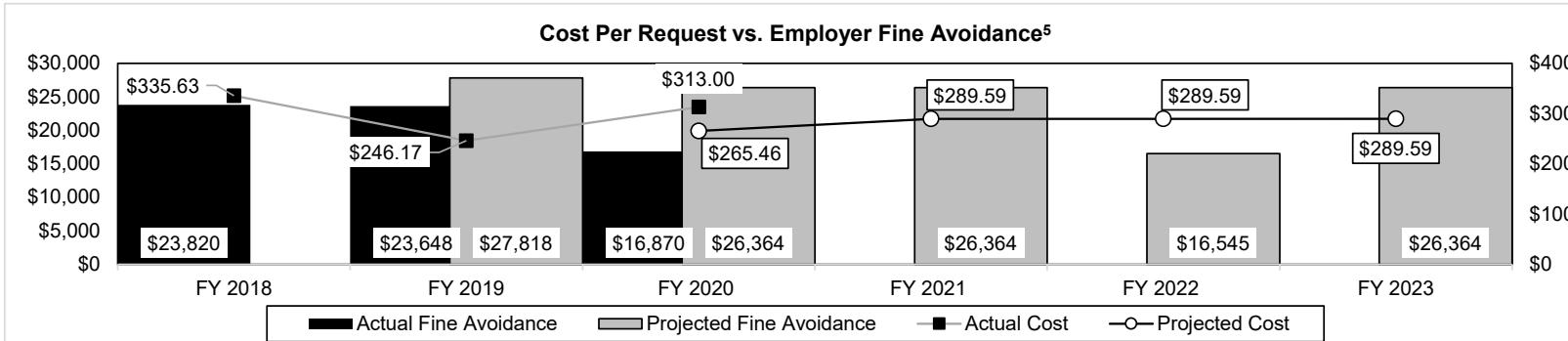
		FY 2018	FY 2019	FY 2020	FY 2021	FY 2022	FY 2023
Number of serious workplace hazards eliminated	Target	5,200	5,200	4,000	4,080	4,162	4,245
	Actual	3,045	3,930	2,283			
Percentage of serious hazards identified and immediately corrected on site ³	Target	40%	40%	40%	45%	45%	45%
	Actual	38%	43%	44%			

³ The Missouri On-site Safety and Health Consultation Program currently has the 6th highest percentage in the nation in Hazards Corrected On-Site. The National Average for Hazards Corrected On-Site is 20% for FY2019.

2d. Provide a measure(s) of the program's efficiency.



⁴ OSHA requires consultation programs to get the written report to the employer within 20 days after the closing conference.



⁵ One consultant retired in February, and from the end of March till the beginning of May 2020, no On-site Consultation visits were conducted because of COVID-19.

PROGRAM DESCRIPTION

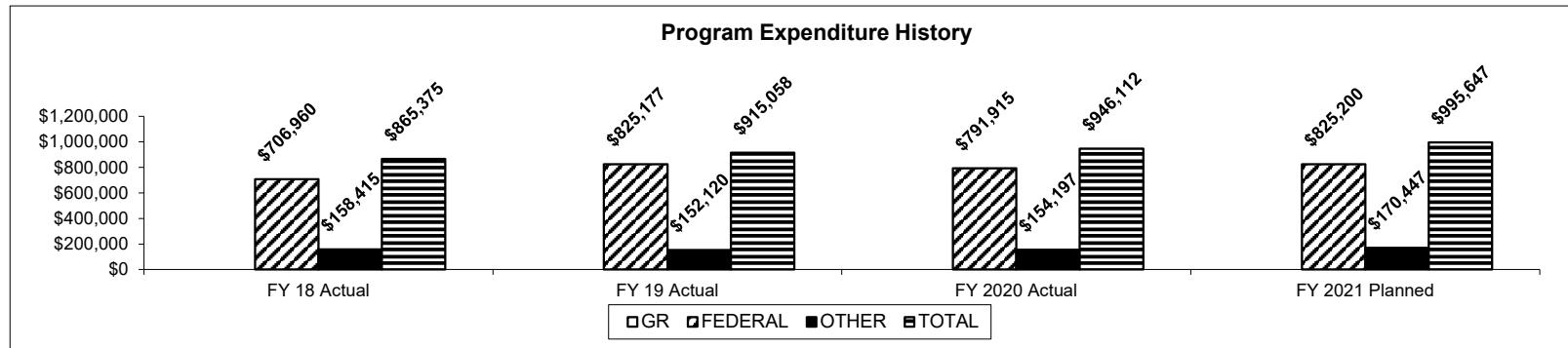
Department of Labor and Industrial Relations

HB Section(s): 7.825

On Site Safety and Health Consultation

Program is found in the following core budget(s): On-Site Safety & Health Consultation

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



4. What are the sources of the "Other" funds?

Workers' Compensation Administration

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

29 CFR 1908

6. Are there federal matching requirements? If yes, please explain.

Yes. This program is 90% Federal, 10% State Match.

7. Is this a federally mandated program? If yes, please explain.

Yes. This program is mandated under 29 CFR 1908.

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u> <u>Division of Labor Standards</u> <u>Mine and Cave Safety</u>				Budget Unit <u>62735C</u>																																			
				HB Section <u>07.830</u>																																			
1. CORE FINANCIAL SUMMARY																																							
FY 2022 Budget Request <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>GR</th> <th>Federal</th> <th>Other</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td>71,344</td> <td>191,800</td> <td>153,564</td> <td>416,708</td> </tr> <tr> <td>EE</td> <td>5,983</td> <td>147,039</td> <td>29,919</td> <td>182,941</td> </tr> <tr> <td>PSD</td> <td>100</td> <td>100</td> <td>200</td> <td>400</td> </tr> <tr> <td>TRF</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Total</td> <td>77,427</td> <td>338,939</td> <td>183,683</td> <td>600,049</td> </tr> </tbody> </table>					GR	Federal	Other	Total	PS	71,344	191,800	153,564	416,708	EE	5,983	147,039	29,919	182,941	PSD	100	100	200	400	TRF	0	0	0	0	Total	77,427	338,939	183,683	600,049	FY 2022 Governor's Recommendation					
	GR	Federal	Other	Total																																			
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Total	0	0	0	0																																			
Est. Fringe 37,677 115,783 89,914 243,374 <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				Est. Fringe 0 0 0 0 <i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>																																			
Other Funds: Workers' Compensation Fund (0652) Mine Inspection Fund (0973)				Other Funds: Workers' Compensation Fund (0652) Mine Inspection Fund (0973)																																			
2. CORE DESCRIPTION																																							
<p>The Mine Safety and Health Training Program is 80% funded by the federal Mine Safety and Health Administration (MSHA) with a 20% required state-match by the Workers' Compensation Fund. The program provides new miners with the initial regimen of safety and health training courses: first aid, cardiopulmonary resuscitation, mine rescue, miner's rights, and hazards associated with the task assigned. The Mine Act of 1977 (Title 30 Code of Federal Regulations Parts 46, 48, 49, 56, 57, 71, and 75) requires miners complete these courses before they are allowed to start work. Each subsequent year, miners must receive an eight-hour refresher course on those same topics and any other topic necessary to address trends of accidents, injuries, or fatalities. Courses are site specific and tailored to the type and scope of the mining operation.</p> <p>The core also includes the Mine and Cave Inspection Program which conducts statutorily required inspections and safety and health consultations at Missouri's mines and show caves which are funded by General Revenue and the fees deposited to the Mine Inspection Fund pursuant to Section 293.030, RSMo.</p>																																							
3. PROGRAM LISTING (list programs included in this core funding)																																							
Mine Safety and Health Training			Mine and Cave Inspection Program																																				

CORE DECISION ITEM

Department of Labor and Industrial Relations	Budget Unit <u>62735C</u>
Division of Labor Standards	
Mine and Cave Safety	HB Section <u>07.830</u>

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	438,706	569,723	602,972	611,013
Less Reverted (All Funds)	0	(182)	(2,274)	(1,923)
Less Restricted (All Funds)*	0		0	N/A
Budget Authority (All Funds)	438,706	569,541	600,698	609,090
Actual Expenditures (All Funds)	282,485	322,800	429,210	N/A
Unexpended (All Funds)	156,221	246,741	171,488	N/A
Unexpended, by Fund:				
General Revenue	0	261	7,064	N/A
Federal	147,498	224,854	132,175	N/A
Other	8,723	21,624	32,250	N/A
	(1)	(2)	(3)	

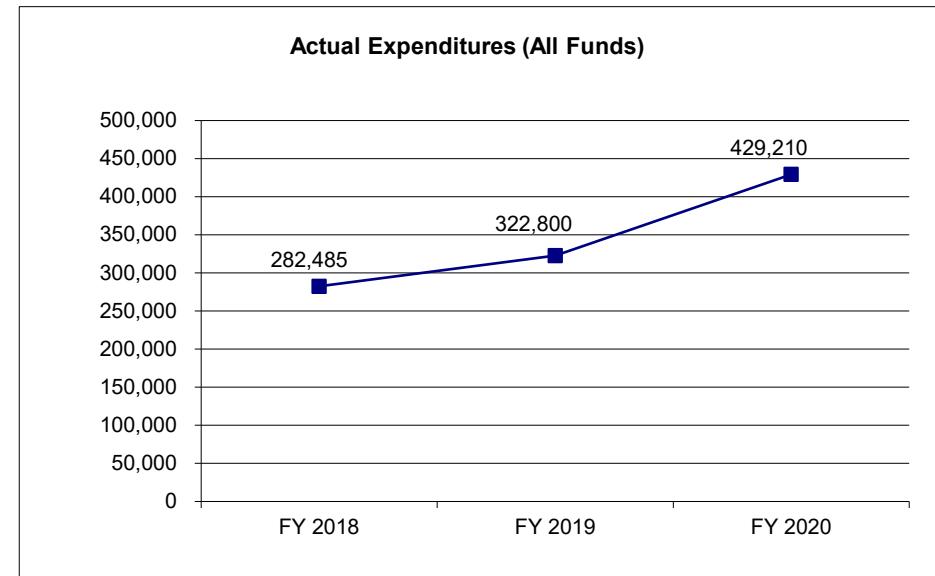
*Current Year restricted amount is as of July 21, 2020.

Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Includes a Governor's Core reduction of (\$22,794) and (0.50) FTE in GR; a core reallocation of \$151,145 and 2.50 FTE from DLS Administration to consolidate Mine and Cave Programs; and \$2,666 for FY2019 pay plan.
- (2) Includes a core reduction of (\$18,000) in excess appropriation authority in Federal funds; a core reallocation of \$30,000 in Workers' Compensation funds for oversight of safety programs; \$2,666 for cost-to-continue the FY2019 pay plan; \$6,176 for the FY2020 pay plan; \$1,807 in personal services for market adjustments; and \$10,600 in an NDI for increased authority from the Mine Inspection fund for specialized equipment purchases. Actual expenditures increased due to the pay plans and the filling of vacancies.
- (3) Includes \$6,176 and \$1,807, respectively, for the FY2020 pay plan and market adjustments costs-to-continue. Includes \$58 core reallocation for mileage reimbursement.



CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL MINE AND CAVE SAFETY

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	7.50	71,344	197,282	159,046	427,672	
	EE	0.00	5,983	147,039	29,919	182,941	
	PD	0.00	100	100	200	400	
	Total	7.50	77,427	344,421	189,165	611,013	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	1141 4467	PS	0.00	0	0	0	Core reallocations to better match planned expenditures.
Core Reallocation	1141 5892	PS	0.00	0	(5,482)	0	(5,482) Core reallocations to better match planned expenditures.
Core Reallocation	1141 7645	PS	(0.00)	0	0	(5,482)	(5,482) Core reallocations to better match planned expenditures.
Core Reallocation	1141 4458	PS	0.00	0	0	0	Core reallocations to better match planned expenditures.
NET DEPARTMENT CHANGES		0.00	0	(5,482)	(5,482)	(10,964)	
DEPARTMENT CORE REQUEST							
	PS	7.50	71,344	191,800	153,564	416,708	
	EE	0.00	5,983	147,039	29,919	182,941	
	PD	0.00	100	100	200	400	
	Total	7.50	77,427	338,939	183,683	600,049	
GOVERNOR'S RECOMMENDED CORE							
	PS	7.50	71,344	191,800	153,564	416,708	
	EE	0.00	5,983	147,039	29,919	182,941	
	PD	0.00	100	100	200	400	
	Total	7.50	77,427	338,939	183,683	600,049	

DECISION ITEM SUMMARY

Budget Unit	FY 2020		FY 2020		FY 2021		FY 2021		FY 2022		FY 2022		*****	*****	
Decision Item	ACTUAL	DOLLAR	ACTUAL	FTE	BUDGET	DOLLAR	BUDGET	FTE	DEPT REQ	DOLLAR	FTE	DEPT REQ	FTE	SECURED	SECURED
Budget Object Summary													COLUMN	COLUMN	
Fund															
MINE AND CAVE SAFETY															
CORE															
PERSONAL SERVICES															
GENERAL REVENUE	62,976		1.08		71,344		1.00		71,344		1.00		0	0.00	
DIV OF LABOR STANDARDS FEDERAL	122,705		2.79		197,282		3.72		191,800		3.72		0	0.00	
WORKERS COMPENSATION	100,018		1.70		109,055		1.78		103,573		1.78		0	0.00	
MINE INSPECTION	45,941		0.78		49,991		1.00		49,991		1.00		0	0.00	
TOTAL - PS	331,640		6.35		427,672		7.50		416,708		7.50		0	0.00	
EXPENSE & EQUIPMENT															
GENERAL REVENUE	3,488		0.00		5,983		0.00		5,983		0.00		0	0.00	
DIV OF LABOR STANDARDS FEDERAL	85,783		0.00		147,039		0.00		147,039		0.00		0	0.00	
WORKERS COMPENSATION	1,578		0.00		12,019		0.00		12,019		0.00		0	0.00	
MINE INSPECTION	6,720		0.00		17,900		0.00		17,900		0.00		0	0.00	
TOTAL - EE	97,569		0.00		182,941		0.00		182,941		0.00		0	0.00	
PROGRAM-SPECIFIC															
GENERAL REVENUE	0		0.00		100		0.00		100		0.00		0	0.00	
DIV OF LABOR STANDARDS FEDERAL	0		0.00		100		0.00		100		0.00		0	0.00	
WORKERS COMPENSATION	0		0.00		100		0.00		100		0.00		0	0.00	
MINE INSPECTION	0		0.00		100		0.00		100		0.00		0	0.00	
TOTAL - PD	0		0.00		400		0.00		400		0.00		0	0.00	
TOTAL	429,209		6.35		611,013		7.50		600,049		7.50		0	0.00	
GRAND TOTAL	\$429,209		6.35		\$611,013		7.50		\$600,049		7.50		\$0	0.00	

FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 62735C	DEPARTMENT: Labor and Industrial Relations
BUDGET UNIT NAME: Mine and Cave Safety	
HOUSE BILL SECTION: 7.830	DIVISION: Division of Labor Standards

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST

The Mine and Cave Inspection Program is requesting 10% flexibility for Fund 0101. This will allow the program to more efficiently use its budget and to address any unanticipated costs. It will also allow the program to adjust its budget to compensate for fluctuations in receipts to the Mine Inspection Fund, the only other source of funding for the program.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
None	None	10% from PS to E&E 10% from E&E to PS

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
None	Continuation of operations despite fluctuations in revenue to the Mine Inspection Fund and to meet any unanticipated costs.

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MINE AND CAVE SAFETY								
CORE								
SR OFFICE SUPPORT ASSISTANT	27,705	0.92	36,657	1.00	0	0.00	0	0.00
MINE SAFETY INSTRUCTOR	89,097	2.00	143,230	3.27	0	0.00	0	0.00
MINE INSPECTOR	99,778	1.94	91,034	1.78	0	0.00	0	0.00
LABOR & INDUSTRIAL REL MGR B1	72,296	0.98	116,597	1.00	0	0.00	0	0.00
DIVISION DIRECTOR	35,523	0.38	40,154	0.45	40,154	0.45	0	0.00
CLERK	7,241	0.13	0	0.00	29,000	0.49	0	0.00
ADMIN SUPPORT ASSISTANT	0	0.00	0	0.00	36,657	1.00	0	0.00
STAFF DEVELOPMENT TRAINER	0	0.00	0	0.00	119,952	2.56	0	0.00
HEALTH AND SAFETY ANALYST	0	0.00	0	0.00	108,945	2.00	0	0.00
HEALTH AND SAFETY MANAGER	0	0.00	0	0.00	82,000	1.00	0	0.00
TOTAL - PS	331,640	6.35	427,672	7.50	416,708	7.50	0	0.00
TRAVEL, IN-STATE	28,905	0.00	46,217	0.00	46,897	0.00	0	0.00
TRAVEL, OUT-OF-STATE	7,914	0.00	8,323	0.00	8,323	0.00	0	0.00
SUPPLIES	8,648	0.00	19,131	0.00	19,009	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	2,808	0.00	10,365	0.00	10,365	0.00	0	0.00
COMMUNICATION SERV & SUPP	2,431	0.00	11,732	0.00	6,236	0.00	0	0.00
PROFESSIONAL SERVICES	458	0.00	5,224	0.00	5,224	0.00	0	0.00
M&R SERVICES	1,500	0.00	10,152	0.00	11,098	0.00	0	0.00
MOTORIZED EQUIPMENT	31,992	0.00	34,428	0.00	34,428	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	6,115	0.00	6,115	0.00	0	0.00
OTHER EQUIPMENT	10,088	0.00	17,204	0.00	21,196	0.00	0	0.00
PROPERTY & IMPROVEMENTS	289	0.00	4,108	0.00	4,108	0.00	0	0.00
BUILDING LEASE PAYMENTS	270	0.00	400	0.00	400	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	837	0.00	3,719	0.00	3,719	0.00	0	0.00
MISCELLANEOUS EXPENSES	1,429	0.00	5,423	0.00	5,423	0.00	0	0.00
REBILLABLE EXPENSES	0	0.00	400	0.00	400	0.00	0	0.00
TOTAL - EE	97,569	0.00	182,941	0.00	182,941	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MINE AND CAVE SAFETY								
CORE								
REFUNDS	0	0.00	400	0.00	400	0.00	0	0.00
TOTAL - PD	0	0.00	400	0.00	400	0.00	0	0.00
GRAND TOTAL	\$429,209	6.35	\$611,013	7.50	\$600,049	7.50	\$0	0.00
GENERAL REVENUE	\$66,464	1.08	\$77,427	1.00	\$77,427	1.00		0.00
FEDERAL FUNDS	\$208,488	2.79	\$344,421	3.72	\$338,939	3.72		0.00
OTHER FUNDS	\$154,257	2.48	\$189,165	2.78	\$183,683	2.78		0.00

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.830

Mine Safety and Health Training

Program is found in the following core budget(s): Division of Labor Standards Administration

1a. What strategic priority does this program address?

Safety: Save lives, prevent injuries.

Promote safe work conditions for employees, contractors, and employers in the Mine and Cave industry in Missouri.

1b. What does this program do?

- Train, educate, and assist miners and owners to ensure safety practices, avoid fines and penalties from Federal Mine Safety and Health Administration (MSHA), and reduce workers' compensation expenses with a focus on smaller operators (25 or less employees) to provide services that may not be affordable to them.
- Provide comprehensive training/consultation to determine federal compliance and eliminate hazards that cause injury, illness, and fatalities.
- Develop and distribute mine safety training materials and curriculum for specific courses.
- Work in partnership with MSHA (Mine Safety and Health Administration) to train and work with Missouri's Mine Rescue Teams, in the event of a disaster rescue recovery.

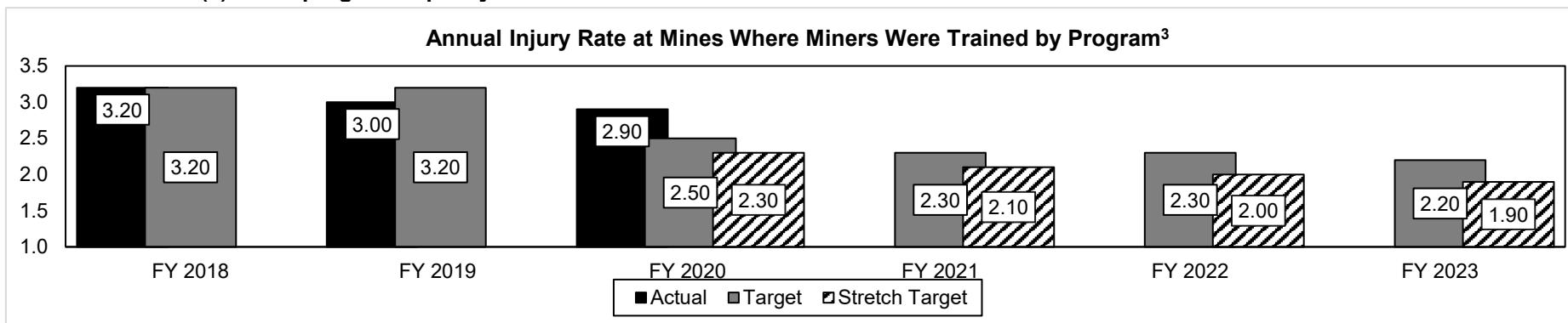
2a. Provide an activity measure(s) for the program.

	FY 2018 Actual	FY 2019 Actual ¹	FY 2020		FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
			Projected	Actual			
Miners Trained	5,489	2,633	5,711	7,574	6,282	6,910	6,400
Number of Trainings Conducted ²	630	302	600	456	600	600	600

¹ Two of three mine training staff left the agency, accounting for the low numbers in FY 2019.

² Classes in FY2020 were down due to COVID restrictions.

2b. Provide a measure(s) of the program's quality.



³ Injury rate is based on 100 miners working 40 hours, per week, per year.

PROGRAM DESCRIPTION

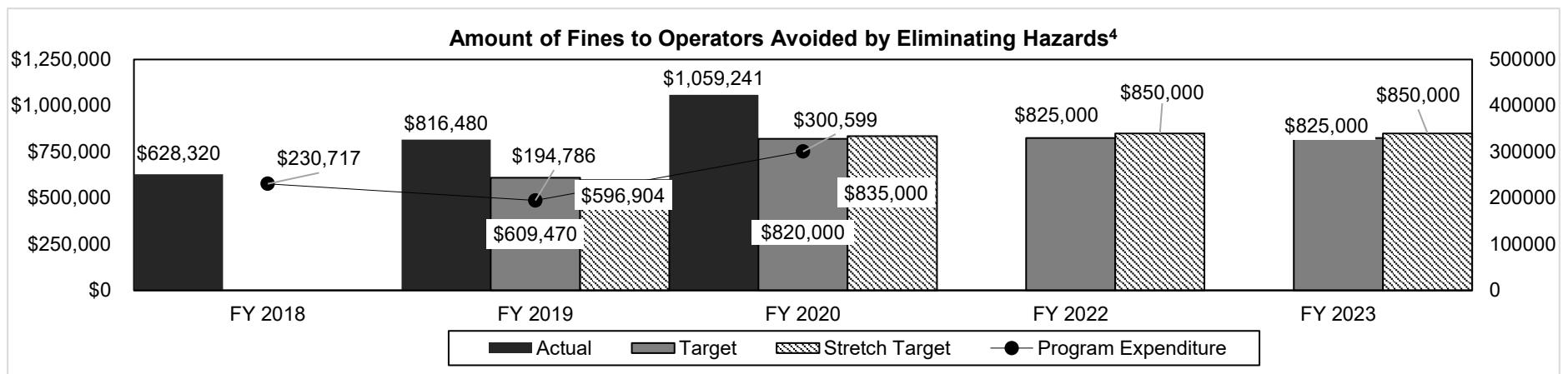
Department of Labor and Industrial Relations

HB Section(s): 7.830

Mine Safety and Health Training

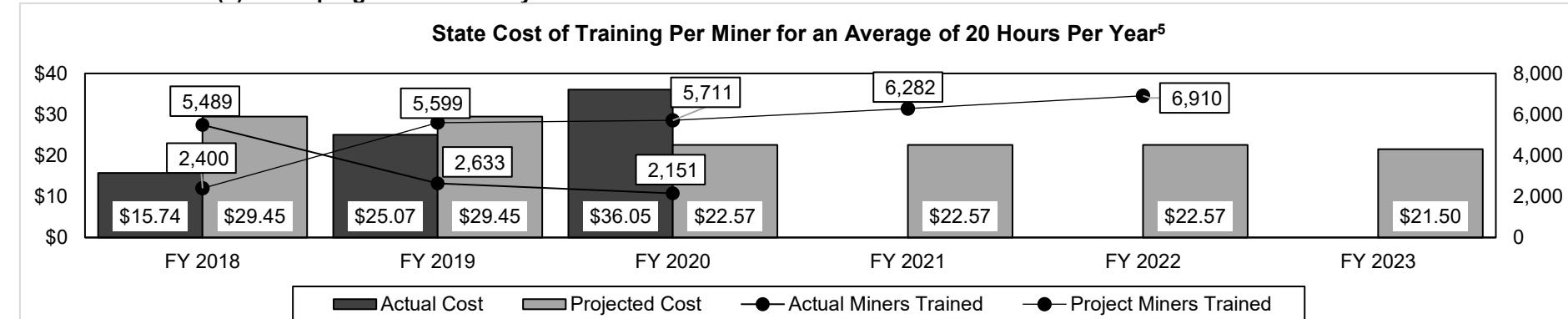
Program is found in the following core budget(s): Division of Labor Standards Administration

2c. Provide a measure(s) of the program's impact.



⁴ In FY 2019, the method to conduct inspections was changed, resulting in a much higher rate of Top 5 Hazards. As miners are trained, the Division expects the rate to drop. As mine workers and operators are educated, fewer hazards will occur, causing an overall decrease in potential fines.

2d. Provide a measure(s) of the program's efficiency.



⁵ FY2020 Actual Costs increased due to filling a vacant training position and adjusting time spent between Mine Inspection and Mine Safety & Health Training programs.

PROGRAM DESCRIPTION

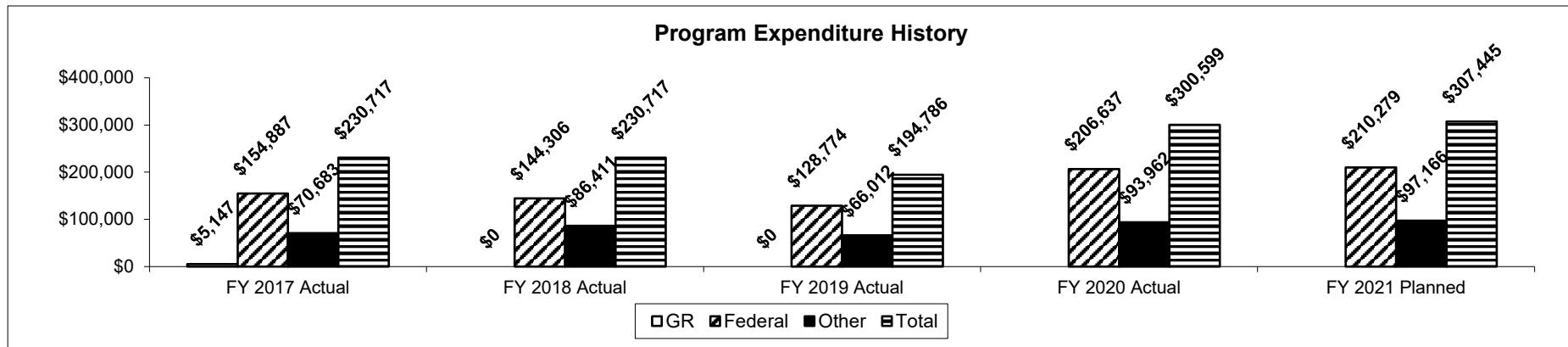
Department of Labor and Industrial Relations

HB Section(s): 7.830

Mine Safety and Health Training

Program is found in the following core budget(s): Division of Labor Standards Administration

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



4. What are the sources of the "Other " funds?

Workers Compensation Administration

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

This program is federally mandated under Title 30 Code of Regulations Part 46, 48, 49, 56, 57, and 75 Section 293.520, RSMo.

6. Are there federal matching requirements? If yes, please explain.

Yes. This Program is 80% Federal and 20% state-match.

7. Is this a federally mandated program? If yes, please explain.

Yes. MSHA requires training to be provided under the Mine Act of 1977.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.830

Mine and Cave Inspection

Program is found in the following core budget(s): Mine and Cave Safety

1a. What strategic priority does this program address?

Safety: Save lives and prevent injuries of all workers, contractors, and the general public.

1b. What does this program do?

- Partner with mine and show-cave owner/operators to identify and eliminate hazards and avoid fines and penalties from the Federal Mine Safety and Health Administration (MSHA) or Occupational Safety and Health Administration (OSHA), ensuring safe operation practices are in place.
- Work with show cave owner/operators to ensure the safe operation of public caves, to protect the visiting public, and to ensure safe working conditions for employees in the show cave industry, meeting or exceeding all OSHA requirements.
- Provide specific hazard awareness training to mines and employees during inspections to ensure compliance and responsibility for safety in the operation.
- Work in partnership with MSHA to assist Missouri's Mine Rescue Teams in all aspects of disaster rescue and recovery.

2a. Provide an activity measure(s) for the program.

	FY 2018		FY 2019		FY 2020		FY 2021 Projected ⁵	FY 2022 Projected ⁵	FY 2023 Projected ⁵
	Projected	Actual	Projected	Actual	Projected	Actual ⁴			
Number of miners assisted ¹	13,000	10,440	13,000	11,152	13,000	9,655	11,000	11,000	11,000
Number of visits to Mines/Caves ²	450	442	450	246	450	209	250	250	240
Number of Top 5 Hazards Identified ³	150	224	213	216	216	325	300	220	200

¹ Number of actual miners assisted varies based on the number of miners employed in covered mines.

² Mine visits were down in FY2019 due to one inspector taking on additional responsibilities within the Mine Training Unit, as well as acting as the Section Manager while the position was vacant.

³ Top five contributing hazards identified by MSHA as leading categories for fatalities: Moving Equipment, Electrical, Roof and Ground Control, Fire Hazard, and Mechanical. Missouri's have been the same since 1901.

⁴ FY2020 actual numbers were down due to the reduced number of visits completed during the COVID-19 pandemic.

⁵ In FY2019, the method to conduct inspections was changed, resulting in a much higher rate of the Top 5 Hazards. As miners are trained, the Division expects the rate to drop.

PROGRAM DESCRIPTION

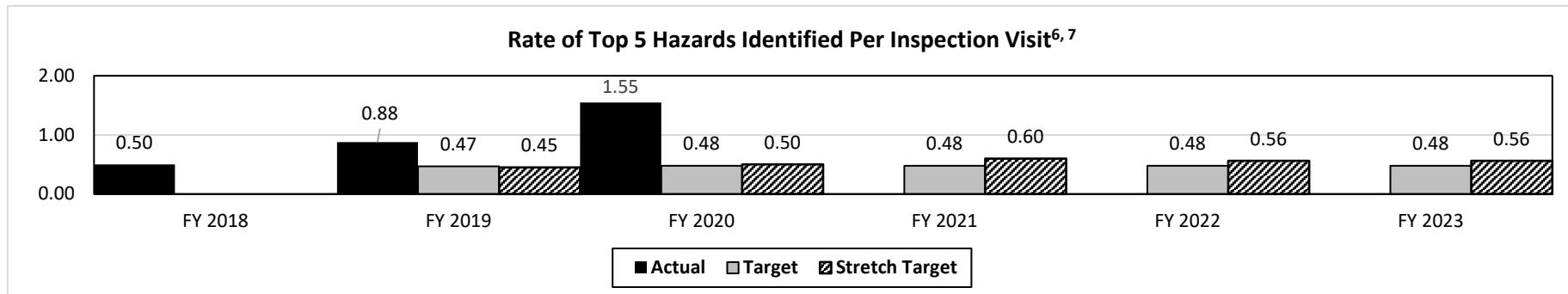
Department of Labor and Industrial Relations

HB Section(s): 7.830

Mine and Cave Inspection

Program is found in the following core budget(s): Mine and Cave Safety

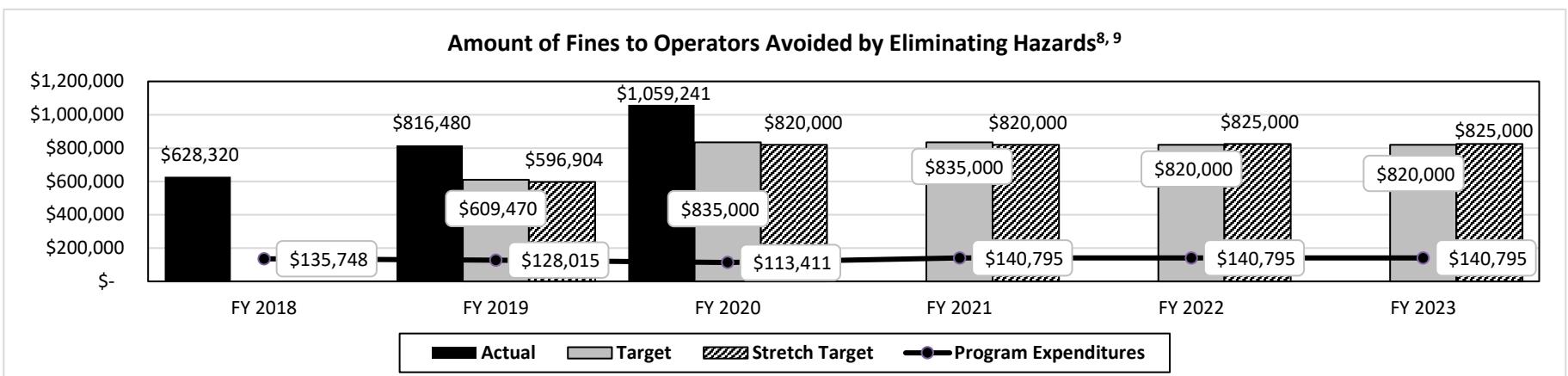
2b. Provide a measure(s) of the program's quality.



⁶ The ratio reflects how many Top 5 Hazards are identified at each site visited.

⁷ In FY 2019, the method to conduct inspections was changed, resulting in a much higher rate of the Top 5 Hazards. As miners are trained, the Division expects the rate to drop.

2c. Provide a measure(s) of the program's impact.



⁸ In FY2019, the method to conduct inspections was changed, resulting in a much higher rate of Top 5 Hazards. As miners are trained, the Division expects the rate to drop. As mine workers and operators are educated, fewer hazards will occur, causing an overall decrease in potential fines.

⁹ FY2022 and FY2023 projections are based on the anticipated reduction in mine production.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

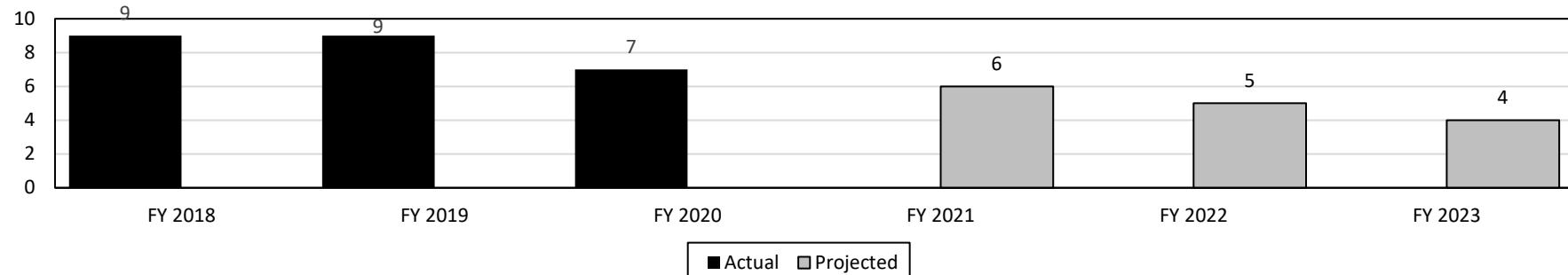
HB Section(s): 7.830

Mine and Cave Inspection

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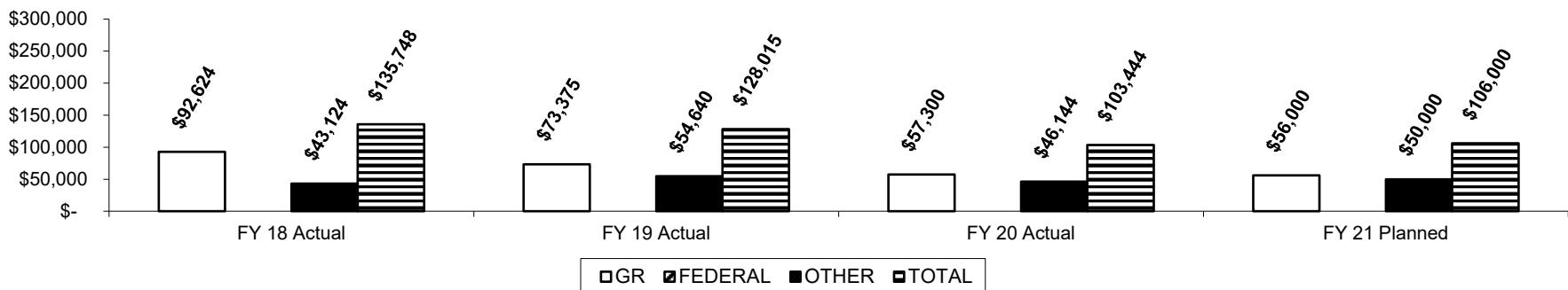
2d. Provide a measure(s) of the program's efficiency.

Number of Days Between Completed Mine Inspection and Mine Owner Receiving Their Report



3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)

Program Expenditure History



PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.830

Mine and Cave Inspection

Program is found in the following core budget(s): Mine and Cave Safety

4. What are the sources of the "Other " funds?

Mine Inspection Fund (0973)

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapter 293, RSMo, and 8 CSR 293.

6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No

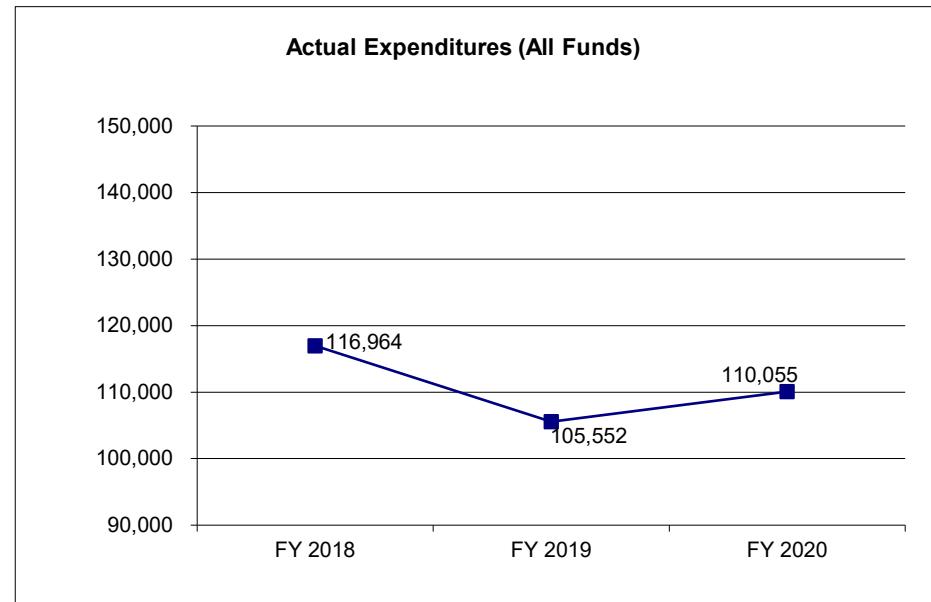
STATE BOARD OF MEDIATION

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u>				Budget Unit <u>62804C</u>																																																								
<u>State Board of Mediation</u>																																																												
<u>Administration</u>				HB Section <u>07.835</u>																																																								
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CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u> <u>State Board of Mediation</u> <u>Administration</u>	<u>Budget Unit</u> <u>62804C</u> <u>HB Section</u> <u>07.835</u>																																																												
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<p>*Current Year restricted amount is as of July 21, 2020.</p> <p>Reverted includes the statutory three percent reserve amount (when applicable). Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).</p> <p>NOTES:</p> <p>(1) Includes \$719 for FY2019 pay plan. Funding was placed in Agency Reserve for expenses that had been earmarked for implementation of HB 1413, which was halted by an injunction in March 2019.</p> <p>(2) Includes NDI of \$72,454 in E&E for implementation of HB1413 (2018); \$719 for cost to continue FY2019 pay plan; \$2,643 for FY2020 pay plan; and \$2,687 in personal services for market adjustments. FY2020 salary expenditures increased \$5,330 due to the pay plan and market adjustment increases.</p> <p>(3) Includes \$2,643 for cost-to-continue FY2020 pay plan; \$2,687 cost-to-continue the market salary adjustments; and \$10 allocation of mileage reimbursement increase.</p>																																																													



CORE RECONCILIATION DETAIL

LABOR STATE BD OF MEDIATION STATE BOARD OF MEDIATION

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	2.00	125,883	0	0	125,883	
	EE	0.00	81,340	0	0	81,340	
	PD	0.00	100	0	0	100	
	Total	2.00	207,323	0	0	207,323	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	271 2324	EE	0.00	(66,340)	0	0	(66,340) Core reallocations to better match planned expenditures.
Core Reallocation	1101 2324	EE	0.00	90	0	0	90 Core reallocations to better match planned expenditures.
Core Reallocation	1101 2324	PD	0.00	(90)	0	0	(90) Core reallocations to better match planned expenditures.
	NET DEPARTMENT CHANGES		0.00	(66,340)	0	0	(66,340)
DEPARTMENT CORE REQUEST							
	PS	2.00	125,883	0	0	125,883	
	EE	0.00	15,090	0	0	15,090	
	PD	0.00	10	0	0	10	
	Total	2.00	140,983	0	0	140,983	
GOVERNOR'S RECOMMENDED CORE							
	PS	2.00	125,883	0	0	125,883	
	EE	0.00	15,090	0	0	15,090	
	PD	0.00	10	0	0	10	
	Total	2.00	140,983	0	0	140,983	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
STATE BOARD OF MEDIATION								
CORE								
PERSONAL SERVICES								
GENERAL REVENUE	104,721	2.01	125,883	2.00	125,883	2.00	0	0.00
TOTAL - PS	104,721	2.01	125,883	2.00	125,883	2.00	0	0.00
EXPENSE & EQUIPMENT								
GENERAL REVENUE	5,334	0.00	81,340	0.00	15,090	0.00	0	0.00
TOTAL - EE	5,334	0.00	81,340	0.00	15,090	0.00	0	0.00
PROGRAM-SPECIFIC								
GENERAL REVENUE	0	0.00	100	0.00	10	0.00	0	0.00
TOTAL - PD	0	0.00	100	0.00	10	0.00	0	0.00
TOTAL	110,055	2.01	207,323	2.00	140,983	2.00	0	0.00
GRAND TOTAL	\$110,055	2.01	\$207,323	2.00	\$140,983	2.00	\$0	0.00

FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 62804C BUDGET UNIT NAME: State Board of Mediation HOUSE BILL SECTION: 7.835	DEPARTMENT: Labor and Industrial Relations DIVISION: State Board of Mediation
--	--

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST

The State Board of Mediation is requesting 10% flexibility for Fund 0101. This will allow the board to more efficiently use its budget and to cover any unanticipated costs.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
None	None	10% from PS to E&E 10% from E&E to PS

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
None	To meet statutory obligations should the number or type of petitions filed change substantially.

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
STATE BOARD OF MEDIATION								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	7,819	0.25	42,800	1.00	0	0.00	0	0.00
EXECUTIVE I	25,769	0.74	0	0.00	0	0.00	0	0.00
DIVISION DIRECTOR	70,883	1.00	79,054	1.00	79,054	1.00	0	0.00
BOARD MEMBER	250	0.02	4,029	0.00	4,029	0.00	0	0.00
ADMIN SUPPORT PROFESSIONAL	0	0.00	0	0.00	42,800	1.00	0	0.00
TOTAL - PS	104,721	2.01	125,883	2.00	125,883	2.00	0	0.00
TRAVEL, IN-STATE	99	0.00	1,574	0.00	1,500	0.00	0	0.00
TRAVEL, OUT-OF-STATE	997	0.00	100	0.00	1,500	0.00	0	0.00
SUPPLIES	1,948	0.00	2,510	0.00	3,500	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	190	0.00	2,145	0.00	1,500	0.00	0	0.00
COMMUNICATION SERV & SUPP	2,087	0.00	2,888	0.00	4,500	0.00	0	0.00
PROFESSIONAL SERVICES	13	0.00	71,323	0.00	1,330	0.00	0	0.00
M&R SERVICES	0	0.00	100	0.00	200	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	100	0.00	1,000	0.00	0	0.00
OTHER EQUIPMENT	0	0.00	100	0.00	10	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	100	0.00	10	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	100	0.00	10	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	100	0.00	10	0.00	0	0.00
MISCELLANEOUS EXPENSES	0	0.00	100	0.00	10	0.00	0	0.00
REBILLABLE EXPENSES	0	0.00	100	0.00	10	0.00	0	0.00
TOTAL - EE	5,334	0.00	81,340	0.00	15,090	0.00	0	0.00
REFUNDS	0	0.00	100	0.00	10	0.00	0	0.00
TOTAL - PD	0	0.00	100	0.00	10	0.00	0	0.00
GRAND TOTAL	\$110,055	2.01	\$207,323	2.00	\$140,983	2.00	\$0	0.00
GENERAL REVENUE	\$110,055	2.01	\$207,323	2.00	\$140,983	2.00		
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.835

State Board of Mediation

Program is found in the following core budget(s): Board of Mediation Administration

1a. What strategic priority does this program address?

Opportunity: Invest in our workforce for today and tomorrow.

Protect public employer and employee rights and support the success and growth of workers and employers.

1b. What does this program do?

- Administers the Public Sector Labor Law (Sections 105.500 – 105.598, RSMo.) which covers most public employees who seek union representation ensuring fair and equitable due process for public sector workers and employers.
- Determines appropriate bargaining units for petitioning public employees and provides clarification of units for political subdivisions and employees ensuring similarly classified employees are afforded the same representation.
- New legislation passed in 2018 (HB1413) requires recertification elections every three years, and requires the collection and publication of annual reports from unions. A temporary injunction went into effect March 7, 2019, blocking the State Board of Mediation from implementing any of the changes from HB1413.

2a. Provide an activity measure(s) for the program.

	FY 2018 Actual	FY 2019		FY 2020		FY 2021 Projected	FY 2022 Projected ²	FY 2023 Projected
		Projected	Actual ¹	Projected	Actual ¹			
Petitions Received	19	500	102	500	16	500	250	250
Petitions Closed	33	100	71	400	17	400	200	200
Employees Affected	1,851	25,000	20,741	25,000	693	25,000	10,000	10,000
Elections Held	18	75	33	400	11	400	200	200
Decertifications	8	25	2	25	3	25	25	25
Certifications	10	50	25	125	10	125	50	20
Recertifications			8	250	0	250	125	125
Election Fees Received			\$ 28,700	\$ 110,000	\$ -	\$ 110,000	\$ 72,600	\$ 72,600

¹ FY2019 and FY2020 Actuals are low due to the temporary injunction that went into effect March 7, 2019, blocking the SBM from implementing any of the changes from HB1413 (2018). Projections reflect the anticipated increased workload should the implementation be allowed to move forward.

² The number of requests is expected to drop in FY2022 because that is the end of the 3-year cycle for certifications.

PROGRAM DESCRIPTION

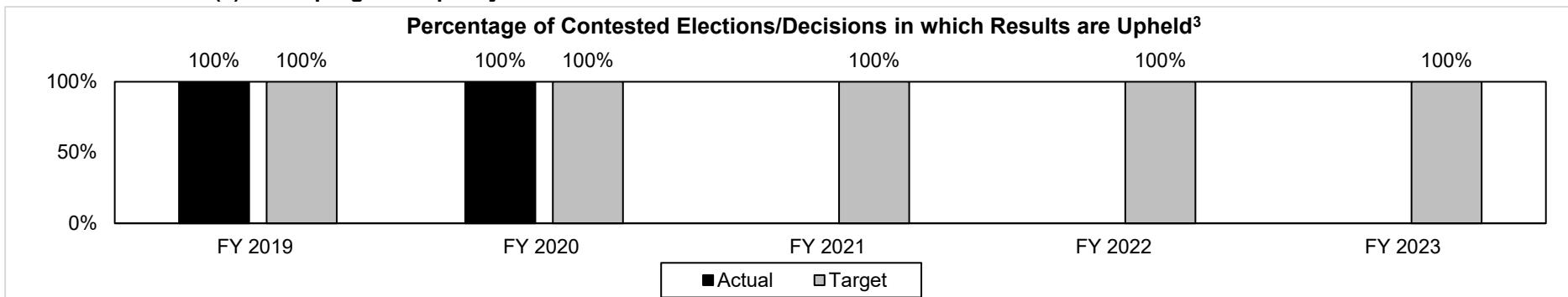
Department of Labor and Industrial Relations

HB Section(s): 7.835

State Board of Mediation

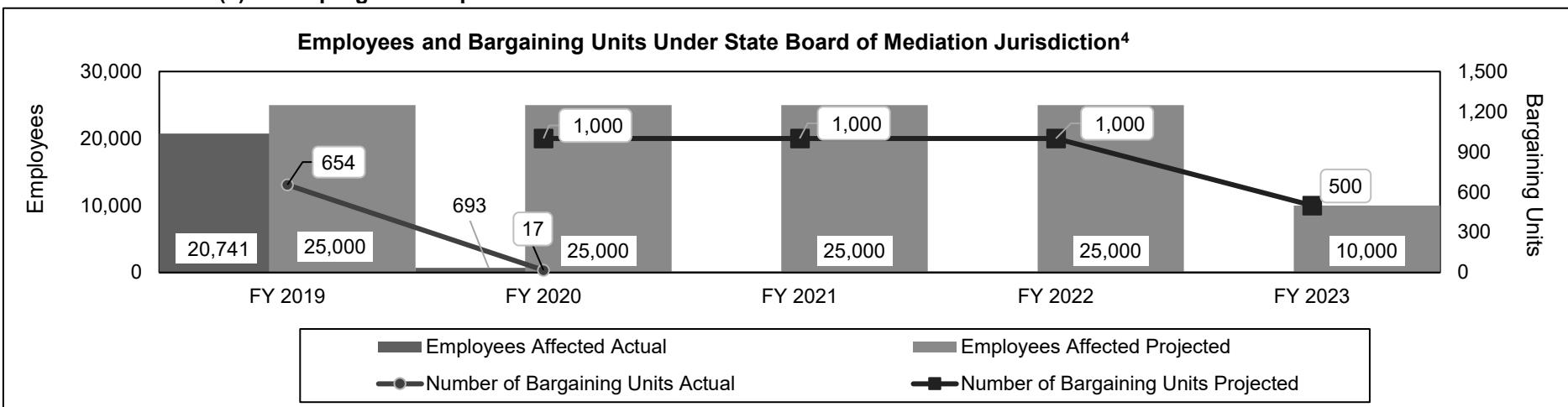
Program is found in the following core budget(s): Board of Mediation Administration

2b. Provide a measure(s) of the program's quality.



³ New measure; prior year data is not available. The number of contested elections is low due to the temporary injunction that went into effect March 7, 2019, blocking the SBM from implementing any of the changes from HB1413 (2018).

2c. Provide a measure(s) of the program's impact.



⁴ This is a new measure for FY2019; prior year data is not available. Actual number is the number of bargaining units who filed Labor Organization Information Reports and are low due to the temporary injunction that went into effect March 7, 2019, blocking the SBM from implementing any of the changes from HB1413 (2018). Projections reflect the anticipated increased workload should the implementation be allowed to move forward. The number of requests for certification is expected to drop in FY2022 because that is the end of the 3-year cycle for certifications. Once the Board has additional data, the projections will be reset.

PROGRAM DESCRIPTION

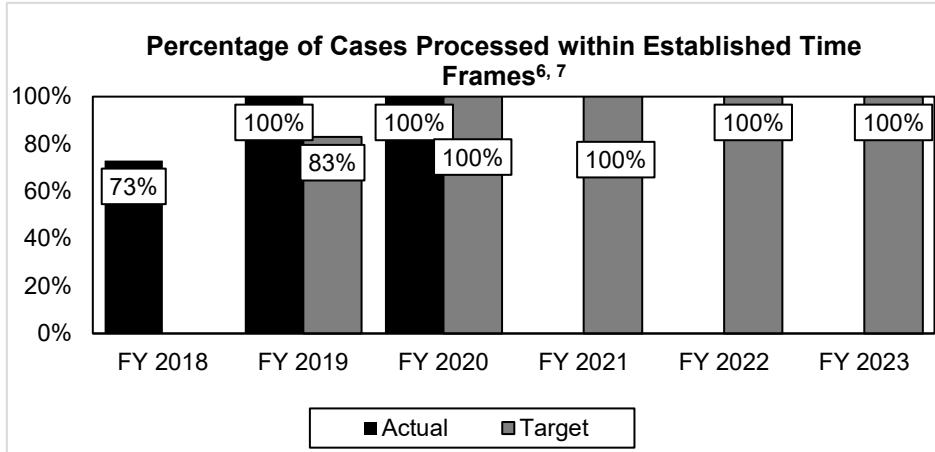
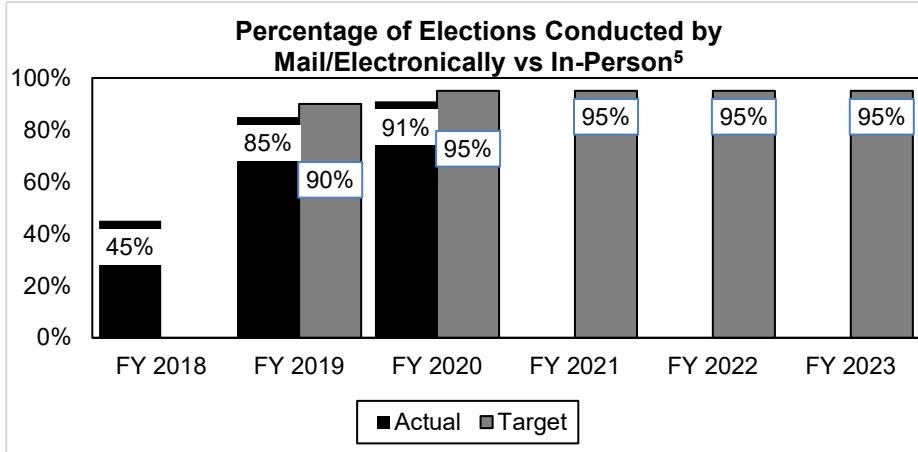
Department of Labor and Industrial Relations

HB Section(s): 7.835

State Board of Mediation

Program is found in the following core budget(s): Board of Mediation Administration

2d. Provide a measure(s) of the program's efficiency.

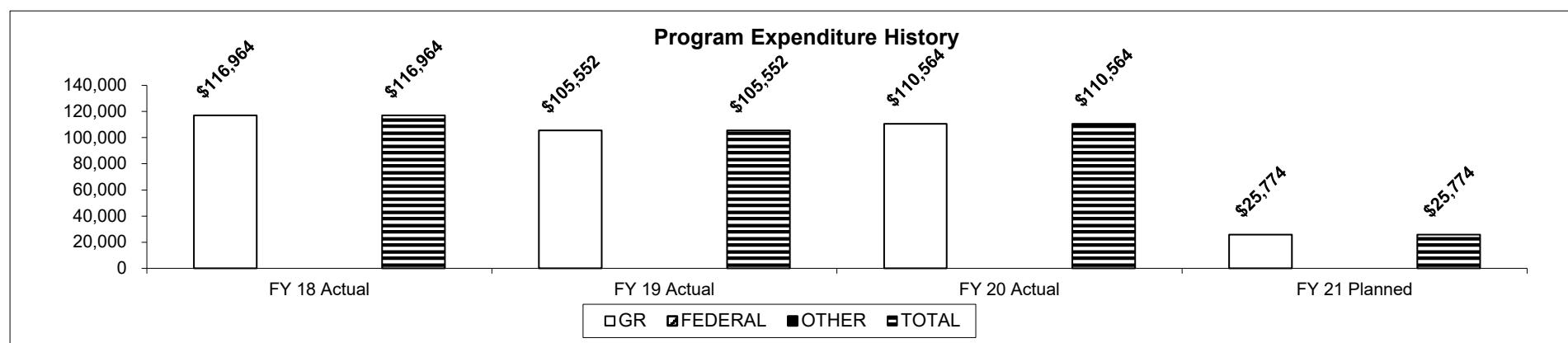


⁵ New measure for FY2018; targets were not established.

⁶ Time frames are set in statute, 28-56 days.

⁷ New measure for FY2018; targets were not established.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.835

State Board of Mediation

Program is found in the following core budget(s): Board of Mediation Administration

4. What are the sources of the "Other" funds?

N/A

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Section 105.500-105.598, RSMo.

6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No

DIVISION OF WORKERS' COMPENSATION

CORE DECISION ITEM

Department of Labor and Industrial Relations				Budget Unit	62915C																																																												
Division of Workers' Compensation																																																																	
Administration				HB Section																																																													
1. CORE FINANCIAL SUMMARY																																																																	
FY 2022 Budget Request <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>GR</th> <th>Federal</th> <th>Other</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td>0</td> <td>0</td> <td>8,338,108</td> <td>8,338,108</td> </tr> <tr> <td>EE</td> <td>0</td> <td>0</td> <td>1,378,057</td> <td>1,378,057</td> </tr> <tr> <td>PSD</td> <td>0</td> <td>0</td> <td>600</td> <td>600</td> </tr> <tr> <td>TRF</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Total</td> <td>0</td> <td>0</td> <td>9,716,765</td> <td>9,716,765</td> </tr> </tbody> </table>					GR	Federal	Other	Total	PS	0	0	8,338,108	8,338,108	EE	0	0	1,378,057	1,378,057	PSD	0	0	600	600	TRF	0	0	0	0	Total	0	0	9,716,765	9,716,765	FY 2022 Governor's Recommendation <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>GR</th> <th>Federal</th> <th>Other</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>EE</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>PSD</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TRF</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Total</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> </tbody> </table>			GR	Federal	Other	Total	PS	0	0	0	0	EE	0	0	0	0	PSD	0	0	0	0	TRF	0	0	0	0	Total	0	0	0	0
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<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>																																																																	
Other Funds: Workers' Compensation Fund (0652) Tort Victims' Compensation Fund (0622)			Other Funds: Workers' Compensation Fund (0652) Tort Victims' Compensation Fund (0622)																																																														
2. CORE DESCRIPTION																																																																	
<p>The Workers' Compensation Division ensures that an employee who suffers a work-related accident, injury, or occupational disease receives medical treatment, wage loss replacement benefits, permanent partial or permanent total disability benefits, and/or death benefits, as prescribed by the law. The Division also oversees benefits that are owed to employees for occupational diseases due to toxic exposure. Various options to resolve disputes that may arise between injured employees and the employers/insurers and/or the Second Injury Fund (SIF); including adjudication services, are provided through eight satellite offices. The Division also regulates individual employers and groups/trusts who have been authorized to self-insure their workers' compensation obligations and investigates allegations of workers' compensation fraud and noncompliance. The Division also authorizes payment of compensation and benefits from the SIF and administers the Line of Duty and Tort Victims' Compensation Programs.</p>																																																																	
3. PROGRAM LISTING (list programs included in this core funding)																																																																	
Workers' Compensation Administration																																																																	

CORE DECISION ITEM

Department of Labor and Industrial Relations
 Division of Workers' Compensation
 Administration

Budget Unit 62915C
 HB Section 07.840

4. FINANCIAL HISTORY

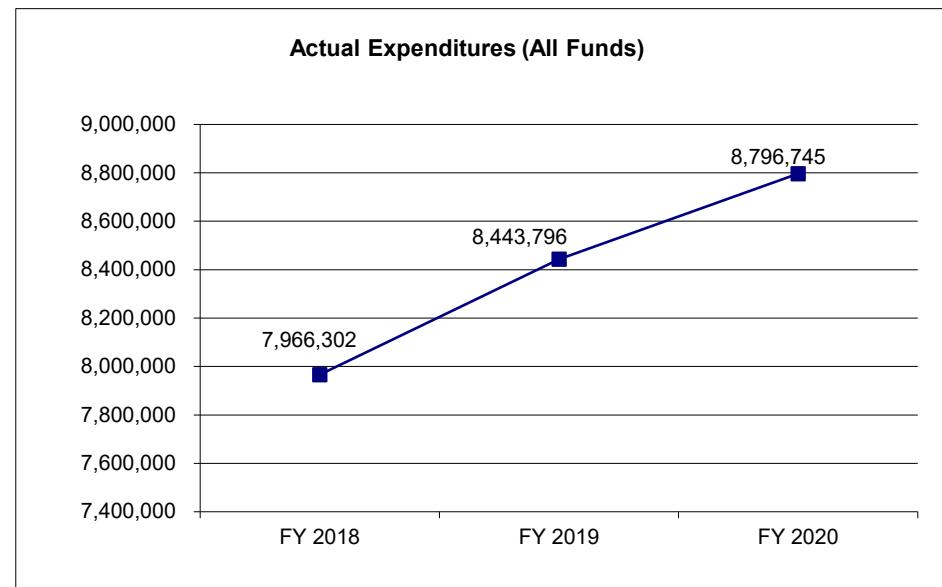
	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	9,603,817	9,511,120	9,818,391	9,716,765
Less Reverted (All Funds)	0	0	0	0
Less Restricted (All Funds)*	0	0	0	0
Budget Authority (All Funds)	9,603,817	9,511,120	9,818,391	9,716,765
Actual Expenditures (All Funds)	7,966,302	8,443,796	8,796,745	N/A
Unexpended (All Funds)	1,637,515	1,067,324	1,021,646	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	9,068	N/A
Other	1,637,515	1,067,324	1,012,578	N/A
	(1)	(2)	(3)	

*Current Year restricted amount is as of July 21, 2020.

Reverted includes the statutory three percent reserve amount (when applicable).

NOTES:

- (1) Includes a core reduction of (\$122,752) and (1.00) FTE of Administrative Law Judge, a reduction of (\$10,000) for Chief ALJ positions now filled as ALJs, and \$40,055 for FY2019 pay plan. Actual Expenditures increased due to additional staff, supply purchases, and vehicle and furniture purchases.
- (2) Includes an NDI of \$122,762 and 1.00 FTE for restoration of an Administrative Law Judge; a core reallocation to Div. of Labor Standards of (\$30,000) PS for oversight of safety programs; a core reallocation of \$174,184 from Director & Staff to the Div. of Workers' Compensation for the Research & Analysis Unit; a core reduction of (\$50,000) for the expired Kids' Chance transfer; a core reduction of (\$27,040) and (1.00) FTE; \$41,465 cost-to-continue the FY2019 pay plan; \$70,048 for the FY2020 pay plan; and \$5,852 in personal services for market adjustments. Actual expenditures increased when staff positions were filled to support the computer modernization project.
- (3) Includes a core reallocation of (\$177,910) and (4.00) FTE of the Research & Analysis Unit to the Division of Labor Standards; core reallocation of \$2,710 mileage reimbursement from the Department; and \$67,722 and \$5,852 cost-to-continue the FY2020 pay plan and market adjustments.



CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL ADMINISTRATION-WORK COMP

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	143.25	0	0	8,338,108	8,338,108	
	EE	0.00	0	0	1,378,258	1,378,258	
	PD	0.00	0	0	399	399	
	Total	143.25	0	0	9,716,765	9,716,765	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	1123 0693	EE	0.00	0	0	(201)	(201) Core reallocations to better match planned expenditures.
Core Reallocation	1123 0693	PD	0.00	0	0	201	201 Core reallocations to better match planned expenditures.
NET DEPARTMENT CHANGES			0.00	0	0	0	
DEPARTMENT CORE REQUEST							
	PS	143.25	0	0	8,338,108	8,338,108	
	EE	0.00	0	0	1,378,057	1,378,057	
	PD	0.00	0	0	600	600	
	Total	143.25	0	0	9,716,765	9,716,765	
GOVERNOR'S RECOMMENDED CORE							
	PS	143.25	0	0	8,338,108	8,338,108	
	EE	0.00	0	0	1,378,057	1,378,057	
	PD	0.00	0	0	600	600	
	Total	143.25	0	0	9,716,765	9,716,765	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
ADMINISTRATION-WORK COMP								
CORE								
PERSONAL SERVICES								
WORK COMP LABOR STATS FUND	76,901	1.77	0	0.00	0	0.00	0	0.00
WORKERS COMPENSATION	7,834,802	129.83	8,338,108	143.25	8,338,108	143.25	0	0.00
TOTAL - PS	7,911,703	131.60	8,338,108	143.25	8,338,108	143.25	0	0.00
EXPENSE & EQUIPMENT								
WORK COMP LABOR STATS FUND	2,986	0.00	0	0.00	0	0.00	0	0.00
TORT VICTIMS' COMPENSATION	0	0.00	4,836	0.00	4,836	0.00	0	0.00
WORKERS COMPENSATION	881,493	0.00	1,373,422	0.00	1,373,221	0.00	0	0.00
TOTAL - EE	884,479	0.00	1,378,258	0.00	1,378,057	0.00	0	0.00
PROGRAM-SPECIFIC								
WORKERS COMPENSATION	563	0.00	399	0.00	600	0.00	0	0.00
TOTAL - PD	563	0.00	399	0.00	600	0.00	0	0.00
TOTAL	8,796,745	131.60	9,716,765	143.25	9,716,765	143.25	0	0.00
GRAND TOTAL	\$8,796,745	131.60	\$9,716,765	143.25	\$9,716,765	143.25	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMINISTRATION-WORK COMP								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	262,656	7.63	261,405	9.00	0	0.00	0	0.00
OFFICE SUPPORT ASSISTANT	0	0.00	23,494	1.00	0	0.00	0	0.00
SR OFFICE SUPPORT ASSISTANT	760,782	24.71	858,002	28.00	0	0.00	0	0.00
COURT REPORTER II	691,331	13.42	743,709	14.00	0	0.00	0	0.00
COURT REPORTER SUPV	70,876	1.24	100,671	2.00	0	0.00	0	0.00
ACCOUNTING CLERK	30,599	1.00	31,556	1.00	0	0.00	0	0.00
ACCOUNTING TECHNICIAN	33,847	1.00	34,906	1.00	0	0.00	0	0.00
ACCOUNTING GENERALIST I	36,232	1.00	37,365	1.00	0	0.00	0	0.00
RESEARCH ANAL I	35,246	1.00	0	0.00	0	0.00	0	0.00
RESEARCH ANAL II	30,986	0.80	0	0.00	0	0.00	0	0.00
EXECUTIVE I	35,365	0.96	37,955	1.00	0	0.00	0	0.00
EXECUTIVE II	44,078	1.00	45,457	1.00	0	0.00	0	0.00
MANAGEMENT ANALYSIS SPEC I	59,584	1.11	115,548	2.00	0	0.00	0	0.00
MANAGEMENT ANALYSIS SPEC II	61,620	1.00	63,547	1.00	0	0.00	0	0.00
WORKERS' COMP TECH II	409,049	12.70	486,346	15.00	0	0.00	0	0.00
WORKERS' COMP TECH SUPV	0	0.00	37,904	1.00	0	0.00	0	0.00
WORKERS' COMP TECH III	63,696	1.73	76,302	2.00	0	0.00	0	0.00
ADMINISTRATIVE ANAL I	23,321	0.62	0	0.00	0	0.00	0	0.00
ADMINISTRATIVE ANAL II	52,316	1.15	46,272	1.00	0	0.00	0	0.00
WKRS COMP SAFETY CONSULTANT I	42,622	0.91	48,853	1.00	0	0.00	0	0.00
INVESTIGATOR II	314,858	7.41	350,305	8.00	0	0.00	0	0.00
INVESTIGATOR III	146,020	3.08	146,644	3.00	0	0.00	0	0.00
INS COMPLIANCE REVIEW SPEC I	36,662	0.81	47,777	1.00	0	0.00	0	0.00
INS COMPLIANCE REVIEW SPEC II	48,660	1.00	50,182	1.00	0	0.00	0	0.00
INS COMPLIANCE REVIEW SPEC III	58,007	1.00	59,821	1.00	0	0.00	0	0.00
INSURANCE FINANCIAL ANAL SPEC	135,613	2.79	152,433	3.00	0	0.00	0	0.00
INVESTIGATION MGR B1	63,968	1.00	65,969	1.00	0	0.00	0	0.00
RESEARCH MANAGER B1	64,126	1.00	0	0.00	0	0.00	0	0.00
LABOR & INDUSTRIAL REL MGR B1	242,566	3.62	276,748	4.00	0	0.00	0	0.00
DIVISION DIRECTOR	129,762	1.00	129,762	1.00	129,762	1.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	111,404	1.50	94,534	1.00	78,023	1.00	0	0.00
PARALEGAL	38,883	0.96	41,819	1.00	0	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMINISTRATION-WORK COMP								
CORE								
LEGAL COUNSEL	143,126	2.18	202,772	3.00	140,000	3.00	0	0.00
CLERK	74,547	1.93	96,593	4.25	96,876	4.25	0	0.00
SPECIAL ASST PROFESSIONAL	56,964	0.86	0	0.00	0	0.00	0	0.00
ADMINISTRATIVE SUPPORT CLERK	0	0.00	0	0.00	23,494	1.00	0	0.00
ADMIN SUPPORT ASSISTANT	0	0.00	0	0.00	25,844	1.00	0	0.00
ADMIN SUPPORT PROFESSIONAL	0	0.00	0	0.00	83,412	2.00	0	0.00
ADMINISTRATIVE MANAGER	0	0.00	0	0.00	69,393	1.00	0	0.00
CUSTOMER SERVICE REP	0	0.00	0	0.00	603,403	19.00	0	0.00
LEAD CUSTOMER SERVICE REP	0	0.00	0	0.00	215,182	6.00	0	0.00
CUSTOMER SERVICE SUPERVISOR	0	0.00	0	0.00	0	0.00	0	0.00
PROGRAM SPECIALIST	0	0.00	0	0.00	53,561	1.00	0	0.00
SENIOR PROGRAM SPECIALIST	0	0.00	0	0.00	55,917	1.00	0	0.00
RESEARCH/DATA ASSISTANT	0	0.00	0	0.00	38,057	1.00	0	0.00
ASSOC RESEARCH/DATA ANALYST	0	0.00	0	0.00	46,350	1.00	0	0.00
SENIOR RESEARCH/DATA ANALYST	0	0.00	0	0.00	63,547	1.00	0	0.00
ACCOUNTS ASSISTANT	0	0.00	0	0.00	31,556	1.00	0	0.00
SENIOR ACCOUNTS ASSISTANT	0	0.00	0	0.00	34,906	1.00	0	0.00
ACCOUNTANT	0	0.00	0	0.00	37,365	1.00	0	0.00
ACCOUNTANT MANAGER	0	0.00	0	0.00	65,500	1.00	0	0.00
COURT REPORTER	0	0.00	0	0.00	785,049	15.00	0	0.00
COURT REPORTER SUPERVISOR	0	0.00	0	0.00	173,252	3.00	0	0.00
DOCKET CLERK	0	0.00	0	0.00	621,793	20.00	0	0.00
SENIOR DOCKET CLERK	0	0.00	0	0.00	109,213	3.00	0	0.00
LEGAL ASSISTANT	0	0.00	0	0.00	104,410	3.00	0	0.00
PARALEGAL	0	0.00	0	0.00	41,819	1.00	0	0.00
SR NON-COMMISSION INVESTIGATOR	0	0.00	0	0.00	388,912	9.00	0	0.00
NON-COMMSSN INVESTIGATOR SPV	0	0.00	0	0.00	96,318	2.00	0	0.00
INVESTIGATIONS MANAGER	0	0.00	0	0.00	65,969	1.00	0	0.00
HEALTH AND SAFETY ANALYST	0	0.00	0	0.00	47,381	1.00	0	0.00
HEALTH AND SAFETY MANAGER	0	0.00	0	0.00	61,385	1.00	0	0.00
REGULATORY AUDITOR	0	0.00	0	0.00	87,672	2.00	0	0.00
SENIOR REGULATORY AUDITOR	0	0.00	0	0.00	152,259	3.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMINISTRATION-WORK COMP								
CORE								
REGULATORY AUDITOR SUPERVISOR	0	0.00	0	0.00	59,821	1.00	0	0.00
REGULATORY COMPLIANCE MANAGER	0	0.00	0	0.00	77,250	1.00	0	0.00
CHIEF LEGAL COUNSEL	111,121	1.00	111,121	1.00	111,121	1.00	0	0.00
CHIEF ADMINISTRATIVE LAW JUDGE	468,459	3.67	638,810	5.00	638,810	5.00	0	0.00
ADMINISTRATIVE LAW JUDGE	2,922,751	23.81	2,823,526	23.00	2,823,526	23.00	0	0.00
TOTAL - PS	7,911,703	131.60	8,338,108	143.25	8,338,108	143.25	0	0.00
TRAVEL, IN-STATE	46,912	0.00	76,044	0.00	78,894	0.00	0	0.00
TRAVEL, OUT-OF-STATE	12,741	0.00	34,334	0.00	35,234	0.00	0	0.00
SUPPLIES	459,303	0.00	410,070	0.00	480,440	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	48,582	0.00	74,808	0.00	75,573	0.00	0	0.00
COMMUNICATION SERV & SUPP	63,182	0.00	69,834	0.00	70,474	0.00	0	0.00
PROFESSIONAL SERVICES	157,832	0.00	241,661	0.00	240,965	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	0	0.00	3,900	0.00	3,900	0.00	0	0.00
M&R SERVICES	14,925	0.00	212,226	0.00	212,616	0.00	0	0.00
MOTORIZED EQUIPMENT	0	0.00	60,334	0.00	60,334	0.00	0	0.00
OFFICE EQUIPMENT	26,431	0.00	122,237	0.00	67,848	0.00	0	0.00
OTHER EQUIPMENT	4,508	0.00	21,334	0.00	7,500	0.00	0	0.00
PROPERTY & IMPROVEMENTS	13,110	0.00	0	0.00	5,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	1,527	0.00	0	0.00	1,555	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	31,692	0.00	31,674	0.00	32,564	0.00	0	0.00
MISCELLANEOUS EXPENSES	3,734	0.00	19,702	0.00	5,060	0.00	0	0.00
REBILLABLE EXPENSES	0	0.00	100	0.00	100	0.00	0	0.00
TOTAL - EE	884,479	0.00	1,378,258	0.00	1,378,057	0.00	0	0.00
PROGRAM DISTRIBUTIONS	0	0.00	100	0.00	0	0.00	0	0.00
REFUNDS	563	0.00	299	0.00	600	0.00	0	0.00
TOTAL - PD	563	0.00	399	0.00	600	0.00	0	0.00
GRAND TOTAL	\$8,796,745	131.60	\$9,716,765	143.25	\$9,716,765	143.25	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$79,887	1.77	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$8,716,858	129.83	\$9,716,765	143.25	\$9,716,765	143.25		0.00

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.840

Workers' Compensation Program Administration

Program is found in the following core budget(s): Division of Workers' Compensation

1a. What strategic priority does this program address?

Growth: Foster a business environment to support economic development.

Fair administration of the workers' compensation program to achieve operational stability in the business environment and ensure injured workers are receiving the benefits to which they are entitled.

1b. What does this program do?

- Provides administrative oversight to the Division of Workers' Compensation processes and programs to ensure compliance with applicable Missouri law.
- Administrative Law Judges (ALJs) preside over evidentiary hearings on contested cases and medical fee disputes, issue awards (judgments) and dismissals, and conduct conferences and mediation in order to fulfill the Division's statutory responsibility to adjudicate and resolve such disputes.
- Offers early intervention services and mediates disputes that arise between parties offering the opportunity to resolve disputes in a timely and equitable manner, allowing parties to avoid litigation, stress, and increased expenses.
- Responds to records and data requests and sends awards to the parties in compliance with statutes.
- Fields inquiries from injured employees, employers, and other interested parties, providing accurate and relevant information in response to the request.
- Reviews, analyzes, and processes documents and data including Claims for Compensation, First Reports of Injury (FROI), and other documents required by Missouri law.
- Oversees the Line of Duty Compensation and Tort Victims' Compensation Programs.

2a. Provide an activity measure(s) for the program.

	FY 2018 Actual	FY 2019		FY 2020		FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
		Projected	Actual	Projected	Actual			
Claims for Compensation by Resolution Type¹								
<i>Dismissals</i>	6,528	5,947	7,078	7,272	8,364	8,976	9,609	10,024
<i>Settlements</i>	13,487	13,252	13,449	13,446	13,288	13,222	13,146	13,099
<i>Awards</i>	619	622	535	516	404	332	265	218
First Reports of Injury (FROI) Processed	120,148	120,476	119,291	119,410	119,654	119,654	122,047	124,488
Contested Case Proceedings Received for Claims for Compensation	20,827	21,595	21,597	22,029	18,491	18,491	18,861	19,238

¹ There are several variables beyond the division's control that can affect claims resolutions, thus projections for future fiscal years are strictly estimates and are difficult to predict.

PROGRAM DESCRIPTION

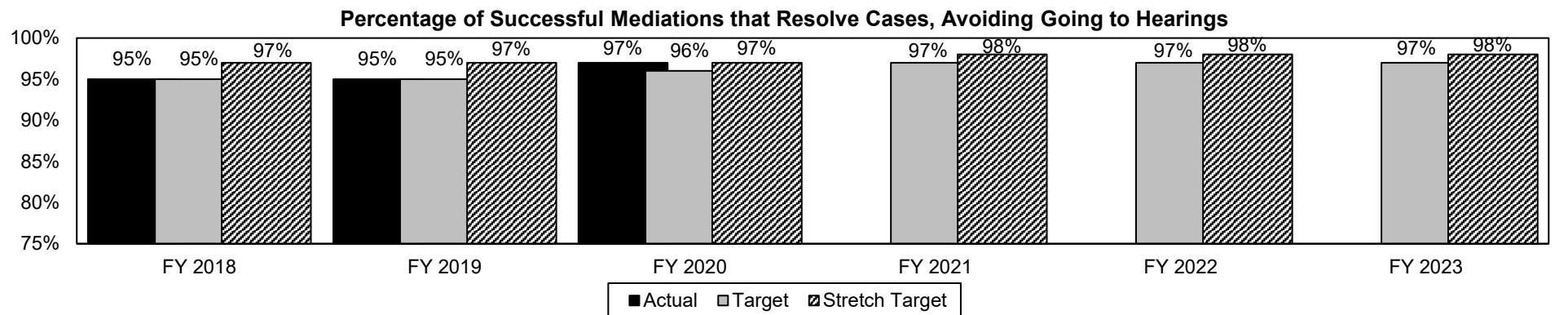
Department of Labor and Industrial Relations

HB Section(s): 7.840

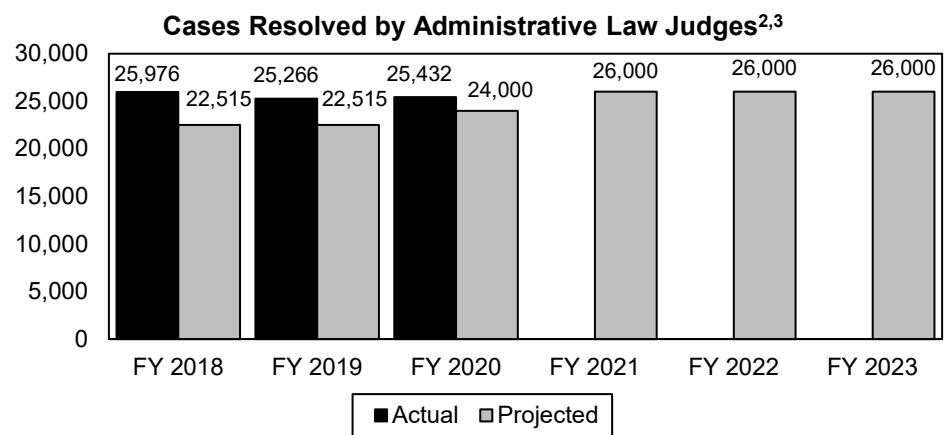
Workers' Compensation Program Administration

Program is found in the following core budget(s): Division of Workers' Compensation

2b. Provide a measure(s) of the program's quality.

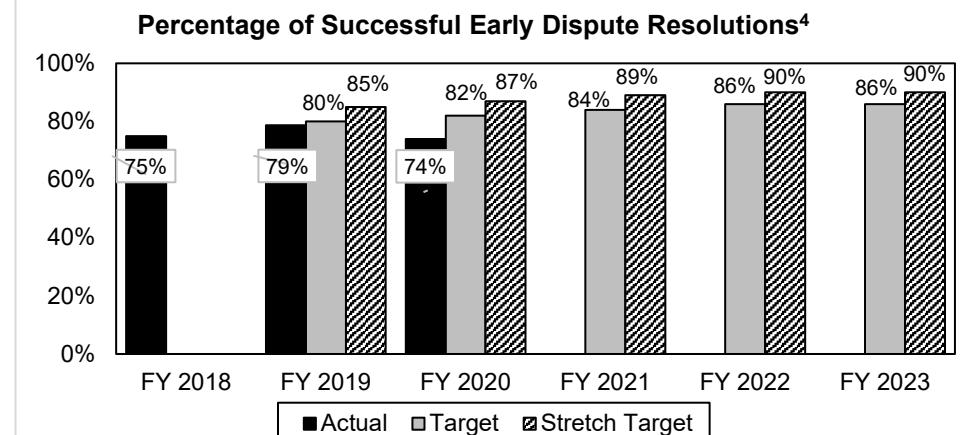


2c. Provide a measure(s) of the program's impact.



² Cases resolved through dismissal, settlement or decision, avoiding further court proceedings, allowing quicker resolution of cases and reducing costs for all parties.

³ Projections reflect the implementation of the Division's early dispute resolution program and the effort undertaken to resolve backlogs of current cases.



⁴ Early dispute resolution allows parties to resolve cases and avoid a contested case proceeding by filing a Claim for Compensation. This measure did not have targets set in previous years. The Division recently filled a vacant Mediator position which accounts for a decline in resolutions for FY2020.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

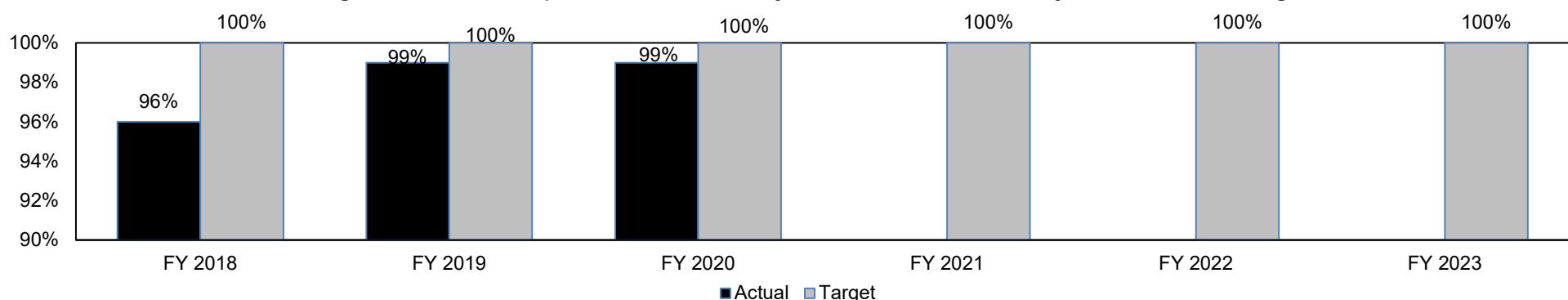
HB Section(s): 7.840

Workers' Compensation Program Administration

Program is found in the following core budget(s): Division of Workers' Compensation

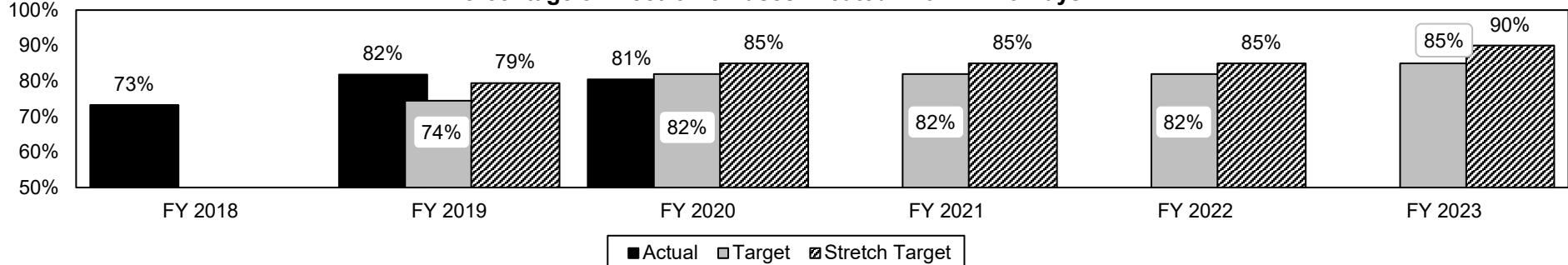
2d. Provide a measure(s) of the program's efficiency.

Percentage of Workers' Compensation Decisions by ALJs Issued within 90 Days of the Final Hearing Date⁵



⁵The hearing is concluded within thirty days of the date of hearing, except in extraordinary circumstances where a lengthy trial or complex issues necessitate a longer time than ninety days. The time frames are set in accordance with the performance standards established for the Administrative Law Judges by the ALJ Review Committee and Chapter 287, RSMo, to ensure that cases move through the system without delay. Neighboring states range from thirty days to no limit for ALJ decisions.

Percentage of Electronic Cases Created Within Two Days^{6,7,8}



⁶Files are created from First Reports of Injury (FROIs) and Claims for Compensation. Creation of an electronic case file allows the case to move toward resolution more quickly. Case information can be accessed electronically rather than reviewing paper copies and reduces the amount of storage necessary to maintain case files.

⁷In FY2019, the Division migrated electronic access and storage of imaged documents to OnBase from a solution that was no longer supported. Full utilization of OnBase is an ongoing process.

⁸ The FY2023 targets assume that the DWC Modernization system will be in operation and will support faster electronic case creation.

PROGRAM DESCRIPTION

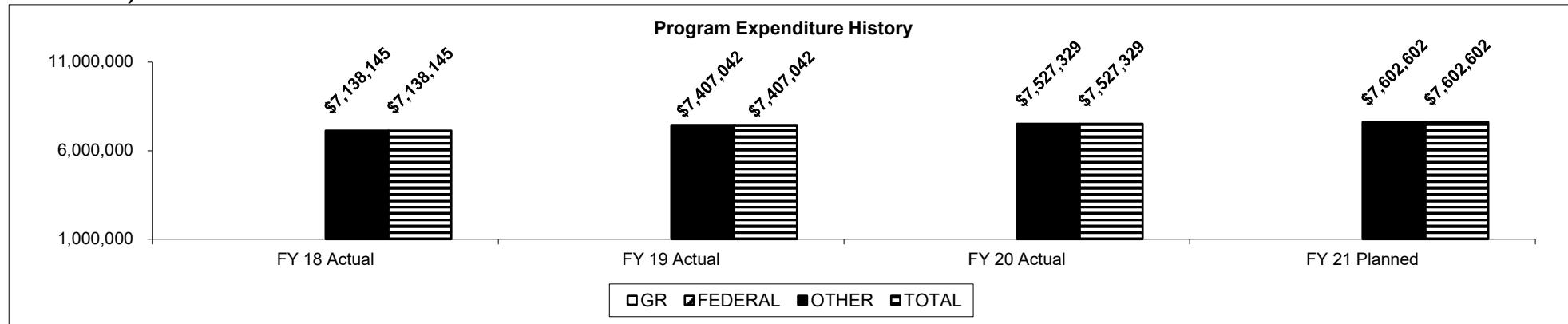
Department of Labor and Industrial Relations

HB Section(s): 7.840

Workers' Compensation Program Administration

Program is found in the following core budget(s): Division of Workers' Compensation

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



4. What are the sources of the "Other" funds?

Workers' Compensation Administration Fund.

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapter 287, RSMo.

6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.840

Fraud and Non-Compliance

Program is found in the following core budget(s): Division of Workers' Compensation

1a. What strategic priority does this program address?

Growth: Foster a business environment to support economic development.

Works to reduce workers' compensation fraud and non-compliance through investigation and increased prevention and awareness; thereby fostering a business environment that supports economic development.

1b. What does this program do?

- Preserves the integrity of Missouri's Workers' Compensation Law by investigating allegations of workers' compensation fraud and non-compliance.
- Provides education and awareness of Missouri's Workers' Compensation Law and its requirements.

2a. Provide an activity measure(s) for the program.

	FY 2018 Actual	FY 2019		FY 2020		FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
	Projected	Actual	Projected	Actual	Projected	Projected	Projected	Projected
Number of Cases Investigated ^{1,3}	637	600	446	600	339	550	500	500
Percentage of Cases Investigated that were for Fraud	29%	50%	47%	50%	47%	50%	50%	50%
Percentage of Cases Investigated that were for Non-Compliance	71%	50%	53%	50%	52%	50%	50%	50%
Average Number of Cases by Each Investigator ¹	63	60	48	55	38	50	45	45
No. of Prevention/Outreach/Education Programs Presented ^{1,2,3}	10	20	26	20	11	15	10	10
No. of Citizens Served during Outreach and Awareness Programs ²	319	400	1,818	1,000	1,098	750	500	400

¹ Current and projected measures address efforts to promote growth, safety and opportunity for workers and businesses by investigating both fraud and noncompliance complaints. The Unit's investigations and outreach programs are projected as these goals more accurately measure the effectiveness of the unit's efforts in decreasing the complaints of allegations for fraud and noncompliance under the Law.

² The Division presented at several large conferences and seminars during FY 2019, resulting in a significant increase in the number of citizens served. Attendance at the seminars and conferences is voluntary and not within the Unit's control. The outreach efforts would result in educating the stakeholders of the requirements under the law in order to achieve overall compliance.

³ The pandemic in 2020 has had obvious effects on the quantity of intakes reported to the FNU, and the ability to present outreach programs for stakeholders. Staff from FNU had been required to provide 50% of their work hours to assist the DES with unemployment verifications.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

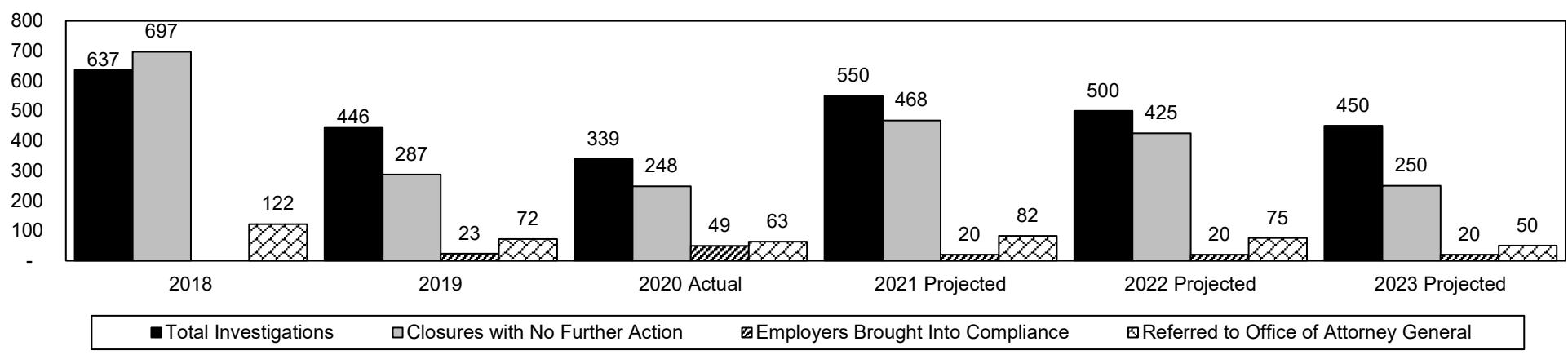
HB Section(s): 7.840

Fraud and Non-Compliance

Program is found in the following core budget(s): Division of Workers' Compensation

2b. Provide a measure(s) of the program's quality.

Investigations and Outcomes^{4, 5}



⁴ New measure for FY 2018; Employers Placed in Compliance with the requirements of Chapter 287 RSMo., measures the unit's ability to preserve the integrity of the Missouri Workers' Compensation Law through quality investigation, even without criminal prosecution. Investigations may be initiated without a complaint through identification of patterns and the use of other analytics. As employers are brought into compliance with Chapter 287, RSMo., it is expected the number of employees affected will drop accordingly.

⁵ Data for 2018 is not available for Employers Brought Into Compliance.

2c. Provide a measure(s) of the program's impact.

	FY 2018 Actual	FY 2019 Projected	FY 2019 Actual	FY 2020 Projected	FY 2020 Actual	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Total Employees Affected When Employers are Brought Into Compliance with Statute	418	400	432	432	630	400	400	400

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.840

Fraud and Non-Compliance

Program is found in the following core budget(s): Division of Workers' Compensation

	FY 2015 Actual	FY 2016 Actual	FY 2017 Actual	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual
Amount of Penalties Collected from Prosecuted Fraud and Non-Compliance Cases ⁶	\$723,111	\$1,421,206	\$512,047	\$269,622	\$309,602	\$350,428

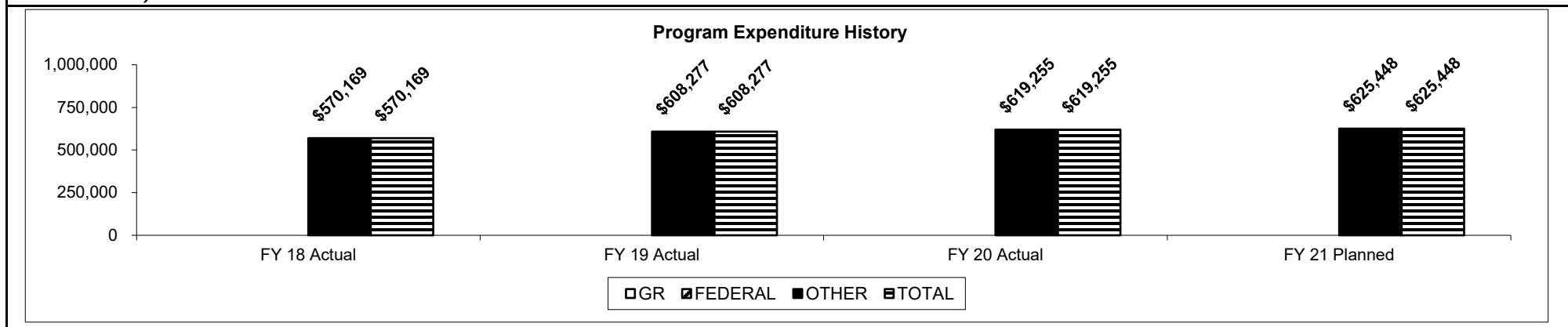
⁶ The projections have been adjusted according to a change in focus for investigations. The Unit will focus equally on fraud and noncompliance, as well as provide better service through the use of enhanced data analytics. There are also several factors that can influence the length of an investigation, so the projection has been adjusted to emphasize quality investigations rather than quantity of investigations.

2d. Provide a measure(s) of the program's efficiency.

	FY 2018 Actual	FY 2019 Projected	FY 2019 Actual	FY 2020 Projected	FY 2020 Actual	FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
Average Number of Days to Open a Case for Investigation	11	7	7	7	15	7	7	7
Average Number of Days to Investigate and Close a Case ⁷	53	90	126	120	160	120	120	120

⁷ The projections have been adjusted according to a change in focus for investigations. The Unit will focus equally on fraud and noncompliance as well as improved service through the use of enhanced data analytics that focuses on industries with most injuries and counties with the highest per capita ranking. There are also several factors that can influence the length of an investigation, so the projection has been adjusted to emphasize quality investigations rather than quantity of investigations.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.840

Fraud and Non-Compliance

Program is found in the following core budget(s): Division of Workers' Compensation

4. What are the sources of the "Other" funds?

Workers' Compensation Administration

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Section 287.128, RSMo.

6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.840

Program Name: Workers' Compensation Self-Insurance

Program is found in the following core budget(s): Division of Workers' Compensation

1a. What strategic priority does this program address?

Growth: Foster a business environment to support economic development.

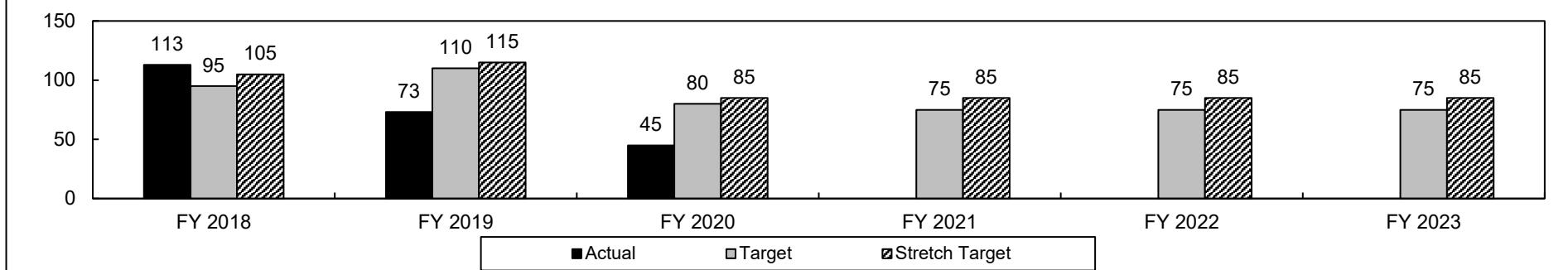
Supports the economic vitality and stability of the self-insured businesses and workforce by providing direction through regulation.

1b. What does this program do?

- Provides Missouri employers with a viable and economic alternative to the purchase of workers' compensation insurance resulting in better outcomes and lower cost to the employer.
- Monitors self-insured employers and groups to ensure the stability of the self-insurance system and economic security for injured workers through statutory and regulatory compliance.
- Serves as resource concerning information relating to workers' compensation insurance and proof of coverage.
- Principal contact with the Missouri Private Sector Individual Self-Insurers Guaranty Corporation to ensure transition of benefit payments to the Guaranty Corporation when an individual private sector self-insured employer defaults.

2a. Provide an activity measure(s) for the program.

Self-Insurance Audits Completed¹
There are 220 Active Self-Insureds in the Audit Pool



¹ Review of compliance, complaints and cases to ensure timely and appropriate payments, complete and accurate reporting and the ability to meet financial obligations.

The Self-Insurance Unit placed audits on hold for 3 months because of COVID-19 restrictions for FY 2020 resulting in a lower number of audits being performed as the Unit's auditors assisted DES with unemployment claims.

Audits are completed in cycles with the current target of each self-insured employer audited once every three-four years. The projected decline from FY 2019 to FY 2020, FY 2021 and FY 2022 is due to the number of employers electing not to be self-insured because of fluctuations in the market or being acquired by an entity that does not desire to be self-insured. The economic impact of COVID-19 is still unknown. Estimated total number of individual self-insured employers for FY 2021 is 220.

PROGRAM DESCRIPTION

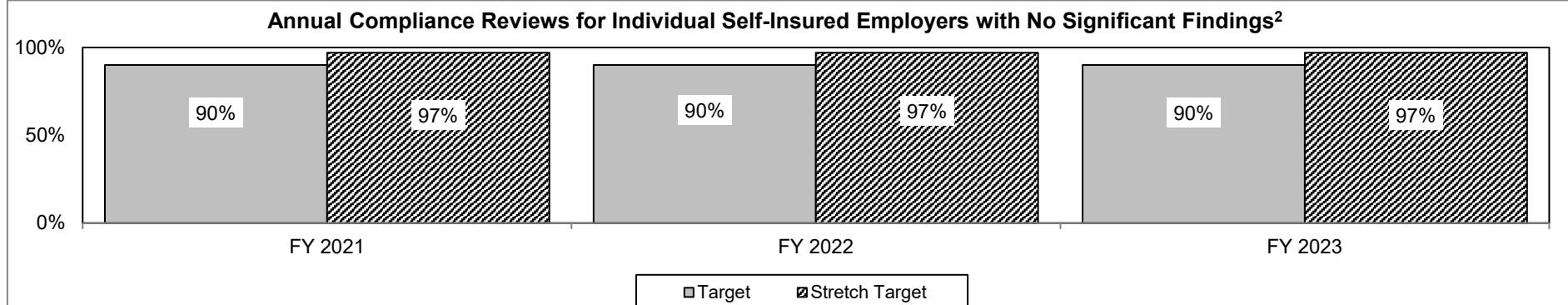
Department of Labor and Industrial Relations

HB Section(s): 7.840

Program Name: Workers' Compensation Self-Insurance

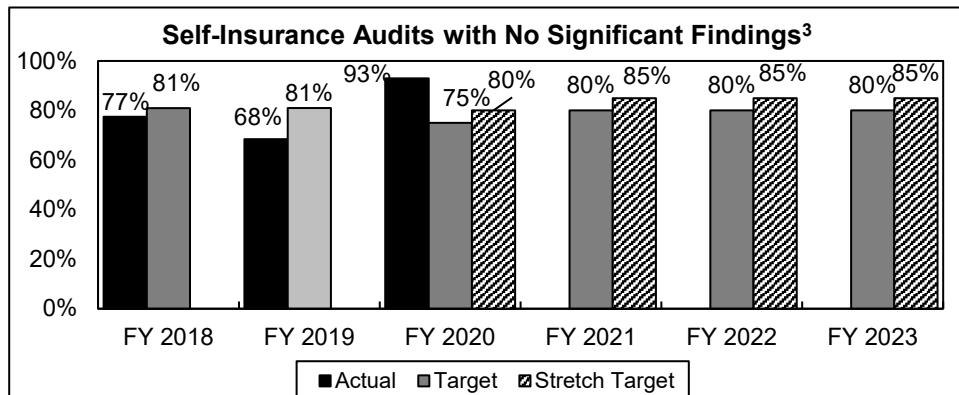
Program is found in the following core budget(s): Division of Workers' Compensation

2b. Provide a measure(s) of the program's quality.

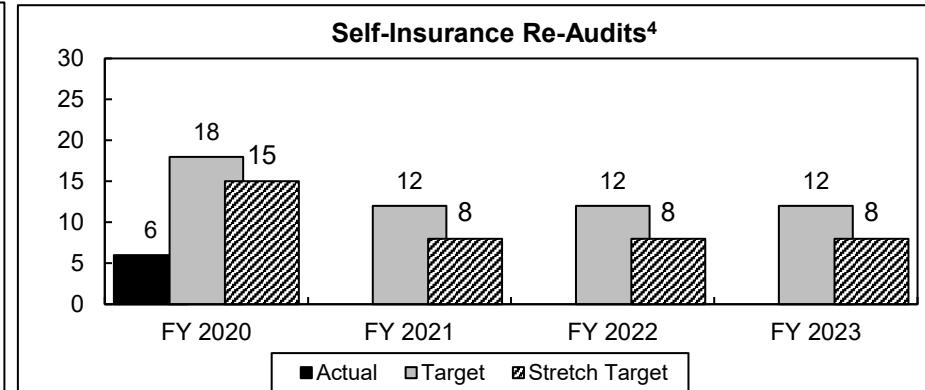


² This is a new measurement for 2021 and no prior actual data is available. Annual compliance reviews are conducted to verify adequate security, excess coverage and that the employer remains financially stable. An example of a significant finding would include inadequate security levels, excess coverage not current or timelines of reporting. The measurement is to identify the employers who fall outside of compliance. There are currently 220 Active self-insured employers. The 90% goal would indicate less than 22 of the 220 had findings. Stretch goal less than 11 employers required follow-up to review findings.

2c. Provide a measure(s) of the program's impact.



³ The audits are a review of compliance, complaints, and cases to ensure timely and appropriate payments, complete and accurate reporting, and the ability to meet financial obligations. The decline in audits for FY 2020 is due to COVID-19 restrictions.



⁴ Follow-up audits are structured to be done within two years to achieve compliance. They include review of prior corrective action plans, so the content and focus vary by entity. The Division anticipates fewer re-audits will be necessary in the future as employers correct deficiencies.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

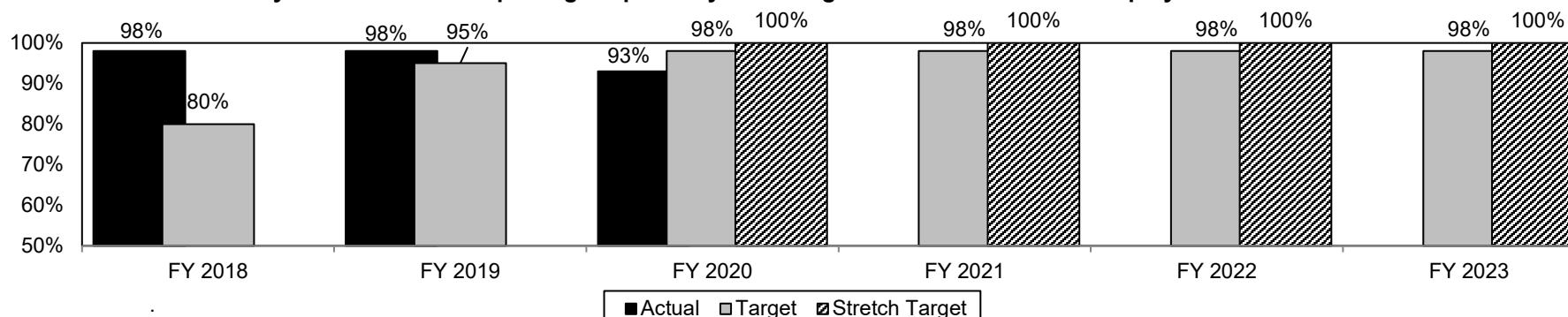
HB Section(s): 7.840

Program Name: Workers' Compensation Self-Insurance

Program is found in the following core budget(s): Division of Workers' Compensation

2d. Provide a measure(s) of the program's efficiency.

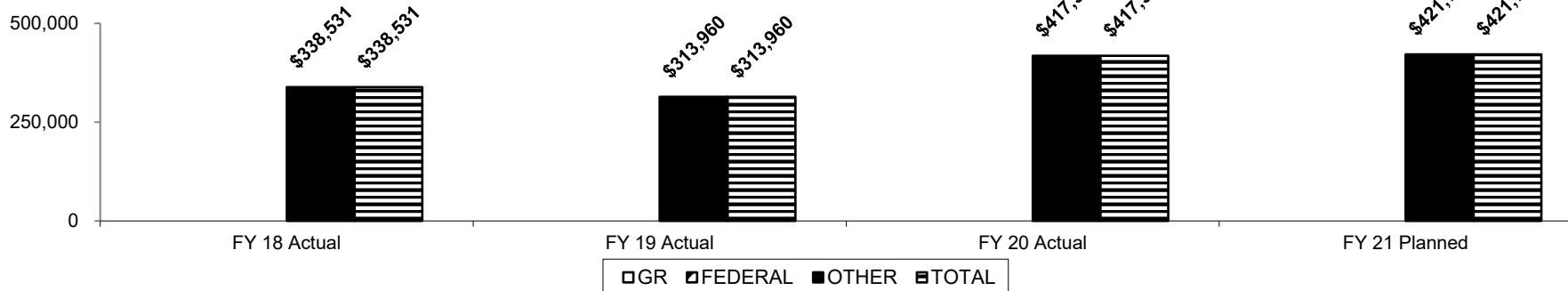
Timely Review Annual Reporting Required by State Regulation of Self-Insured Employers⁵



⁵ Reviews by the Division are completed within 90 days to ensure data is timely and accurate.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)

Program Expenditure History



PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.840

Program Name: Workers' Compensation Self-Insurance

Program is found in the following core budget(s): Division of Workers' Compensation

4. What are the sources of the "Other " funds?

Workers' Compensation Administration Fund

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Sections 827.280 and 287.090, RSMo.

6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No

CORE DECISION ITEM

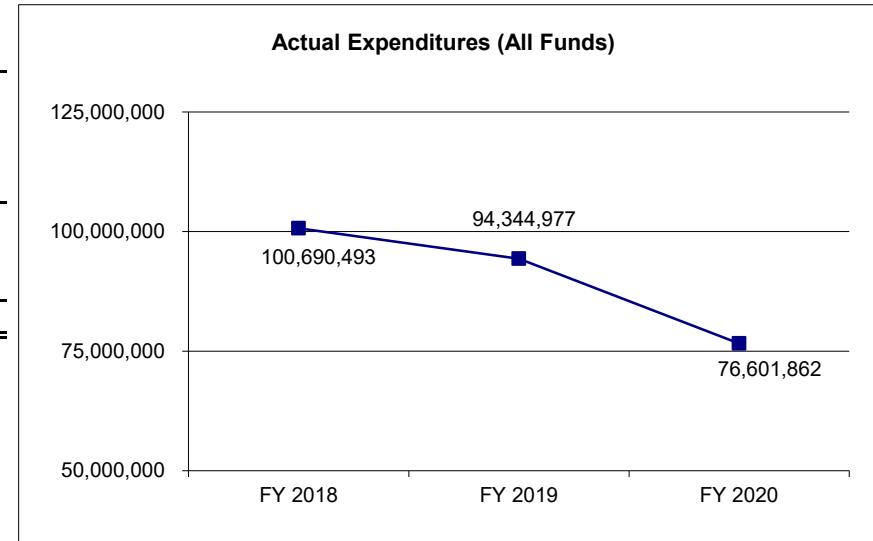
<u>Department of Labor and Industrial Relations</u>	<u>Budget Unit</u>	<u>62925C & 62927C</u>							
<u>Division of Workers' Compensation</u>									
<u>Second Injury Fund</u>	<u>HB Section</u>	<u>07.845 & 07.850</u>							
1. CORE FINANCIAL SUMMARY									
		FY 2022 Governor's Recommendation							
		GR Federal Other Total							
PS	0	0	0	0	PS	0	0	0	0
EE	0	0	37,000	37,000	EE	0	0	0	0
PSD - Claims	0	0	105,023,833	105,023,833	PSD - Claims	0	0	0	0
PSD - Refunds	0	0	500,000	500,000	PSD - Refunds	0	0	0	0
TRF	0	0	0	0	TRF	0	0	0	0
Total	0	0	105,560,833	105,560,833	Total	0	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0	0
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.			Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.						
Other Funds: Second Injury Fund (0653)			Other Funds: Second Injury Fund (0653)						
2. CORE DESCRIPTION									
When an employee sustains a work injury covered by workers' compensation and the combined effect of the work injury and prior disability results in permanent total disability (PTD) or increased permanent partial disability (PPD), the employer at the time of the work injury is liable only for compensation due from the work injury. The remaining compensation for the prior disability is paid from the Second Injury Fund (SIF). In cases where the work injury occurred before January 1, 2014, the SIF will pay compensation for the prior disability per Section 287.220.2, RSMo., and such compensation may include disability, death, physical rehabilitation, second job wage loss benefit, and medical bills. Claims filed against the SIF and all claims involving subsequent compensable injury resulting from an occupational disease filed on or after January 1, 2014, are compensated per Section 287.220.3, RSMo.									
The SIF is financed by a surcharge on employers' workers' compensation premiums and equivalent premiums for self-insured employers. The surcharge rate is capped at 3% and may be reduced or suspended when the balance in the SIF exceeds a certain amount. Effective January 1, 2014, a supplemental rate of up to 3% may be assessed for calendar years 2014 through 2021. At any time, litigation can affect rights to benefits under the law, which then affects determination of the annual surcharge. Legislation is needed to extend the sunset on the SIF supplemental surcharge before December 31, 2021 or the fund is at risk of insolvency.									
3. PROGRAM LISTING (list programs included in this core funding)									
Second Injury Fund Payments									

CORE DECISION ITEM

Department of Labor and Industrial Relations	Budget Unit	<u>62925C & 62927C</u>
Division of Workers' Compensation		
Second Injury Fund	HB Section	<u>07.845 & 07.850</u>

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	134,560,833	124,560,833	124,560,833	105,560,833
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	<u>134,560,833</u>	<u>124,560,833</u>	<u>124,560,833</u>	<u>105,560,833</u>
Actual Expenditures (All Funds)	100,690,493	94,344,977	76,601,862	N/A
Unexpended (All Funds)	<u>33,870,340</u>	<u>30,215,856</u>	<u>47,958,971</u>	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	33,870,340	30,215,856	47,958,971	N/A
	(1)		(2)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

(1) Includes a core reduction of (\$10,000,000) in excess appropriation authority.

(2) Includes a core reduction of (\$19,000,000) in excess appropriation authority.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL SECOND INJURY FUND

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	EE	0.00	0	0	36,496	36,496	
	PD	0.00	0	0	105,024,337	105,024,337	
	Total	0.00	0	0	105,060,833	105,060,833	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	1126 4636	EE	0.00	0	0	504	504 Core reallocations to better match planned expenditures.
Core Reallocation	1126 4636	PD	0.00	0	0	(504)	(504) Core reallocations to better match planned expenditures.
NET DEPARTMENT CHANGES		0.00	0	0	0	0	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	37,000	37,000	
	PD	0.00	0	0	105,023,833	105,023,833	
	Total	0.00	0	0	105,060,833	105,060,833	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	37,000	37,000	
	PD	0.00	0	0	105,023,833	105,023,833	
	Total	0.00	0	0	105,060,833	105,060,833	

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL SECOND INJURY FUND REFUNDS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PD	0.00	0	0	500,000	500,000	
	Total	0.00	0	0	500,000	500,000	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	0	500,000	500,000	
	Total	0.00	0	0	500,000	500,000	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	0	500,000	500,000	
	Total	0.00	0	0	500,000	500,000	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
SECOND INJURY FUND								
CORE								
EXPENSE & EQUIPMENT								
WORKERS COMP-SECOND INJURY	35,727	0.00	36,496	0.00	37,000	0.00	0	0.00
TOTAL - EE	35,727	0.00	36,496	0.00	37,000	0.00	0	0.00
PROGRAM-SPECIFIC								
WORKERS COMP-SECOND INJURY	76,559,010	0.00	105,024,337	0.00	105,023,833	0.00	0	0.00
TOTAL - PD	76,559,010	0.00	105,024,337	0.00	105,023,833	0.00	0	0.00
TOTAL	76,594,737	0.00	105,060,833	0.00	105,060,833	0.00	0	0.00
GRAND TOTAL	\$76,594,737	0.00	\$105,060,833	0.00	\$105,060,833	0.00	\$0	0.00

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
SECOND INJURY FUND REFUNDS								
CORE								
PROGRAM-SPECIFIC								
WORKERS COMP-SECOND INJURY	7,125	0.00	500,000	0.00	500,000	0.00	0	0.00
TOTAL - PD	7,125	0.00	500,000	0.00	500,000	0.00	0	0.00
TOTAL	7,125	0.00	500,000	0.00	500,000	0.00	0	0.00
GRAND TOTAL	\$7,125	0.00	\$500,000	0.00	\$500,000	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
SECOND INJURY FUND								
CORE								
SUPPLIES	35,727	0.00	36,496	0.00	37,000	0.00	0	0.00
TOTAL - EE	35,727	0.00	36,496	0.00	37,000	0.00	0	0.00
PROGRAM DISTRIBUTIONS	76,559,010	0.00	105,024,337	0.00	105,023,833	0.00	0	0.00
TOTAL - PD	76,559,010	0.00	105,024,337	0.00	105,023,833	0.00	0	0.00
GRAND TOTAL	\$76,594,737	0.00	\$105,060,833	0.00	\$105,060,833	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$76,594,737	0.00	\$105,060,833	0.00	\$105,060,833	0.00		0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
SECOND INJURY FUND REFUNDS								
CORE								
REFUNDS	7,125	0.00	500,000	0.00	500,000	0.00	0	0.00
TOTAL - PD	7,125	0.00	500,000	0.00	500,000	0.00	0	0.00
GRAND TOTAL	\$7,125	0.00	\$500,000	0.00	\$500,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$7,125	0.00	\$500,000	0.00	\$500,000	0.00		0.00

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.840, 7.845 & 7.850

Program Name: Second Injury Fund Administration

Program is found in the following core budget(s): Division of Workers' Compensation and Second Injury Fund

1a. What strategic priority does this program address?

Growth: Foster a business environment to support economic development.

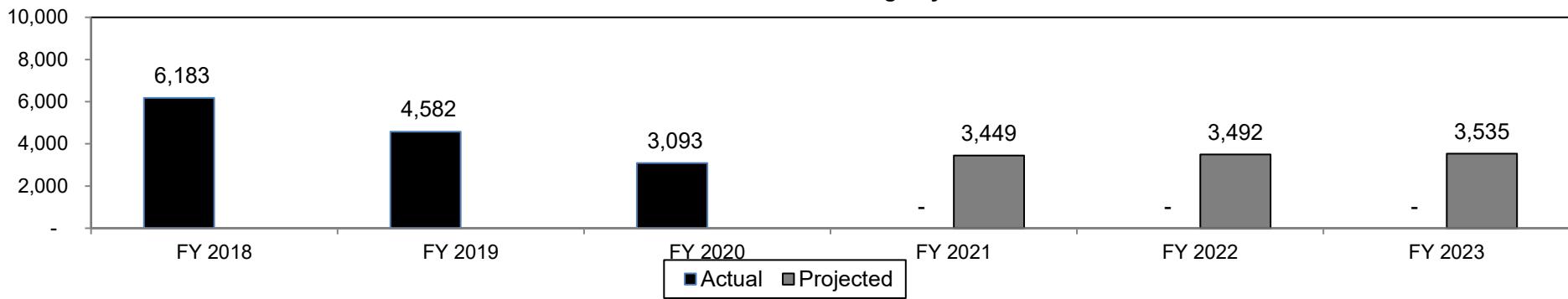
Monitoring the solvency of the fund to ensure that employers' liabilities are shared amongst all other employers to promote the hiring of employees with pre-existing disabilities.

1b. What does this program do?

- Maintains the solvency of the Second Injury Fund (SIF) to ensure the bi-weekly benefits and other statutory obligations are fulfilled.
- Assesses and collects a surcharge from insurance carriers and self-insured entities on a quarterly basis to fund the payment of benefits.
- Performs annual audits of the premiums reported to the Division to ensure that all surcharges due to the SIF are collected for the support of the fund.
- Pays benefits based on the payment prioritization schedule to ensure the fairness of the payments based on the statute established by the legislature.

2a. Provide an activity measure(s) for the program.

Number of Individuals Receiving Payments¹



¹ The decrease in benefit payments is due to the effects of COVID-19 and the legislative changes passed in 2013, effective January 1, 2014.

PROGRAM DESCRIPTION

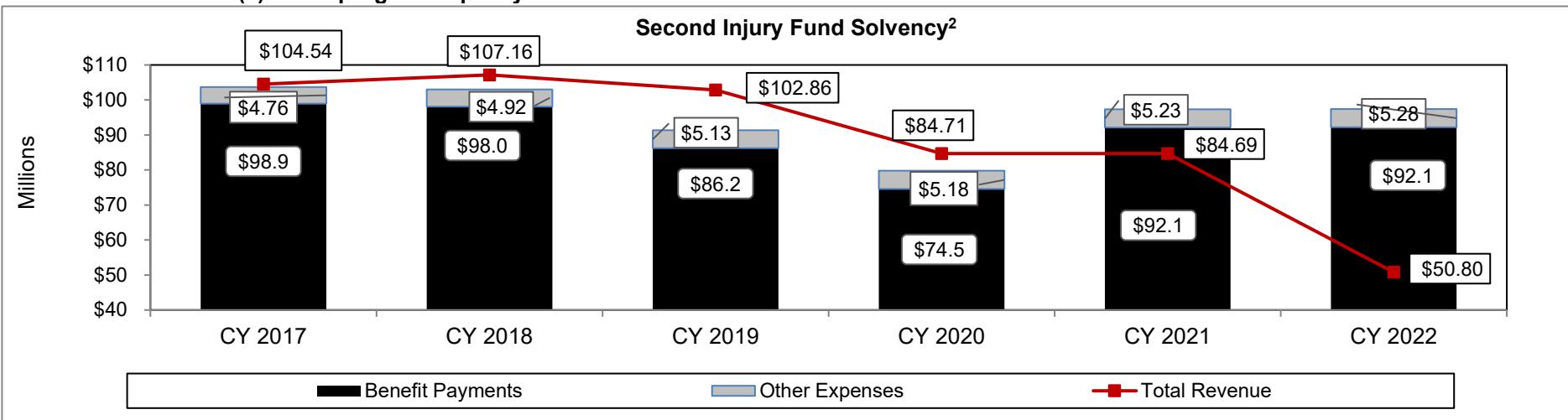
Department of Labor and Industrial Relations

HB Section(s): 7.840, 7.845 & 7.850

Program Name: Second Injury Fund Administration

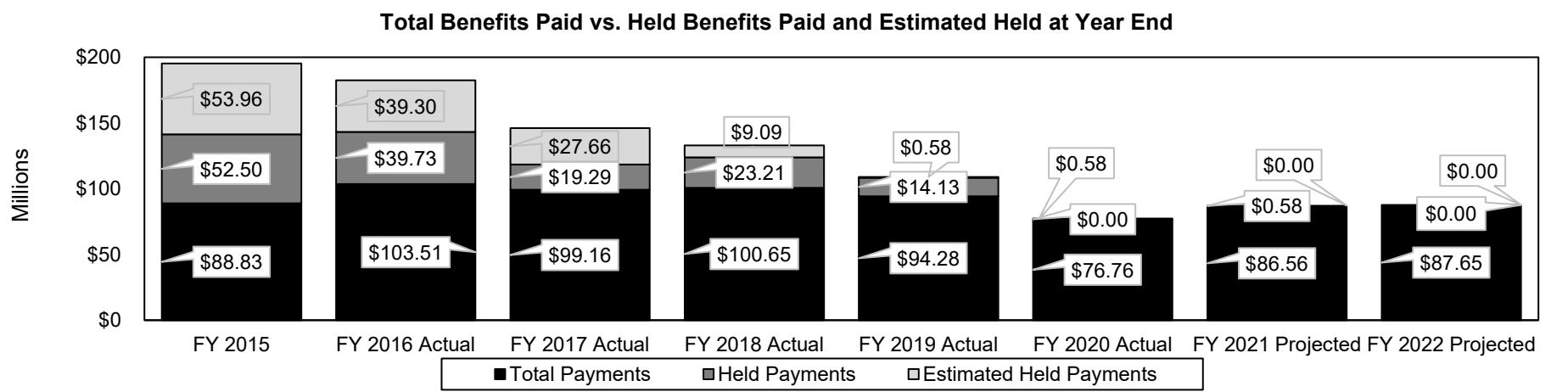
Program is found in the following core budget(s): Division of Workers' Compensation and Second Injury Fund

2b. Provide a measure(s) of the program's quality.



² From Actuary Report dated September 2020 performed by Pinnacle Actuarial Resources, Inc. The solvency review is conducted each calendar year, which is how the data is presented in this chart. The review did not include projections for CY 2023. As of July 2019, the SIF no longer has a backlog of cases for payment.

2c. Provide a measure(s) of the program's impact.



PROGRAM DESCRIPTION

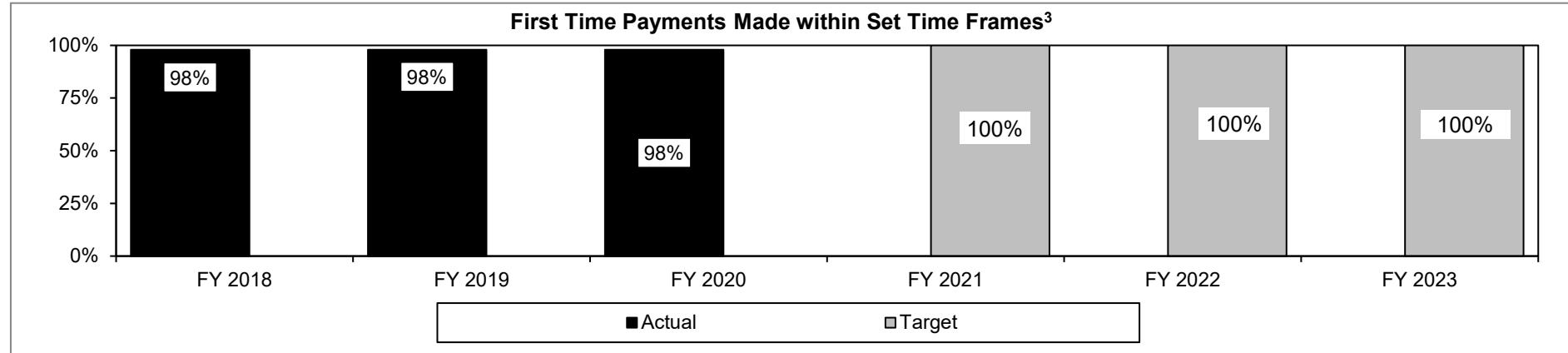
Department of Labor and Industrial Relations

HB Section(s): 7.840, 7.845 & 7.850

Program Name: Second Injury Fund Administration

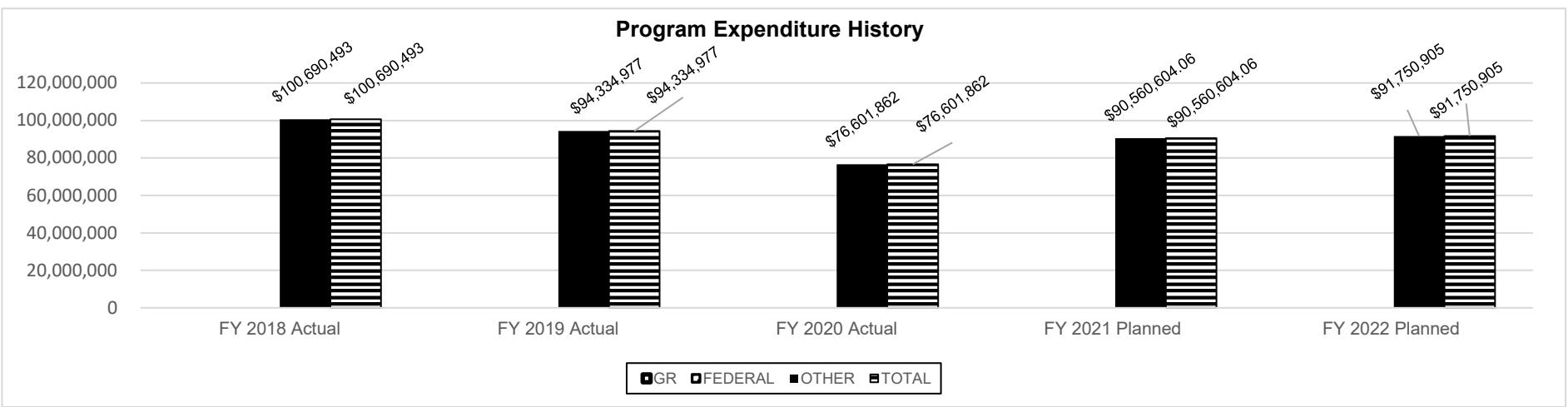
Program is found in the following core budget(s): Division of Workers' Compensation and Second Injury Fund

2d. Provide a measure(s) of the program's efficiency.



³ Target time frames are ALJ decisions-50 Days, LIRC Decisions-60 Court of Appeals and Settlements-30 Days; this chart does not include cases in which benefits were held due to funding issues or the payment priority schedule. For FY2020 and forward, Settlements will have a target time-frame of 25 days.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.840, 7.845 & 7.850

Program Name: Second Injury Fund Administration

Program is found in the following core budget(s): Division of Workers' Compensation and Second Injury Fund

4. What are the sources of the "Other " funds?

Second Injury Fund (0653)

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Sections 287.141, 287.220, 287.715, 287.716, and 287.745, RSMo.

6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No

CORE DECISION ITEM

Department of Labor and Industrial Relations
 Division of Workers' Compensation
 Line of Duty Compensation

Budget Unit 62931C

HB Section 07.855

1. CORE FINANCIAL SUMMARY

	FY 2022 Budget Request				FY 2022 Governor's Recommendation			
	GR	Federal	Other	Total	GR	Federal	Other	Total
PS	0	0	0	0	PS	0	0	0
EE	0	0	0	0	EE	0	0	0
PSD	0	0	450,000	450,000	PSD	0	0	0
TRF	0	0	0	0	TRF	0	0	0
Total	0	0	450,000	450,000	Total	0	0	0
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Line of Duty Fund (0939)

Other Funds: Line of Duty Fund (0939)

2. CORE DESCRIPTION

The Line of Duty Compensation Fund was established in Section 287.243, RSMo., to provide a \$25,000 benefit payment to the survivors of emergency personnel killed in the line of duty, subject to appropriation.

This core contains the appropriation necessary to pay eligible claims that may be filed with the Division. Since it is difficult to predict the number of claims that will be filed within a given fiscal year, this core request is set at a level felt to be sufficient to pay any claims that may arise. In the absence of the receipt of any other monies, including voluntary contributions, gifts, or bequests to the fund, General Revenue is transferred for payment of claims as they are approved.

3. PROGRAM LISTING (list programs included in this core funding)

Line of Duty Compensation

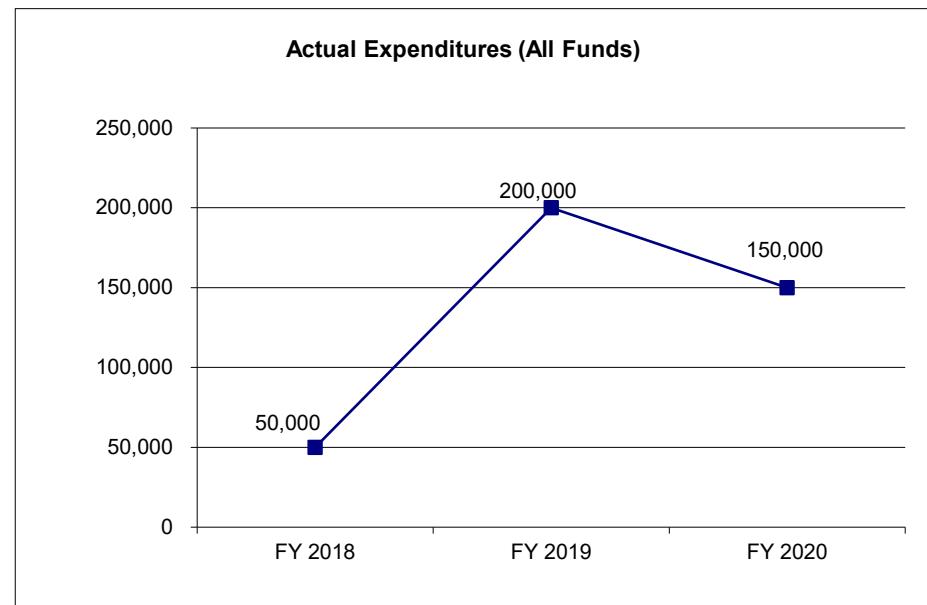
CORE DECISION ITEM

Department of Labor and Industrial Relations
 Division of Workers' Compensation
 Line of Duty Compensation

Budget Unit 62931C
 HB Section 07.855

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	450,000	450,000	450,000	450,000
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)*	0	0	0	N/A
Budget Authority (All Funds)	<u>450,000</u>	<u>450,000</u>	<u>450,000</u>	<u>450,000</u>
Actual Expenditures (All Funds)	50,000	200,000	150,000	N/A
Unexpended (All Funds)	<u>400,000</u>	<u>250,000</u>	<u>300,000</u>	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	400,000	250,000	300,000	N/A
	(1)	(2)	(3)	



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Includes two Line of Duty Compensation payments.
- (2) Includes eight Line of Duty Compensation payments.
- (3) Includes five Line of Duty Compensation payments.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL LINE OF DUTY COMPENSATION

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PD	0.00	0	0	450,000	450,000	
	Total	0.00	0	0	450,000	450,000	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	0	450,000	450,000	
	Total	0.00	0	0	450,000	450,000	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	0	450,000	450,000	
	Total	0.00	0	0	450,000	450,000	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
LINE OF DUTY COMPENSATION								
CORE								
PROGRAM-SPECIFIC								
LINE OF DUTY COMPENSATION	150,000	0.00	450,000	0.00	450,000	0.00	0	0.00
TOTAL - PD	150,000	0.00	450,000	0.00	450,000	0.00	0	0.00
TOTAL	150,000	0.00	450,000	0.00	450,000	0.00	0	0.00
GRAND TOTAL	\$150,000	0.00	\$450,000	0.00	\$450,000	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
LINE OF DUTY COMPENSATION								
CORE								
PROGRAM DISTRIBUTIONS	150,000	0.00	450,000	0.00	450,000	0.00	0	0.00
TOTAL - PD	150,000	0.00	450,000	0.00	450,000	0.00	0	0.00
GRAND TOTAL	\$150,000	0.00	\$450,000	0.00	\$450,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$150,000	0.00	\$450,000	0.00	\$450,000	0.00		0.00

CORE DECISION ITEM

Department of Labor and Industrial Relations	Budget Unit 62932C																																																										
Division of Workers' Compensation																																																											
Line of Duty Compensation Transfer	HB Section 07.860																																																										
1. CORE FINANCIAL SUMMARY																																																											
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3. PROGRAM LISTING (list programs included in this core funding)																																																											
Line of Duty Compensation Program																																																											

CORE DECISION ITEM

Department of Labor and Industrial Relations	Budget Unit <u>62932C</u>
Division of Workers' Compensation	
Line of Duty Compensation Transfer	HB Section <u>07.860</u>

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	450,000	450,000	450,000	450,000
Less Reverted (All Funds)	(13,500)	(13,500)	(13,500)	(13,500)
Less Restricted (All Funds)*	0	0	0	0
Budget Authority (All Funds)	436,500	436,500	436,500	436,500
Actual Expenditures (All Funds)	50,000	199,969	149,873	N/A
Unexpended (All Funds)	386,500	236,531	286,627	N/A
Unexpended, by Fund:				
General Revenue	386,500	236,531	286,627	N/A
Federal	0	0	0	0
Other	0	0	0	0
	(1)	(2)	(3)	

*Current Year restricted amount is as of July 21, 2020.

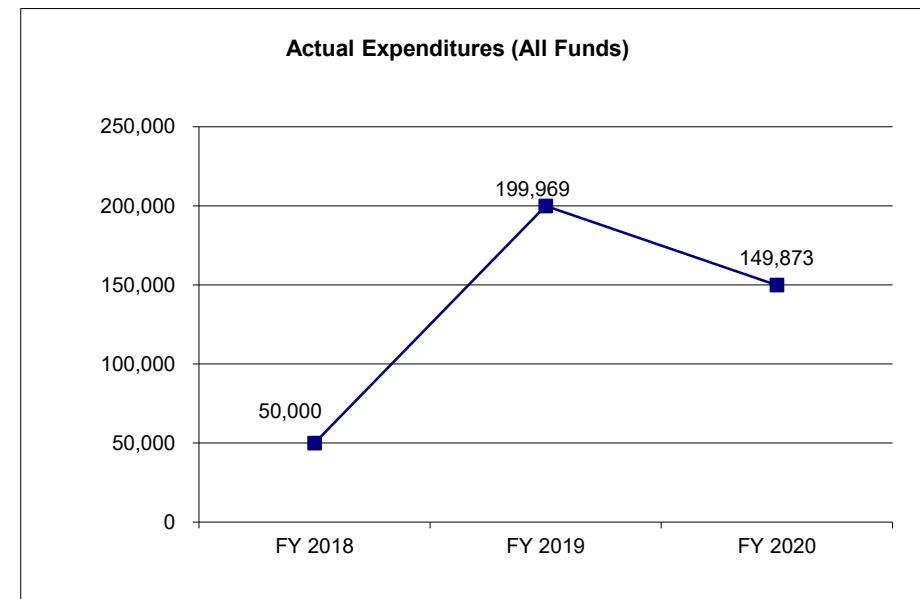
Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

(1) Includes two Line of Duty Compensation payments.

(2) Includes eight Line of Duty Compensation Payments. Interest of \$31 earned by the Line of Duty Compensation Fund was used to make award payments. (3) Six benefit payments were made, but the interest earned \$127 reduced the needed transfer amount.



CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL LINE OF DUTY COMPENSATION TRF

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	TRF	0.00	450,000	0	0	450,000	
	Total	0.00	450,000	0	0	450,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	450,000	0	0	450,000	
	Total	0.00	450,000	0	0	450,000	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	450,000	0	0	450,000	
	Total	0.00	450,000	0	0	450,000	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
LINE OF DUTY COMPENSATION TRF								
CORE								
FUND TRANSFERS								
GENERAL REVENUE	149,873	0.00	450,000	0.00	450,000	0.00	0	0.00
TOTAL - TRF	149,873	0.00	450,000	0.00	450,000	0.00	0	0.00
TOTAL	149,873	0.00	450,000	0.00	450,000	0.00	0	0.00
GRAND TOTAL	\$149,873	0.00	\$450,000	0.00	\$450,000	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
LINE OF DUTY COMPENSATION TRF								
CORE								
TRANSFERS OUT	149,873	0.00	450,000	0.00	450,000	0.00	0	0.00
TOTAL - TRF	149,873	0.00	450,000	0.00	450,000	0.00	0	0.00
GRAND TOTAL	\$149,873	0.00	\$450,000	0.00	\$450,000	0.00	\$0	0.00
GENERAL REVENUE	\$149,873	0.00	\$450,000	0.00	\$450,000	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00

CORE DECISION ITEM

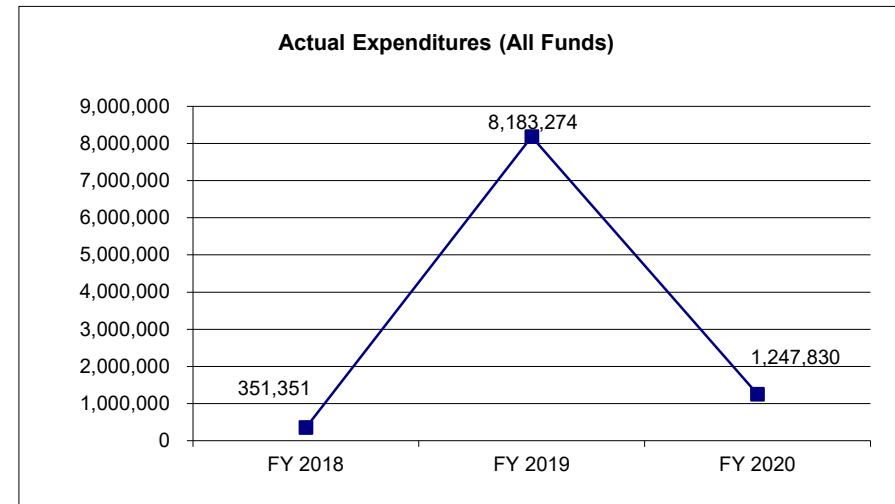
<u>Department of Labor and Industrial Relations</u>		<u>Budget Unit 62937C & 62939C</u>																																																									
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<u>Tort Victims' Compensation/Basic Civil Legal Services</u>		<u>HB Section 07.865 & 07.870</u>																																																									
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Total	0	0	0																																																								
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<i>Est. Fringe</i>	0	0	0																																																								
Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.																																																											
Other Funds: Tort Victims' Compensation (Fund 0622)																																																											
2. CORE DESCRIPTION																																																											
The Tort Victims' Compensation Fund provides payments to people who have been injured due to the negligence or recklessness of another, who have been unable to obtain full compensation because the party at fault had no insurance, inadequate insurance, has filed for bankruptcy, or other reasons specified in the law.																																																											
Tort Victims' Compensation Fund revenue is generated from payment of court awards of punitive damages in civil lawsuits in Missouri. Due to the unreliability of the funding source, there may not be a sufficient amount to pay benefits in a given year. Under the law, payments to eligible claimants are suspended until the balance of the fund exceeds \$100,000. Any sum awarded that cannot be paid due to lack of funds appropriated for the payment of claims of uncompensated tort victims does not constitute a claim against the state. As described in Section 537.675.5, RSMo., (previously Section 477.650, RSMo.), 26% of the court awards received are transferred to the Basic Civil Legal Services (BCLS) Fund annually. The remainder is available to pay eligible Tort Victims' Compensation claims.																																																											
<table border="1"> <thead> <tr> <th>Fiscal Year</th> <th>Deposits</th> <th>Transfer</th> <th>Payments</th> </tr> </thead> <tbody> <tr> <td>2017</td> <td>\$490,737</td> <td>\$127,096</td> <td>\$361,735 Payments for claims from FY 2014.</td> </tr> <tr> <td>2018</td> <td>\$8,646,545</td> <td>\$351,351</td> <td>Due to inadequate appropriation authority, payments were deferred until FY 2019.</td> </tr> <tr> <td>2019</td> <td>\$550,501</td> <td>\$1,897,205</td> <td>\$6,286,069 Payments for claims from FY 2015, 2016, and 2017.</td> </tr> <tr> <td>2020</td> <td>\$10,211,654</td> <td>\$1,143,130</td> <td>\$104,700 Payment from FY 2019, which did not complete processing before FY end</td> </tr> <tr> <td>2021</td> <td></td> <td>\$1,655,030</td> <td>\$7,700,000 Payments for claims from FY 2018 and 2019.</td> </tr> </tbody> </table>				Fiscal Year	Deposits	Transfer	Payments	2017	\$490,737	\$127,096	\$361,735 Payments for claims from FY 2014.	2018	\$8,646,545	\$351,351	Due to inadequate appropriation authority, payments were deferred until FY 2019.	2019	\$550,501	\$1,897,205	\$6,286,069 Payments for claims from FY 2015, 2016, and 2017.	2020	\$10,211,654	\$1,143,130	\$104,700 Payment from FY 2019, which did not complete processing before FY end	2021		\$1,655,030	\$7,700,000 Payments for claims from FY 2018 and 2019.																																
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Fund balance as of July 24, 2020 is \$7,976,116.																																																											

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u>	<u>Budget Unit</u> <u>62937C & 62939C</u>
<u>Division of Workers' Compensation</u>	
<u>Tort Victims' Compensation/Basic Civil Legal Services</u>	<u>HB Section</u> <u>07.865 & 07.870</u>
3. PROGRAM LISTING (list programs included in this core funding)	
Tort Victims' Compensation Transfer	Basic Civil Legal Services Fund Transfer

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	1,351,351	9,351,351	5,000,000	10,400,000
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)*	0	0	0	N/A
Budget Authority (All Funds)	1,351,351	9,351,351	5,000,000	10,400,000
Actual Expenditures (All Funds)	351,351	8,183,274	1,247,830	N/A
Unexpended (All Funds)	<u>1,000,000</u>	<u>1,168,077</u>	<u>3,752,170</u>	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	1,000,000	1,168,077	3,752,170	N/A
		(1)	(2)	(3)



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Includes NDIs for Tort Victims' Compensation Payments - \$6,000,000 and Basic Civil Legal Services Transfer - \$2,000,000 due to an extraordinary amount of revenue to the fund in FY 2018. FY 2018 Payments were deferred until FY 2019 when there was sufficient appropriation authority.
- (2) Includes a core reduction of (\$3,000,000) in excess appropriation authority for Tort Victims Compensation Payments and a core reduction of (\$1,051,351) in excess appropriation authority for Basic Civil Legal Services Transfer.
- (3) Includes a core increase of \$5.4 million because of unusually high receipts in FY 2020.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL TORT VICTIMS COMP PAYMENTS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PD	0.00	0	0	7,700,000	7,700,000	
	Total	0.00	0	0	7,700,000	7,700,000	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	0	7,700,000	7,700,000	
	Total	0.00	0	0	7,700,000	7,700,000	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	0	7,700,000	7,700,000	
	Total	0.00	0	0	7,700,000	7,700,000	

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL BASIC CIVIL LEGAL SERVICES TRF

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	TRF	0.00	0	0	2,700,000	2,700,000	
	Total	0.00	0	0	2,700,000	2,700,000	
DEPARTMENT CORE REQUEST							
	TRF	0.00	0	0	2,700,000	2,700,000	
	Total	0.00	0	0	2,700,000	2,700,000	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	0	0	2,700,000	2,700,000	
	Total	0.00	0	0	2,700,000	2,700,000	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
TORT VICTIMS COMP PAYMENTS								
CORE								
PROGRAM-SPECIFIC								
TORT VICTIMS' COMPENSATION	104,700	0.00	7,700,000	0.00	7,700,000	0.00	0	0.00
TOTAL - PD	104,700	0.00	7,700,000	0.00	7,700,000	0.00	0	0.00
TOTAL	104,700	0.00	7,700,000	0.00	7,700,000	0.00	0	0.00
GRAND TOTAL	\$104,700	0.00	\$7,700,000	0.00	\$7,700,000	0.00	\$0	0.00

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
BASIC CIVIL LEGAL SERVICES TRF								
CORE								
FUND TRANSFERS								
TORT VICTIMS' COMPENSATION	1,143,130	0.00	2,700,000	0.00	2,700,000	0.00	0	0.00
TOTAL - TRF	1,143,130	0.00	2,700,000	0.00	2,700,000	0.00	0	0.00
TOTAL	1,143,130	0.00	2,700,000	0.00	2,700,000	0.00	0	0.00
GRAND TOTAL	\$1,143,130	0.00	\$2,700,000	0.00	\$2,700,000	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
TORT VICTIMS COMP PAYMENTS								
CORE								
PROGRAM DISTRIBUTIONS	104,700	0.00	7,700,000	0.00	7,700,000	0.00	0	0.00
TOTAL - PD	104,700	0.00	7,700,000	0.00	7,700,000	0.00	0	0.00
GRAND TOTAL	\$104,700	0.00	\$7,700,000	0.00	\$7,700,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$104,700	0.00	\$7,700,000	0.00	\$7,700,000	0.00		0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
BASIC CIVIL LEGAL SERVICES TRF								
CORE								
TRANSFERS OUT	1,143,130	0.00	2,700,000	0.00	2,700,000	0.00	0	0.00
TOTAL - TRF	1,143,130	0.00	2,700,000	0.00	2,700,000	0.00	0	0.00
GRAND TOTAL	\$1,143,130	0.00	\$2,700,000	0.00	\$2,700,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$1,143,130	0.00	\$2,700,000	0.00	\$2,700,000	0.00		0.00

WORKERS' MEMORIAL

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u> <u>Division of Workers' Compensation</u> <u>Workers' Memorial</u>				Budget Unit <u>62945C</u>																																																												
				HB Section <u>07.875</u>																																																												
1. CORE FINANCIAL SUMMARY																																																																
FY 2022 Budget Request <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>GR</th> <th>Federal</th> <th>Other</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>EE</td> <td>0</td> <td>0</td> <td>150,000</td> <td>150,000</td> </tr> <tr> <td>PSD</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TRF</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Total</td> <td>0</td> <td>0</td> <td>150,000</td> <td>150,000</td> </tr> </tbody> </table>					GR	Federal	Other	Total	PS	0	0	0	0	EE	0	0	150,000	150,000	PSD	0	0	0	0	TRF	0	0	0	0	Total	0	0	150,000	150,000	FY 2022 Governor's Recommendation <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th></th> <th>GR</th> <th>Federal</th> <th>Other</th> <th>Total</th> </tr> </thead> <tbody> <tr> <td>PS</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>EE</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>PSD</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>TRF</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> <tr> <td>Total</td> <td>0</td> <td>0</td> <td>0</td> <td>0</td> </tr> </tbody> </table>		GR	Federal	Other	Total	PS	0	0	0	0	EE	0	0	0	0	PSD	0	0	0	0	TRF	0	0	0	0	Total	0	0	0	0
	GR	Federal	Other	Total																																																												
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<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>																																																																
Other Funds: Workers' Memorial Fund (0895)				Other Funds: Workers' Memorial Fund (0895)																																																												
2. CORE DESCRIPTION																																																																
<p>The Workers' Memorial Fund is authorized by Section 8.900.2, RSMo. The fund was established to receive monies from gifts, grants, and other devises for a permanent memorial for workers who were killed on the job in Missouri or who suffered an on-the-job injury that resulted in a permanent disability. The Department has entered into discussions with the Second Missouri State Capitol Commission regarding the future of the Workers' Memorial and the possibility of incorporating it into the broader renovation of the capitol grounds currently underway.</p>																																																																
3. PROGRAM LISTING (list programs included in this core funding)																																																																
Missouri Workers' Memorial																																																																

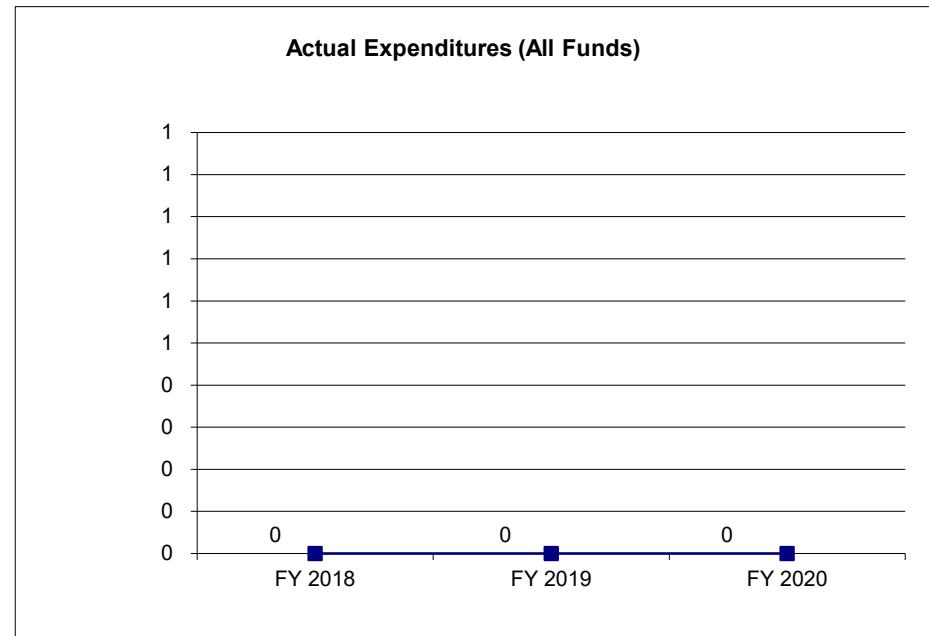
CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u>	<u>Budget Unit</u> <u>62945C</u>
<u>Division of Workers' Compensation</u>	
<u>Workers' Memorial</u>	<u>HB Section</u> <u>07.875</u>

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	250,000	250,000	150,000	150,000
Less Reverted (All Funds)	0		0	0
Less Restricted (All Funds)*	0		0	0
Budget Authority (All Funds)	250,000	250,000	150,000	150,000
Actual Expenditures (All Funds)	0	0	0	N/A
Unexpended (All Funds)	250,000	250,000	150,000	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	250,000	250,000	150,000	N/A
	(1)	(2)		

There have been no expenditures from this core.



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

(1) Includes Agency Reserve of (\$250,000) in unused appropriation authority since the project has not yet begun.

(2) Includes a core reduction of \$100,000 to reduce excess authority.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL WORKERS COMP MEMORIAL

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	EE	0.00	0	0	150,000	150,000	
	Total	0.00	0	0	150,000	150,000	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	150,000	150,000	
	Total	0.00	0	0	150,000	150,000	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	150,000	150,000	
	Total	0.00	0	0	150,000	150,000	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
WORKERS COMP MEMORIAL								
CORE								
EXPENSE & EQUIPMENT								
WORKERS MEMORIAL	0	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - EE	0	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL	0	0.00	150,000	0.00	150,000	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$150,000	0.00	\$150,000	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
WORKERS COMP MEMORIAL								
CORE								
PROPERTY & IMPROVEMENTS	0	0.00	150,000	0.00	150,000	0.00	0	0.00
TOTAL - EE	0	0.00	150,000	0.00	150,000	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$150,000	0.00	\$150,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$150,000	0.00	\$150,000	0.00		0.00

DIVISION OF EMPLOYMENT SECURITY

CORE DECISION ITEM

Department of Labor and Industrial Relations
Division of Employment Security
Administration

Budget Unit 63016C

HB Section 07.880

1. CORE FINANCIAL SUMMARY

FY 2022 Budget Request				
	GR	Federal	Other	Total
PS	0	47,669,962	436,782	48,106,744
EE	0	12,193,026	16,043	12,209,069
PSD	0	1,200,100	100	1,200,200
TRF	0	0	0	0
Total	0	61,063,088	452,925	61,516,013

FTE	0.00	510.21	7.00	517.21
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Est. Fringe	0	22,955,721	242,998	23,198,720
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

FY 2022 Governor's Recommendation				
	GR	Federal	Other	Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	0	0	0	0
Total	0	0	0	0

FTE	0.00	0.00	0.00	0.00
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Est. Fringe	0	0	0	0
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Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.

Other Funds: Unemployment Automation Fund (0953)

Other Funds:

2. CORE DESCRIPTION

The Division of Employment Security (DES) administers the state's Unemployment Insurance (UI) program. The UI program provides a measure of protection against loss of wages for workers who become unemployed through no fault of their own. This core provides funding for staff and expenses associated with administration of Missouri's UI program, including the collection of UI taxes, payment of benefits, and processing of appeals by employers and workers. The UI benefits paid to eligible workers assists Missouri's economy during periods of economic downturn by helping stabilize the level of consumer purchasing power. As a part of the state UI program, DES collects the state UI tax and wage item data regarding the amount of wages paid to each individual reportable worker. The funds included in this core also finance the administrative cost of operating various related federal programs, including Disaster Unemployment Assistance (DUA) and Trade Adjustment Assistance (TAA).

3. PROGRAM LISTING (list programs included in this core funding)

UI Appeals

UI Benefits

UI Tax

UI Integrity

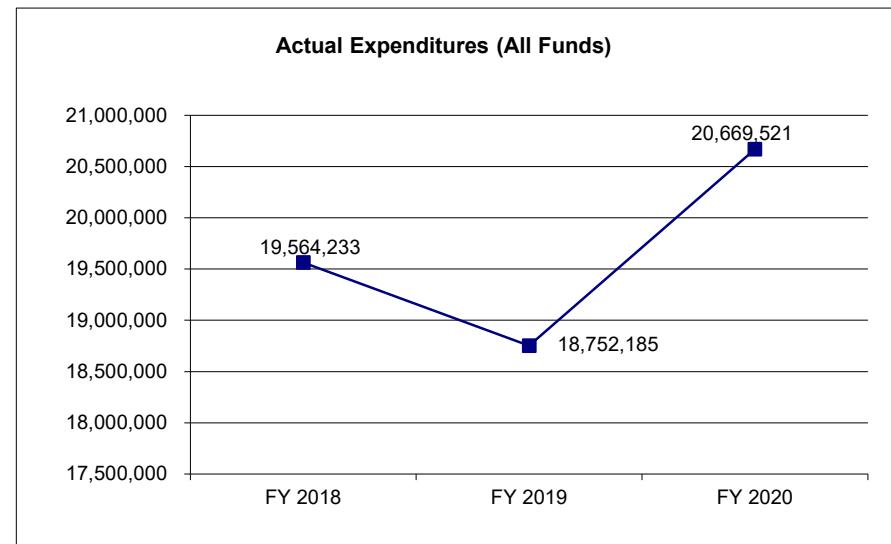
CORE DECISION ITEM

Department of Labor and Industrial Relations
Division of Employment Security
Administration

Budget Unit 63016C
HB Section 07.880

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	29,009,705	29,194,289	31,380,815	61,516,013
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)*	0	0	0	N/A
Budget Authority (All Funds)	29,009,705	29,194,289	31,380,815	61,516,013
Actual Expenditures (All Funds)	19,564,233	18,752,185	20,669,521	N/A
Unexpended (All Funds)	<u>9,445,472</u>	<u>10,442,104</u>	<u>10,711,294</u>	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	9,126,761	10,004,601	10,265,111	N/A
Other	318,711	437,753	446,183	N/A
	(1)	(2)	(3)	(4)



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Includes core reallocation of \$286,315 and 7.00 FTE from UI Modernization Fund (0953) to Federal Fund (0948) in preparation for completion of UI Modernization; a core transfer of \$300,216 and 5.00 FTE Federal Funds (0948) from ITSD in preparation for completion of UI Modernization; and a core reduction of (\$4,271,325) in excess appropriation authority.
- (2) Includes \$183,134 for FY2019 pay.
- (3) Includes \$184,584 for FY2019 pay plan cost-to-continue; \$353,646 for FY2020 pay plan; and \$106,676 for personal services market adjustments.
- (4) Includes additional \$31,835,198 for UI Admin PS and E&E.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL ADMINISTRATION-EMP SEC

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	517.21	0	47,669,962	436,782	48,106,744	
	EE	0.00	0	12,481,973	16,043	12,498,016	
	PD	0.00	0	911,153	100	911,253	
	Total	517.21	0	61,063,088	452,925	61,516,013	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	1133 0694	PS	(0.00)	0	0	0	Core reallocations to better match planned expenditures.
Core Reallocation	1133 0696	EE	0.00	0	(288,947)	0	Core reallocations to better match planned expenditures.
Core Reallocation	1133 0696	PD	0.00	0	288,947	0	Core reallocations to better match planned expenditures.
	NET DEPARTMENT CHANGES	(0.00)	0	0	0	0	
DEPARTMENT CORE REQUEST							
	PS	517.21	0	47,669,962	436,782	48,106,744	
	EE	0.00	0	12,193,026	16,043	12,209,069	
	PD	0.00	0	1,200,100	100	1,200,200	
	Total	517.21	0	61,063,088	452,925	61,516,013	
GOVERNOR'S RECOMMENDED CORE							
	PS	517.21	0	47,669,962	436,782	48,106,744	
	EE	0.00	0	12,193,026	16,043	12,209,069	
	PD	0.00	0	1,200,100	100	1,200,200	
	Total	517.21	0	61,063,088	452,925	61,516,013	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
ADMINISTRATION-EMP SEC								
CORE								
PERSONAL SERVICES								
UNEMPLOYMENT COMP ADMIN	14,966,783	367.62	23,721,508	510.21	23,721,508	510.21	0	0.00
DOLIR FEDERAL STIMULUS	158,556	3.52	23,948,454	0.00	23,948,454	0.00	0	0.00
UNEMPLOYMENT AUTOMATION	0	0.00	436,782	7.00	436,782	7.00	0	0.00
TOTAL - PS	15,125,339	371.14	48,106,744	517.21	48,106,744	517.21	0	0.00
EXPENSE & EQUIPMENT								
UNEMPLOYMENT COMP ADMIN	3,715,018	0.00	4,881,127	0.00	4,592,180	0.00	0	0.00
DOLIR FEDERAL STIMULUS	687,147	0.00	7,600,846	0.00	7,600,846	0.00	0	0.00
UNEMPLOYMENT AUTOMATION	381	0.00	16,043	0.00	16,043	0.00	0	0.00
TOTAL - EE	4,402,546	0.00	12,498,016	0.00	12,209,069	0.00	0	0.00
PROGRAM-SPECIFIC								
UNEMPLOYMENT COMP ADMIN	1,141,636	0.00	911,153	0.00	1,200,100	0.00	0	0.00
UNEMPLOYMENT AUTOMATION	0	0.00	100	0.00	100	0.00	0	0.00
TOTAL - PD	1,141,636	0.00	911,253	0.00	1,200,200	0.00	0	0.00
TOTAL	20,669,521	371.14	61,516,013	517.21	61,516,013	517.21	0	0.00
GRAND TOTAL	\$20,669,521	371.14	\$61,516,013	517.21	\$61,516,013	517.21	\$0	0.00

FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 63016C	DEPARTMENT: Labor and Industrial Relations
BUDGET UNIT NAME: Division of Employment Security Administration	
HOUSE BILL SECTION: 7.880	DIVISION: Division of Employment Security

1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST

The Division of Employment Security is requesting 25% flexibility for Fund 0948 and 2378. This will allow the division to adjust its budget should there be a sudden economic downturn or if there are significant changes in federal funding.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
None	None	25% PS to E&E for funds 0948 and 2375 25% E&E to PS for funds 0948 and 2375

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
None	Continuation of operations should there be significant changes in federal funding during the fiscal year and to respond to a sudden economic downturn, should one occur.

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMINISTRATION-EMP SEC								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	370,039	10.61	423,277	11.00	0	0.00	0	0.00
OFFICE SUPPORT ASSISTANT	801	0.03	0	0.00	0	0.00	0	0.00
SR OFFICE SUPPORT ASSISTANT	229,758	7.67	248,261	7.00	0	0.00	0	0.00
SENIOR AUDITOR	3,206	0.06	0	0.00	0	0.00	0	0.00
ACCOUNTANT I	484	0.01	0	0.00	0	0.00	0	0.00
ACCOUNTING SPECIALIST II	89	0.00	0	0.00	0	0.00	0	0.00
ACCOUNTING CLERK	1,038	0.04	0	0.00	0	0.00	0	0.00
HUMAN RELATIONS OFCR II	59,707	1.05	31,304	0.50	0	0.00	0	0.00
PERSONNEL ANAL III	153	0.00	0	0.00	0	0.00	0	0.00
RESEARCH ANAL IV	55,173	1.01	61,014	1.00	0	0.00	0	0.00
PUBLIC INFORMATION SPEC II	348	0.01	0	0.00	0	0.00	0	0.00
PUBLIC INFORMATION COOR	0	0.00	29,305	0.50	0	0.00	0	0.00
TRAINING TECH I	9,973	0.25	51,416	1.00	0	0.00	0	0.00
TRAINING TECH II	32,969	0.75	0	0.00	0	0.00	0	0.00
EXECUTIVE I	509	0.01	0	0.00	0	0.00	0	0.00
EXECUTIVE II	376	0.01	0	0.00	0	0.00	0	0.00
RISK MANAGEMENT SPEC I	67	0.00	0	0.00	0	0.00	0	0.00
MANAGEMENT ANALYSIS SPEC II	42,190	0.71	0	0.00	0	0.00	0	0.00
PLANNER II	128	0.00	0	0.00	0	0.00	0	0.00
UNEMPLOYMENT INS AUDITOR I	91,793	2.60	218,576	5.00	0	0.00	0	0.00
UNEMPLOYMENT INS AUDITOR II	1,288,744	32.25	1,843,549	40.00	0	0.00	0	0.00
UNEMPLOYMENT INS AUDITOR III	327,385	7.14	546,750	10.08	0	0.00	0	0.00
CLAIMS EXAMINER	173,681	5.51	6,245,408	7.00	0	0.00	0	0.00
CLAIMS SUPERVISOR	733,024	17.88	6,238,639	5.00	0	0.00	0	0.00
SENIOR CLAIMS SUPERVISOR	988,479	21.40	1,175,619	21.00	0	0.00	0	0.00
CONTRIBUTIONS EXAMINER	78,182	2.58	173,247	5.00	0	0.00	0	0.00
CONTRIBUTIONS SUPERVISOR	324,171	8.05	220,409	4.00	0	0.00	0	0.00
SENIOR CONTRIBUTIONS SUPV	228,438	5.15	293,741	5.00	0	0.00	0	0.00
APPEALS REFEREE I	143,921	3.00	159,729	3.00	0	0.00	0	0.00
APPEALS REFEREE II	125,461	2.27	122,742	2.00	0	0.00	0	0.00
APPEALS REFEREE III	892,719	14.36	1,167,055	16.00	0	0.00	0	0.00
MANAGEMENT ANAL II ES	31,597	0.59	27,624	0.50	0	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMINISTRATION-EMP SEC								
CORE								
MANAGEMENT ANAL III ES	47,140	1.00	324,543	5.00	0	0.00	0	0.00
CLAIMS SPECIALIST I	1,595,538	47.10	8,671,524	70.86	0	0.00	0	0.00
CLAIMS SPECIALIST II	3,732,948	103.36	14,805,468	206.22	0	0.00	0	0.00
CONTRIBUTIONS SPECIALIST I	272,856	8.26	452,243	12.00	0	0.00	0	0.00
CONTRIBUTIONS SPECIALIST II	712,009	19.60	1,348,304	31.46	0	0.00	0	0.00
WAGE & HOUR INVESTIGATOR I	1,340	0.04	0	0.00	0	0.00	0	0.00
OCCUPTNL SFTY & HLTH CNSLT I	2,856	0.06	0	0.00	0	0.00	0	0.00
OCCUPTNL SFTY & HLTH CNSLT II	2,052	0.04	0	0.00	0	0.00	0	0.00
OCCUPTNL SFTY & HLTH CNSLT III	3,302	0.06	0	0.00	0	0.00	0	0.00
OCCUPTNL SFTY & HLTH SUPV	4,571	0.07	0	0.00	0	0.00	0	0.00
HEALTH FACILITIES CNSLT	1,347	0.02	0	0.00	0	0.00	0	0.00
PARK OPERATIONS & PLNG SPEC II	86	0.00	0	0.00	0	0.00	0	0.00
HEALTH FACILITIES NRSNG CNSLT	13,194	0.22	0	0.00	0	0.00	0	0.00
FACILITY ADV NURSE II	5,350	0.10	0	0.00	0	0.00	0	0.00
ENVIRONMENTAL SPEC II	2,464	0.06	0	0.00	0	0.00	0	0.00
ENVIRONMENTAL SPEC III	11,984	0.26	0	0.00	0	0.00	0	0.00
ENVIRONMENTAL ENGR II	1,409	0.03	0	0.00	0	0.00	0	0.00
ENVIRONMENTAL ENGR III	1,407	0.02	0	0.00	0	0.00	0	0.00
ENVIRONMENTAL ENGR IV	1,192	0.02	0	0.00	0	0.00	0	0.00
ENVIRONMENTAL SCIENTIST	5,926	0.11	0	0.00	0	0.00	0	0.00
TECHNICAL ASSISTANT II	7	0.00	0	0.00	0	0.00	0	0.00
TECHNICAL ASSISTANT IV	432	0.01	0	0.00	0	0.00	0	0.00
FACILITY SURVEYOR II	11,176	0.25	0	0.00	0	0.00	0	0.00
INVESTIGATOR II	3,100	0.07	0	0.00	0	0.00	0	0.00
INVESTIGATOR III	65	0.00	0	0.00	0	0.00	0	0.00
GRAPHIC ARTS SPEC III	41,159	1.00	0	0.00	0	0.00	0	0.00
GRAIN INSPECTOR III	2,192	0.06	0	0.00	0	0.00	0	0.00
GRAIN REGULATORY AUDITOR II	913	0.02	0	0.00	0	0.00	0	0.00
GRAIN REGULATORY AUDITOR III	402	0.01	0	0.00	0	0.00	0	0.00
FUEL DEVICE SAFETY INSPECTOR	15,050	0.38	0	0.00	0	0.00	0	0.00
WEIGHTS & MEASURES INSP I	12,083	0.31	0	0.00	0	0.00	0	0.00
FUEL DEVICE SAFETY SPECIALIST	57	0.00	0	0.00	0	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMINISTRATION-EMP SEC								
CORE								
MARKETING SPECIALIST I	1,137	0.03	0	0.00	0	0.00	0	0.00
MARKETING SPECIALIST II	3,118	0.07	0	0.00	0	0.00	0	0.00
MARKETING SPECIALIST III	1,425	0.03	0	0.00	0	0.00	0	0.00
ECONOMIC DEV INCENTIVE SPEC II	830	0.02	0	0.00	0	0.00	0	0.00
LABOR & INDUSTRIAL REL MGR B1	1,713,594	29.47	2,301,797	31.00	0	0.00	0	0.00
DIVISION DIRECTOR	109,891	1.00	115,751	1.00	115,751	1.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	179,514	2.00	201,733	2.00	193,873	2.00	0	0.00
PARALEGAL	1,017	0.03	0	0.00	0	0.00	0	0.00
CLERK	274,071	8.74	235,009	7.09	885,676	25.72	0	0.00
MISCELLANEOUS PROFESSIONAL	208	0.00	277,135	4.00	134,146	2.49	0	0.00
SPECIAL ASST OFFICE & CLERICAL	96,279	2.16	95,572	2.00	143,358	3.00	0	0.00
PRINCIPAL ASST BOARD/COMMISSION	118	0.00	0	0.00	0	0.00	0	0.00
ADMIN SUPPORT ASSISTANT	0	0.00	0	0.00	301,460	8.50	0	0.00
LEAD ADMIN SUPPORT ASSISTANT	0	0.00	0	0.00	423,277	11.00	0	0.00
SENIOR HUMAN RIGHTS OFFICER	0	0.00	0	0.00	0	0.00	0	0.00
BUSINESS PROJECT MANAGER	0	0.00	0	0.00	64,659	1.00	0	0.00
PROGRAM COORDINATOR	0	0.00	0	0.00	1,358,521	19.50	0	0.00
PROGRAM MANAGER	0	0.00	0	0.00	772,500	9.00	0	0.00
RESEARCH/DATA ANALYST	0	0.00	0	0.00	113,123	2.00	0	0.00
SENIOR RESEARCH/DATA ANALYST	0	0.00	0	0.00	277,431	4.00	0	0.00
PUBLIC RELATIONS COORDINATOR	0	0.00	0	0.00	0	0.00	0	0.00
BENEFIT PROGRAM ASSOCIATE	0	0.00	0	0.00	14,418,553	65.00	0	0.00
BENEFIT PROGRAM SPECIALIST	0	0.00	0	0.00	15,545,824	220.00	0	0.00
BENEFIT PROGRAM SR SPECIALIST	0	0.00	0	0.00	7,661,834	32.00	0	0.00
BENEFIT PROGRAM SUPERVISOR	0	0.00	0	0.00	1,469,360	26.00	0	0.00
ASSOC HEARINGS/APPEALS REFEREE	0	0.00	0	0.00	425,944	8.00	0	0.00
HEARINGS/APPEALS REFEREE	0	0.00	0	0.00	368,226	6.00	0	0.00
SR HEARINGS/APPEALS REFEREE	0	0.00	0	0.00	1,167,055	16.00	0	0.00
HEARINGS/APPEALS REFEREE MGR	0	0.00	0	0.00	77,012	1.00	0	0.00
REGULATORY AUDITOR	0	0.00	0	0.00	429,418	10.00	0	0.00
SENIOR REGULATORY AUDITOR	0	0.00	0	0.00	1,613,105	35.00	0	0.00
REGULATORY AUDITOR SUPERVISOR	0	0.00	0	0.00	34,733	7.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
ADMINISTRATION-EMP SEC								
CORE								
REGULATORY COMPLIANCE MANAGER	0	0.00	0	0.00	111,905	2.00	0	0.00
SENIOR FINANCIAL SERVICES TECH	376	0.01	0	0.00	0	0.00	0	0.00
SENIOR EXECUTIVE ASSISTANT	1,465	0.04	0	0.00	0	0.00	0	0.00
SENIOR MATERIALS TECHNICIAN	82	0.00	0	0.00	0	0.00	0	0.00
ROADSIDE DESIGN SPECIALIST	284	0.00	0	0.00	0	0.00	0	0.00
BENEFITS	1,720	0.00	0	0.00	0	0.00	0	0.00
TOTAL - PS	15,125,339	371.14	48,106,744	517.21	48,106,744	517.21	0	0.00
TRAVEL, IN-STATE	65,232	0.00	256,316	0.00	156,316	0.00	0	0.00
TRAVEL, OUT-OF-STATE	45,171	0.00	62,445	0.00	100,100	0.00	0	0.00
SUPPLIES	2,056,888	0.00	5,355,685	0.00	5,002,486	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	24,260	0.00	25,201	0.00	36,650	0.00	0	0.00
COMMUNICATION SERV & SUPP	573,096	0.00	3,186,569	0.00	2,050,946	0.00	0	0.00
PROFESSIONAL SERVICES	1,568,266	0.00	3,547,175	0.00	4,768,307	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	250	0.00	0	0.00	500	0.00	0	0.00
M&R SERVICES	10,782	0.00	19,336	0.00	19,435	0.00	0	0.00
OFFICE EQUIPMENT	28,254	0.00	2,662	0.00	27,662	0.00	0	0.00
OTHER EQUIPMENT	2,180	0.00	15,755	0.00	5,067	0.00	0	0.00
PROPERTY & IMPROVEMENTS	3,654	0.00	200	0.00	4,100	0.00	0	0.00
BUILDING LEASE PAYMENTS	760	0.00	200	0.00	1,100	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	16,670	0.00	22,763	0.00	28,100	0.00	0	0.00
MISCELLANEOUS EXPENSES	6,863	0.00	3,509	0.00	8,100	0.00	0	0.00
REBILLABLE EXPENSES	220	0.00	200	0.00	200	0.00	0	0.00
TOTAL - EE	4,402,546	0.00	12,498,016	0.00	12,209,069	0.00	0	0.00
PROGRAM DISTRIBUTIONS	1,141,601	0.00	911,053	0.00	1,200,000	0.00	0	0.00
REFUNDS	35	0.00	200	0.00	200	0.00	0	0.00
TOTAL - PD	1,141,636	0.00	911,253	0.00	1,200,200	0.00	0	0.00
GRAND TOTAL	\$20,669,521	371.14	\$61,516,013	517.21	\$61,516,013	517.21	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$20,669,140	371.14	\$61,063,088	510.21	\$61,063,088	510.21		0.00
OTHER FUNDS	\$381	0.00	\$452,925	7.00	\$452,925	7.00		0.00

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.880

Program Name: Unemployment Insurance Programs (Appeals)

Program is found in the following core budget(s): Employment Security Administration

1a. What strategic priority does this program address?

Growth: Foster a business environment to support economic growth.

Decide unemployment appeals to ensure proper taxation of employers and payment of claims.

1b. What does this program do?

- Receives and processes all requested claimant and employer appeals to provide an opportunity for a fair hearing, before an impartial tribunal, for all individuals whose claims for Unemployment Insurance (UI) compensation are denied.
- Conducts evidentiary hearings and issues written decisions in order to ensure consistent application of unemployment laws and allow timely appeal for higher authority review by the Labor and Industrial Relations Commission (LIRC).

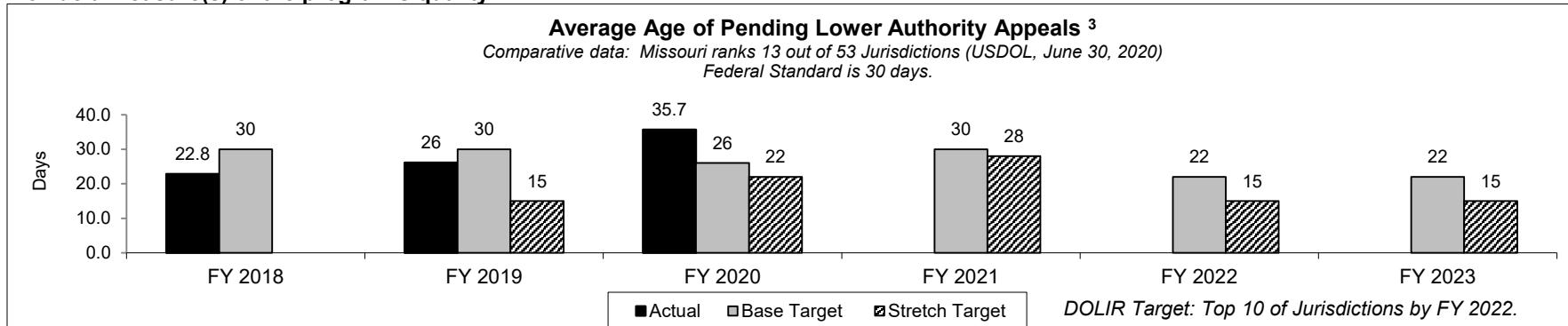
2a. Provide an activity measure(s) for the program.

	FY 2018		FY 2019		FY 2020		FY 2021 Projected ²	FY 2022 Projected	FY 2023 Projected
	Projected	Actual	Projected	Actual	Projected	Actual ¹			
Number of UI Appeals Received	17,500	19,621	19,000	17,438	17,500	41,040	25,000	20,000	20,000
Number of UI Appeals Disposed	17,500	20,688	19,000	17,484	17,500	20,014	45,000	20,000	20,000

¹The increase in appeals received and disposed is attributed to the unprecedented number of unemployment claims received as a result of the COVID-19 pandemic. With more people filing for unemployment, more appeals are filed should a party disagree with the Division's determination.

² The increase in projected number of UI Appeals Disposed in FY 2021 is due to the current Appeals backlog. The agency is taking the necessary steps to mitigate this backlog and anticipates that it will be resolved in FY 2021.

2b. Provide a measure(s) of the program's quality.



³ The increase in the Actual Average Age of Pending Lower Authority Appeals in FY 2020 is due to the current Appeals backlog that resulted from the COVID-19 pandemic. The agency is taking the necessary steps to mitigate this backlog and has adjusted its FY2021 projections to reflect the anticipated increase in appeals. It is anticipated that this issue will be resolved by FY2021.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.880

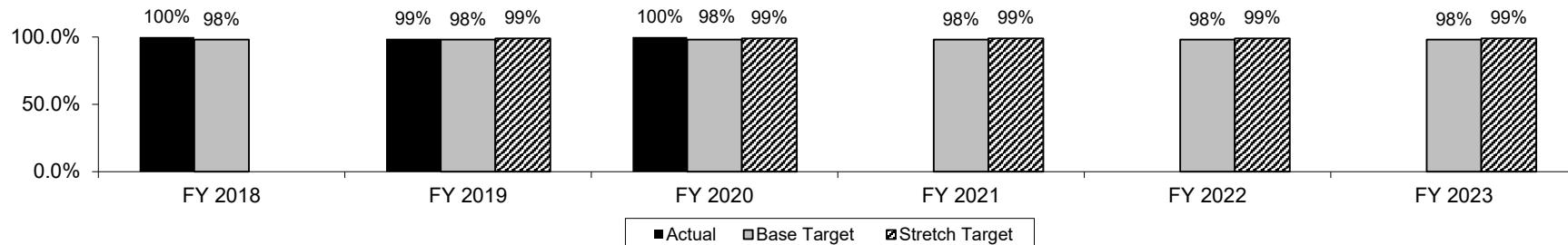
Program Name: Unemployment Insurance Programs (Appeals)

Program is found in the following core budget(s): Employment Security Administration

2c. Provide a measure(s) of the program's impact.

Dispute Resolution Meets Federal Guidelines Based on a Random Sample⁴

Comparative data: Missouri ranks tied at 1 out of 53 Jurisdictions (USDOL, June 30, 2020)

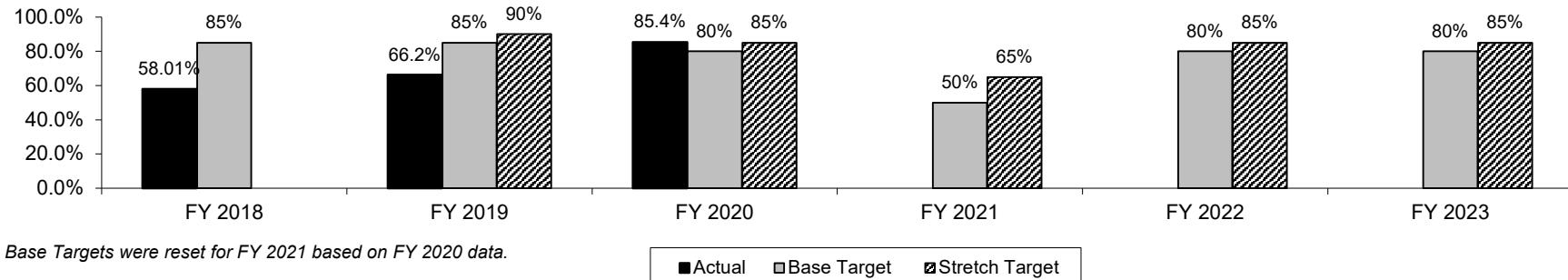


⁴ To measure the quality of the state's Unemployment Insurance appellate processes, the USDOL established thirty-three criteria. The criteria ensures an appeal process that is fair and provides procedural due process to all interested parties. Missouri must conduct a quarterly review of a randomly selected sample of appeals cases. To pass the evaluation, a case must receive at least 85% of the possible points and at least 80% of the scored cases must receive a passing score. There were 25 states that scored 100% on the dispute resolution meets federal guidelines performance measure.

2d. Provide a measure(s) of the program's efficiency.

Percentage of Unemployment Insurance Appeals Decisions Issued Within 30 Days^{5, 6}

Comparative data: Missouri ranks 25 out of 53 Jurisdictions, (USDOL, March 31 2020)



⁵ The issuance of appeals decisions within 30 days allows beneficiaries, who are deemed eligible after the appeal process, to collect unemployment benefits to which they are entitled to in a timely manner. If a claimant is deemed ineligible after the appeals process it will prevent payments to claimants, decreasing the amount of overpaid benefits.

⁶ The FY2021 targets reflect an anticipated backlog of appeals as a result of the COVID-19 pandemic. The agency is taking the necessary steps to mitigate this backlog and anticipates it will be resolved in FY2021.

PROGRAM DESCRIPTION

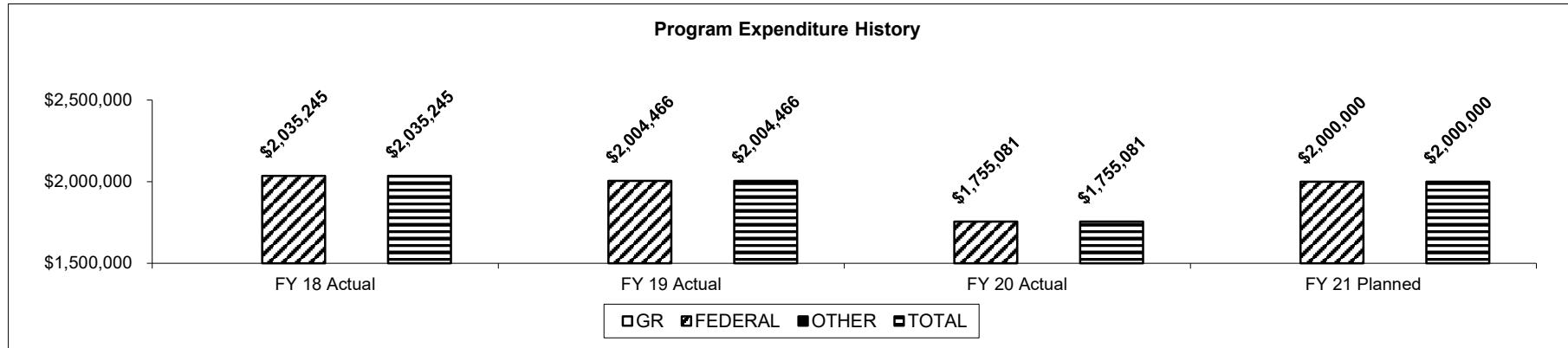
Department of Labor and Industrial Relations

HB Section(s): 7.880

Program Name: Unemployment Insurance Programs (Appeals)

Program is found in the following core budget(s): Employment Security Administration

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



4. What are the sources of the "Other " funds?

N/A

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Title III of the Social Security Act and Chapter 288, RSMo.

6. Are there federal matching requirements? If yes, please explain.

No.

7. Is this a federally mandated program? If yes, please explain.

Yes. In order to receive Federal funding, this program is required. The program is 100% Federally funded.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.880

Program Name: Unemployment Insurance Programs (Benefits)

Program is found in the following core budget(s): Employment Security Administration

1a. What strategic priority does this program address?

Growth: Foster a business environment to support economic development.

Pay unemployment benefits to eligible claimants allowing them to maintain economic security while they seek employment.

1b. What does this program do?

- Processes Unemployment Insurance (UI) claims which provide temporary financial assistance for eligible workers allowing them to maintain financial security during economic changes and natural disasters.
- Audits claims for potential fraud to preserve the integrity of the UI program.
- Establishes and collects overpaid UI benefits to help maintain the solvency of the UI Trust Fund.
- Reviews, identifies, and resolves issues to determine eligibility, verify information, and prevent fraud.

2a. Provide an activity measure(s) for the program.

	FY2018		FY2019		FY2020		FY2021 Projected	FY2022 Projected	FY2023 Projected
	Projected	Actual	Projected	Actual	Projected	Actual			
Total unemployment insurance (UI) benefits paid ^{1, 4}	\$280M	\$286M	\$280M	\$248M	\$250M	\$867M	\$900M	\$300M	\$280M
Initial, renewed & reopened claims filed ^{1,2, 4}	250,000	217,332	210,000	183,823	190,000	828,289	300,000	200,000	200,000
Individuals receiving regular UI benefits ^{3,4}	95,000	89,586	90,000	73,347	75,000	328,758	200,000	90,000	90,000
Fraud overpayments assessed against individuals ⁵	7,000	7,023	7,000	5,872	6,000	4,113	15,000	12,000	12,000
Amount of fraud overpayments recovered ⁶	\$8.0M	\$5.83M	\$6.5M	\$4.93M	\$5.0M	\$5.98M	\$8.0M	\$10.0M	\$10.0M

¹Projected figures for the number of initial, renewed and reopened claims filed are based upon the most recent USDOL UI Data Summary Publication.

²Actual figures are from the USDOL UI Data Summary Publication, which includes only state Regular UI claims.

³Projected figures represent Regular UI only and are based on the most recent information available in the USDOL UI Data Summary Publication.

⁴The increase in claims filed and benefits paid is attributed to the unprecedented number of unemployment claims received as a result of the COVID-19 pandemic.

⁵The increase in the projections for FYs 2022 and 2023 fraud overpayments assessed is attributed to the unprecedented number of unemployment claims received as a result of COVID-19 pandemic.

⁶The increase in the projections for FYs 2022 and 2023 fraud overpayments recovered is attributed to the Treasury Offset Program (TOP). Fraud overpayments have to be at least a year old in order to be certified for TOP.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.880

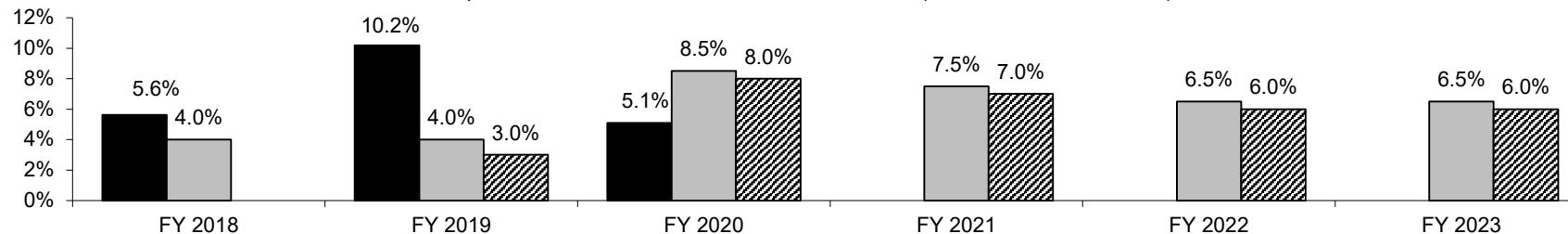
Program Name: Unemployment Insurance Programs (Benefits)

Program is found in the following core budget(s): Employment Security Administration

2b. Provide a measure(s) of the program's quality.

Percentage of Unemployment Claims That Were Improperly Paid⁷

Comparative data: Missouri ranks 7 out of 52 Jurisdictions (USDOL, December 31, 2019)



The agency estimates 49% of unemployment benefits improperly paid were due to fraud.

■ Actual □ Base Target ▨ Stretch Target

Target: Rank 5 of 52 Jurisdictions by FY 2022

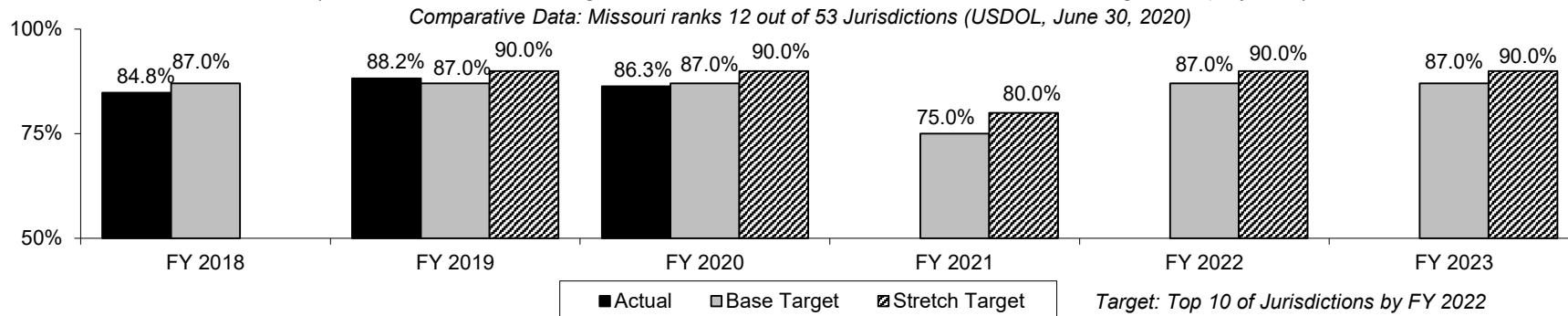
⁷ The increase in the percentage of Unemployment Claims that were improperly paid can be attributed to the historic lows in unemployment. As the overall number of unemployment claims declines and the number of improperly paid claims generally remains unchanged, it results in an increase in the percentage of improperly paid claims. The Division continues efforts to educate claimants on unemployment eligibility requirements and to make IT system changes that will reduce the number of improperly paid claims.

2c. Provide a measure(s) of the program's impact.

Eligible Claimants who Receive First Payment within 14 days of 1st Compensable Week^{8,9}

(Allows Them to Meet a Significant Portion of Life's Necessities While Searching for Employment)

Comparative Data: Missouri ranks 12 out of 53 Jurisdictions (USDOL, June 30, 2020)



■ Actual □ Base Target ▨ Stretch Target

Target: Top 10 of Jurisdictions by FY 2022

⁸ The Division attempts to make timely payments and determinations while striving to hold the number of improper payments to a minimum. However, payments can sometimes be delayed since there may be barriers to collecting information needed to make proper determinations.

⁹ The increase in unemployment claims received as a result of the COVID-19 pandemic resulted in a decrease in the percentage of claimants receiving payments within 14 days of the first compensable week.

PROGRAM DESCRIPTION

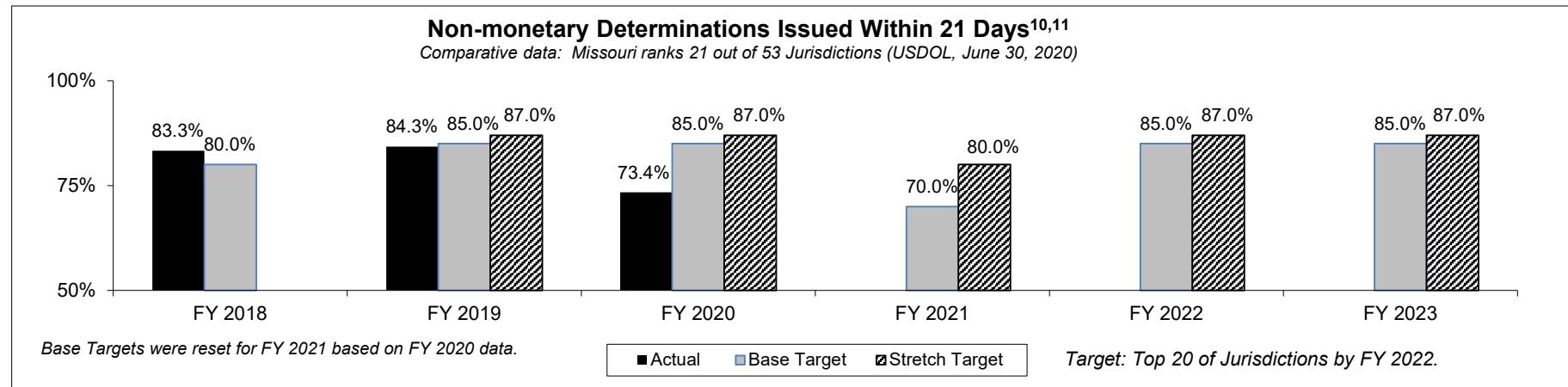
Department of Labor and Industrial Relations

HB Section(s): 7.880

Program Name: Unemployment Insurance Programs (Benefits)

Program is found in the following core budget(s): Employment Security Administration

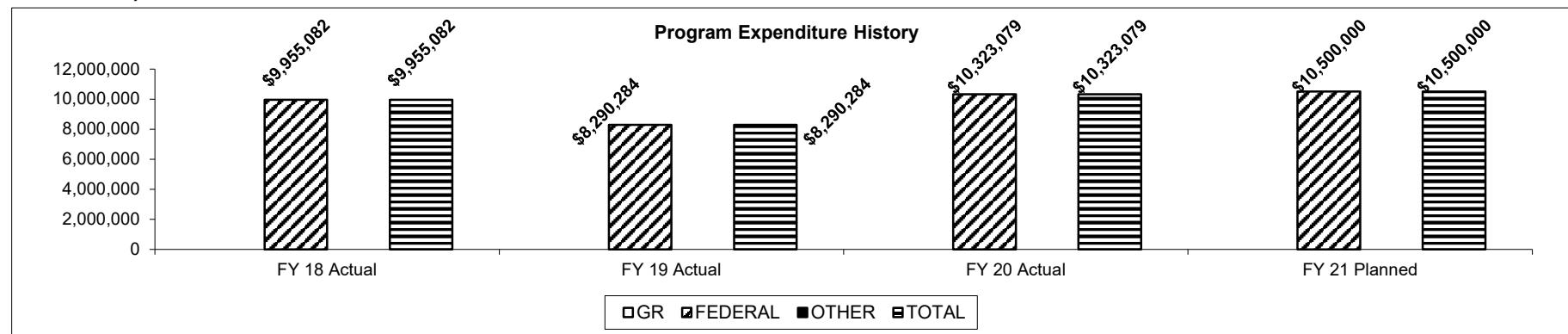
2d. Provide a measure(s) of the program's efficiency.



¹⁰ The division attempts to make timely payments and determinations while striving to hold the number of improper payments to a minimum. However, payments can sometimes be delayed since there may be barriers to collecting information needed to make proper determinations.

¹¹ The increase in unemployment claims received as a result of the COVID-19 pandemic resulted in a decrease in the percentage of non-monetary determinations issued within 21 days.

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



PROGRAM DESCRIPTION	
Department of Labor and Industrial Relations	HB Section(s): <u>7.880</u>
Program Name: <u>Unemployment Insurance Programs (Benefits)</u>	
Program is found in the following core budget(s): <u>Employment Security Administration</u>	
4. What are the sources of the "Other" funds?	
N/A.	
5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)	
Title III of the Social Security Act and Chapter 288, RSMo.	
6. Are there federal matching requirements? If yes, please explain.	
No.	
7. Is this a federally mandated program? If yes, please explain.	
Yes. This program is mandated under Title III of the Social Security Act and chapter 288, RSMo., and is 100% federally funded.	

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.880

Program Name: Unemployment Insurance Programs (Tax)

Program is found in the following core budget(s): Division of Employment Security Administration

1a. What strategic priority does this program address?

Growth: Foster a business environment to support economic development.

Collect unemployment insurance taxes to ensure Unemployment Trust Fund Solvency.

1b. What does this program do?

- Collects unemployment tax contributions from liable employers for payment of benefits to eligible claimants.
- Conducts federally mandated audits to ensure employers are compliant with UI laws.
- Makes determinations in regard to the proper reporting of workers' classifications and wages to ensure UI taxes are collected for eligible employees and that independent contractors are properly utilized by employers.
- Collects delinquent taxes and contribution and wage reports to ensure timely and accurate payments into the UI Trust Fund and proper calculation of benefits for claimants.

2a. Provide an activity measure(s) for the program.

	FY 2018	FY 2019		FY 2020		FY 2021	FY 2022	FY2023
	Actual	Projected	Actual	Projected	Actual	Projected	Projected	Projected
Number of Liable Employers	165,241	166,000	163,941	165,000	170,452	170,500	172,000	172,000
Number of Misclassified Workers Identified ¹	4,590	4,500	4,454	4,500	3,929	4,500	4,500	4,500
Number of Audits Completed ²	1,227	1,660	2,061	2,000	1,093	2,000	2,000	2,000

¹As employers are educated regarding misclassification of workers, the number identified is expected to drop; however, the Division expects there will continue to be misclassified workers identified.

²The significant decrease in the number of audits during FY 2020 was due to the Field Auditors being temporarily assigned to assist with claims intake due to unprecedented number of claim as a result of COVID-19 pandemic.

PROGRAM DESCRIPTION

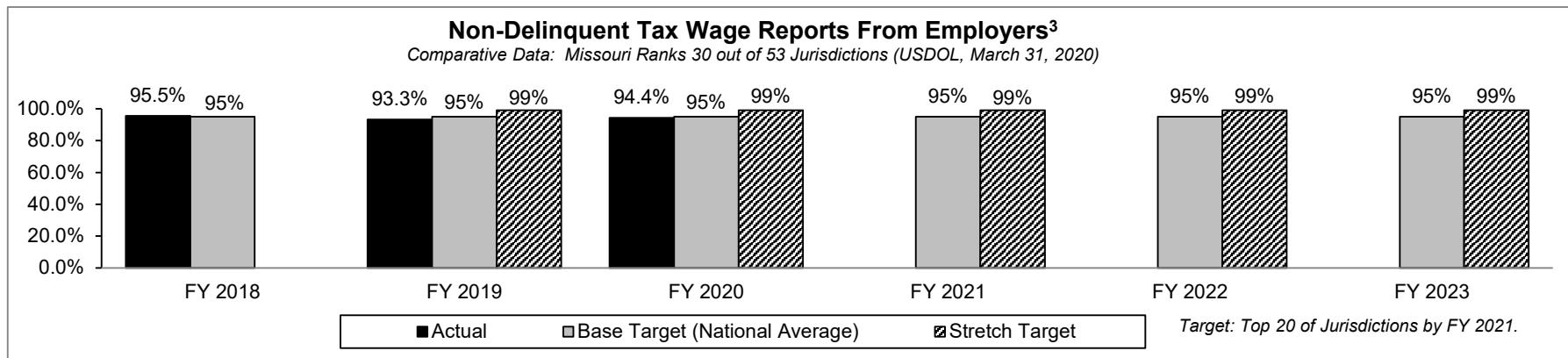
Department of Labor and Industrial Relations

HB Section(s): 7.880

Program Name: Unemployment Insurance Programs (Tax)

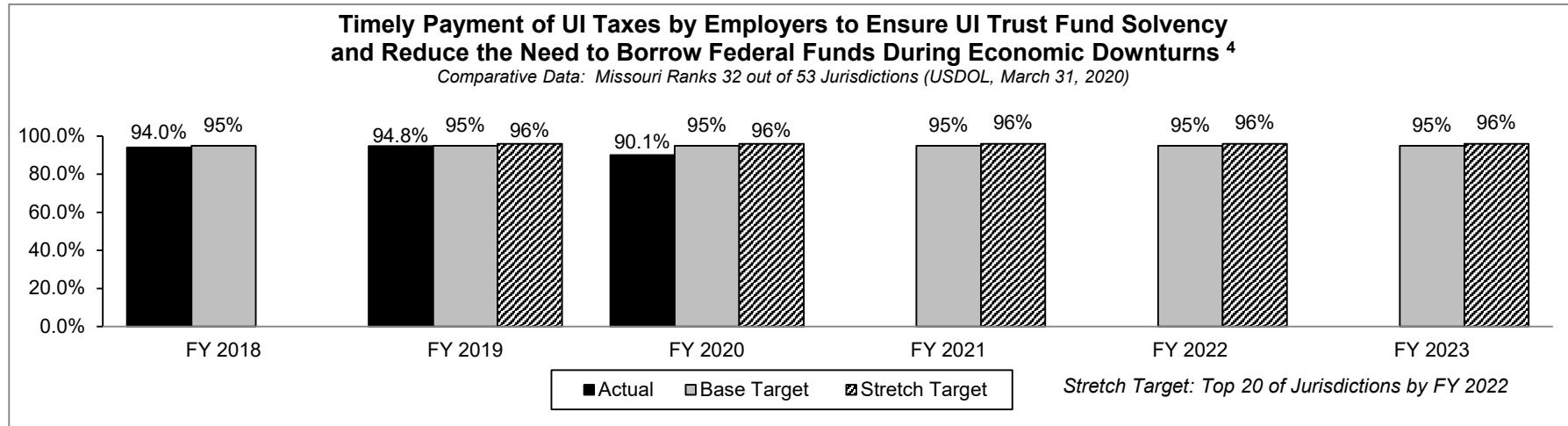
Program is found in the following core budget(s): Division of Employment Security Administration

2b. Provide a measure(s) of the program's quality.



³ In an effort to prevent further reductions, the Division has increased outreach to employers regarding the required filing of contribution wage reports.

2c. Provide a measure(s) of the program's impact.



⁴ The decrease in the percentage of timely payments during FY 2020 can be attributed to an increase in collection activities in FY 2019. Employers paid contributions on delinquent accounts which would have been considered not timely payments.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.880

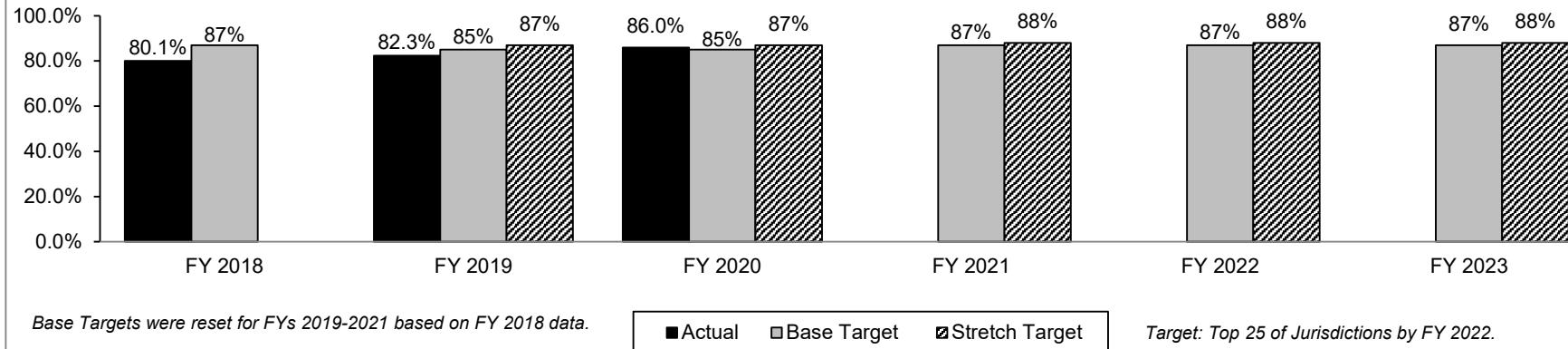
Program Name: Unemployment Insurance Programs (Tax)

Program is found in the following core budget(s): Division of Employment Security Administration

2d. Provide a measure(s) of the program's efficiency.

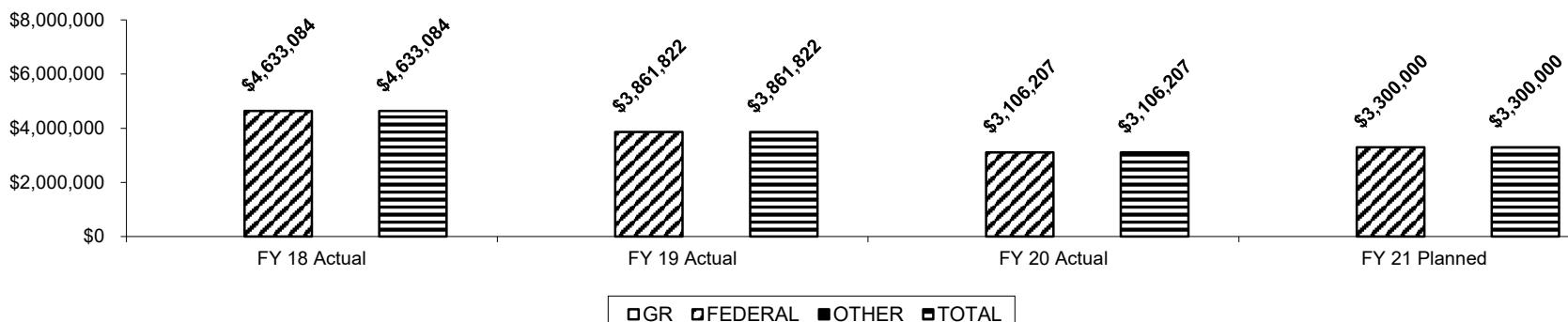
New Employers Registered for Unemployment Insurance Tax within 90 Days of Becoming Liable Allowing for Timely Collection of UI Taxes to Promote UI Trust Fund Solvency

Comparative Data: Missouri Ranks 35 out of 53 Jurisdictions (USDOL, March 31, 2020)



3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)

Program Expenditure History⁵



⁵ The decrease to the FY20 actual amount is due to the significant decrease in the number of audits during FY 2020 was due to some staff being temporarily assigned to assist with claims intake due to unprecedented number of claim as a result of COVID-19 pandemic.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.880

Program Name: Unemployment Insurance Programs (Tax)

Program is found in the following core budget(s): Division of Employment Security Administration

4. What are the sources of the "Other" funds?

Special Employment Security Fund (0949)

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Title III of the Social Security Act and Chapter 288, RSMo.

6. Are there federal matching requirements? If yes, please explain.

No.

7. Is this a federally mandated program? If yes, please explain.

Yes. In order to receive Federal funding, this program is required. The program is 100% Federally funded.

CORE DECISION ITEM

Department of Labor and Industrial Relations				Budget Unit <u>63046C</u>																																																								
Division of Employment Security																																																												
Employment & Training Payments				HB Section <u>07.885</u>																																																								
1. CORE FINANCIAL SUMMARY																																																												
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Est. Fringe	0	0	0	0																																																								
<p><i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i></p>																																																												
Other Funds:		Other Funds:																																																										
2. CORE DESCRIPTION																																																												
<p>The Employment & Training Payments core authorizes the Division of Employment Security (DES) to pay unemployment insurance (UI) benefits to eligible claimants under the Disaster Unemployment Assistance (DUA) program; and to pay subsistence, transportation, and job relocation expenses under the Trade Adjustment Assistance (TAA) program. Based upon federal criteria, these programs provide UI benefits to eligible claimants as a result of job loss due to natural disasters and workforce reductions related to trade agreements. The administrative costs associated with this core request are included in the Division's administration core request.</p> <p>The DES also receives federal reimbursements of Missouri Shared Work Unemployment Compensation Program benefits through these appropriations. The Shared Work Program funds a portion of employees' unemployment benefits while working reduced hours and retaining employee benefits through their employer.</p>																																																												
3. PROGRAM LISTING (list programs included in this core funding)																																																												
Employment and Training Payments		Shared Work Program																																																										

CORE DECISION ITEM

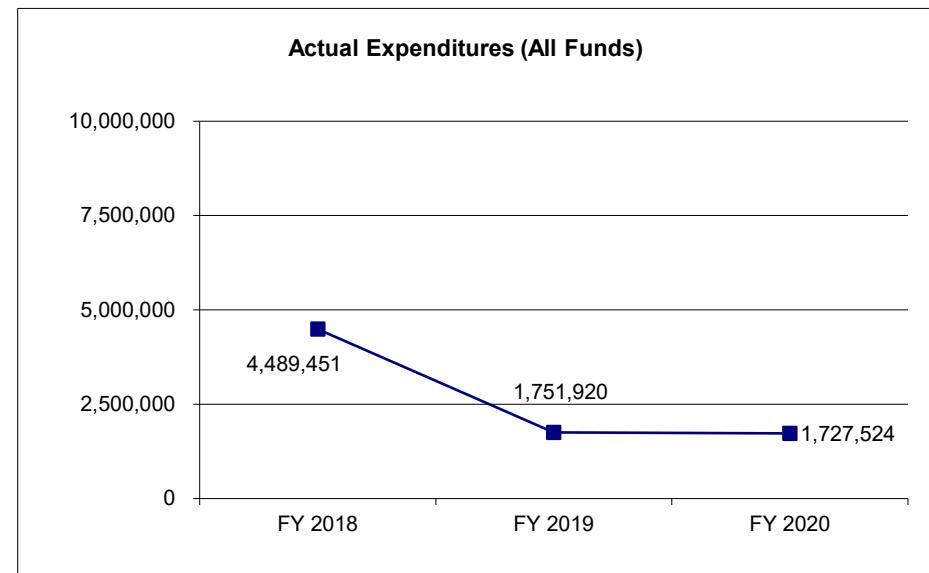
Department of Labor and Industrial Relations
Division of Employment Security
Employment & Training Payments

Budget Unit 63046C

HB Section 07.885

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	11,000,000	11,000,000	11,000,000	28,000,000
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	11,000,000	11,000,000	11,000,000	28,000,000
Actual Expenditures (All Funds)	4,489,451	1,751,920	1,727,524	N/A
Unexpended (All Funds)	<u>6,510,549</u>	<u>9,248,080</u>	<u>9,272,476</u>	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	3,403,162	9,248,080	9,272,476	N/A
Other	0	0	0	N/A
	(1)	(2)		(3)



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) During FY2018, many individuals transitioned off the TAA program.
- (2) Individuals continued to transition off the TAA program in FY2019, in part due to historically low unemployment levels.
- (3) Includes additional \$17,000,000 for UI benefits payments resulting from COVID-19.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL EMPLOYMENT & TRAINING PAYMENT

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PD	0.00	0	28,000,000	0	28,000,000	
	Total	0.00	0	28,000,000	0	28,000,000	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	28,000,000	0	28,000,000	
	Total	0.00	0	28,000,000	0	28,000,000	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	28,000,000	0	28,000,000	
	Total	0.00	0	28,000,000	0	28,000,000	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
EMPLOYMENT & TRAINING PAYMENT								
CORE								
PROGRAM-SPECIFIC								
UNEMPLOYMENT COMP ADMIN	1,727,524	0.00	11,000,000	0.00	11,000,000	0.00	0	0.00
DOLIR FEDERAL STIMULUS	0	0.00	17,000,000	0.00	17,000,000	0.00	0	0.00
TOTAL - PD	1,727,524	0.00	28,000,000	0.00	28,000,000	0.00	0	0.00
TOTAL	1,727,524	0.00	28,000,000	0.00	28,000,000	0.00	0	0.00
GRAND TOTAL	\$1,727,524	0.00	\$28,000,000	0.00	\$28,000,000	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
EMPLOYMENT & TRAINING PAYMENT								
CORE								
PROGRAM DISTRIBUTIONS	1,727,524	0.00	28,000,000	0.00	28,000,000	0.00	0	0.00
TOTAL - PD	1,727,524	0.00	28,000,000	0.00	28,000,000	0.00	0	0.00
GRAND TOTAL	\$1,727,524	0.00	\$28,000,000	0.00	\$28,000,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$1,727,524	0.00	\$28,000,000	0.00	\$28,000,000	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u>				Budget Unit <u>63036C</u>																																																								
<u>Division of Employment Security</u>																																																												
<u>Special Employment Security</u>				HB Section <u>07.890</u>																																																								
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Other Funds: Special Employment Security Fund (0949)				Other Funds: Special Employment Security Fund (0949)																																																								
2. CORE DESCRIPTION																																																												
<p>This core funding enables the Division of Employment Security (DES) to make necessary building repairs and other expense & equipment purchases related to the maintenance of the three division-owned buildings (Jefferson City, Kansas City & Springfield). This core also provides supplemental funding to DES for costs not covered by federal grants.</p>																																																												
3. PROGRAM LISTING (list programs included in this core funding)																																																												
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CORE DECISION ITEM

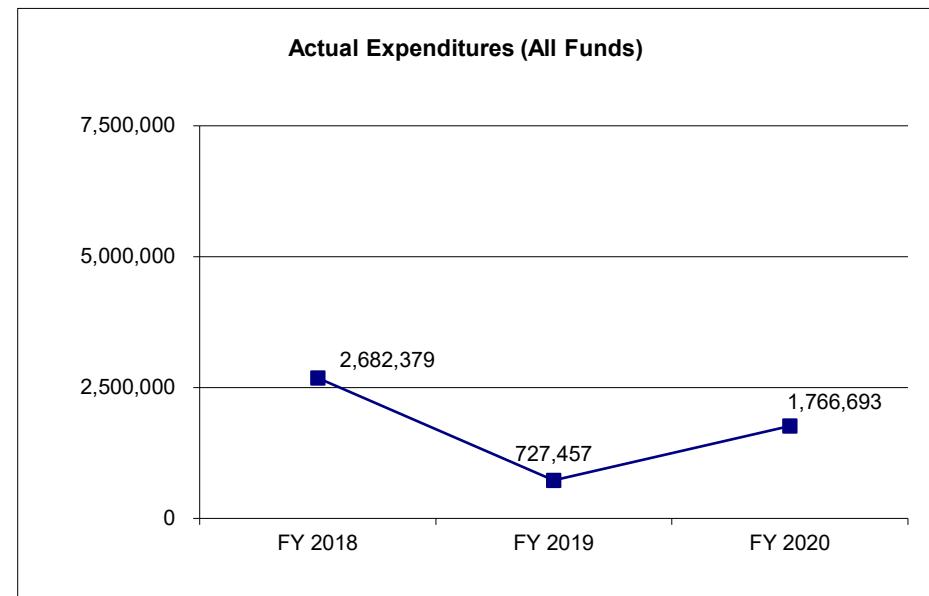
Department of Labor and Industrial Relations
Division of Employment Security
Special Employment Security

Budget Unit 63036C

HB Section 07.890

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	9,060,911	7,066,161	7,083,961	7,096,511
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	9,060,911	7,066,161	7,083,961	7,096,511
Actual Expenditures (All Funds)	2,682,379	727,457	1,766,693	N/A
Unexpended (All Funds)	<u>6,378,532</u>	<u>6,338,704</u>	<u>5,317,268</u>	<u>N/A</u>
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	6,378,532	6,338,704	5,317,269	N/A
	(1)	(2)	(3)	(4)



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Includes a one-time appropriation of \$2,000,000 related to legal expense payments and a core transfer out of (\$2,000) to Office of Administration for contract administration staff.
- (2) A core reduction of (\$2,000,000) for a one-time legal settlement transfer and \$5,250 for FY2019 pay plan.
- (3) Includes \$5,250 for cost-to-continue of FY2019 pay plan; \$8,600 for the FY2020 pay plan; and \$3,950 for personal services market adjustments. Expenditures increased as expenses were shifted to the fund because of reductions in federal funding due to the record unemployment levels until March 2020.
- (4) Includes \$12,550 for cost-to-continue FY2020 pay plan and market adjustments.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL SPECIAL EMP SECURITY FUND

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	15.00	0	0	598,511	598,511	
	EE	0.00	0	0	6,497,800	6,497,800	
	PD	0.00	0	0	200	200	
	Total	15.00	0	0	7,096,511	7,096,511	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	1134 2945	EE	0.00	0	0	(1,400)	(1,400) Core reallocations to better match planned expenditures.
Core Reallocation	1134 2945	PD	0.00	0	0	1,400	1,400 Core reallocations to better match planned expenditures.
NET DEPARTMENT CHANGES			0.00	0	0	0	
DEPARTMENT CORE REQUEST							
	PS	15.00	0	0	598,511	598,511	
	EE	0.00	0	0	6,496,400	6,496,400	
	PD	0.00	0	0	1,600	1,600	
	Total	15.00	0	0	7,096,511	7,096,511	
GOVERNOR'S RECOMMENDED CORE							
	PS	15.00	0	0	598,511	598,511	
	EE	0.00	0	0	6,496,400	6,496,400	
	PD	0.00	0	0	1,600	1,600	
	Total	15.00	0	0	7,096,511	7,096,511	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
SPECIAL EMP SECURITY FUND								
CORE								
PERSONAL SERVICES								
SPECIAL EMPLOYMENT SECURITY	570,522	14.86	598,511	15.00	598,511	15.00	0	0.00
TOTAL - PS	570,522	14.86	598,511	15.00	598,511	15.00	0	0.00
EXPENSE & EQUIPMENT								
SPECIAL EMPLOYMENT SECURITY	1,194,824	0.00	6,497,800	0.00	6,496,400	0.00	0	0.00
TOTAL - EE	1,194,824	0.00	6,497,800	0.00	6,496,400	0.00	0	0.00
PROGRAM-SPECIFIC								
SPECIAL EMPLOYMENT SECURITY	1,347	0.00	200	0.00	1,600	0.00	0	0.00
TOTAL - PD	1,347	0.00	200	0.00	1,600	0.00	0	0.00
TOTAL	1,766,693	14.86	7,096,511	15.00	7,096,511	15.00	0	0.00
GRAND TOTAL	\$1,766,693	14.86	\$7,096,511	15.00	\$7,096,511	15.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
SPECIAL EMP SECURITY FUND								
CORE								
HUMAN RELATIONS OFCR II	8,177	0.15	58,577	0.50	0	0.00	0	0.00
CLAIMS EXAMINER	55,589	1.83	94,664	3.00	0	0.00	0	0.00
CLAIMS SUPERVISOR	39,403	0.94	0	0.00	0	0.00	0	0.00
SENIOR CLAIMS SUPERVISOR	40,487	0.87	44,311	1.00	0	0.00	0	0.00
CONTRIBUTIONS SUPERVISOR	46,468	1.13	40,559	1.00	0	0.00	0	0.00
SENIOR CONTRIBUTIONS SUPV	37,033	0.83	44,335	1.00	0	0.00	0	0.00
MANAGEMENT ANAL II ES	22,054	0.41	27,064	0.50	0	0.00	0	0.00
CLAIMS SPECIALIST I	48,087	1.46	37,990	1.00	0	0.00	0	0.00
CLAIMS SPECIALIST II	204,086	5.64	198,933	5.50	0	0.00	0	0.00
CONTRIBUTIONS SPECIALIST II	23,099	0.67	2,920	0.50	0	0.00	0	0.00
LABOR & INDUSTRIAL REL MGR B1	46,039	0.93	49,158	1.00	0	0.00	0	0.00
SENIOR HUMAN RIGHTS OFFICER	0	0.00	0	0.00	0	0.00	0	0.00
PROGRAM COORDINATOR	0	0.00	0	0.00	55,064	1.00	0	0.00
BENEFIT PROGRAM ASSOCIATE	0	0.00	0	0.00	0	0.00	0	0.00
BENEFIT PROGRAM SPECIALIST	0	0.00	0	0.00	246,182	7.00	0	0.00
BENEFIT PROGRAM SR SPECIALIST	0	0.00	0	0.00	198,935	5.00	0	0.00
BENEFIT PROGRAM SUPERVISOR	0	0.00	0	0.00	98,330	2.00	0	0.00
TOTAL - PS	570,522	14.86	598,511	15.00	598,511	15.00	0	0.00
TRAVEL, IN-STATE	0	0.00	10,489	0.00	10,000	0.00	0	0.00
TRAVEL, OUT-OF-STATE	0	0.00	8,099	0.00	8,500	0.00	0	0.00
SUPPLIES	412,782	0.00	2,914,076	0.00	2,280,220	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	41,855	0.00	147,888	0.00	147,978	0.00	0	0.00
COMMUNICATION SERV & SUPP	76,978	0.00	651,188	0.00	651,224	0.00	0	0.00
PROFESSIONAL SERVICES	630,833	0.00	1,813,030	0.00	1,825,010	0.00	0	0.00
HOUSEKEEPING & JANITORIAL SERV	100	0.00	0	0.00	100	0.00	0	0.00
M&R SERVICES	495	0.00	23,264	0.00	500,000	0.00	0	0.00
OFFICE EQUIPMENT	0	0.00	17,188	0.00	25,000	0.00	0	0.00
OTHER EQUIPMENT	59	0.00	79,364	0.00	50,000	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	783,566	0.00	950,000	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	100	0.00	100	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	3,282	0.00	3,000	0.00	0	0.00
MISCELLANEOUS EXPENSES	31,722	0.00	46,166	0.00	45,168	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
SPECIAL EMP SECURITY FUND								
CORE								
REBILLABLE EXPENSES	0	0.00	100	0.00	100	0.00	0	0.00
TOTAL - EE	1,194,824	0.00	6,497,800	0.00	6,496,400	0.00	0	0.00
PROGRAM DISTRIBUTIONS	0	0.00	100	0.00	100	0.00	0	0.00
REFUNDS	1,347	0.00	100	0.00	1,500	0.00	0	0.00
TOTAL - PD	1,347	0.00	200	0.00	1,600	0.00	0	0.00
GRAND TOTAL	\$1,766,693	14.86	\$7,096,511	15.00	\$7,096,511	15.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$1,766,693	14.86	\$7,096,511	15.00	\$7,096,511	15.00		0.00

CORE DECISION ITEM

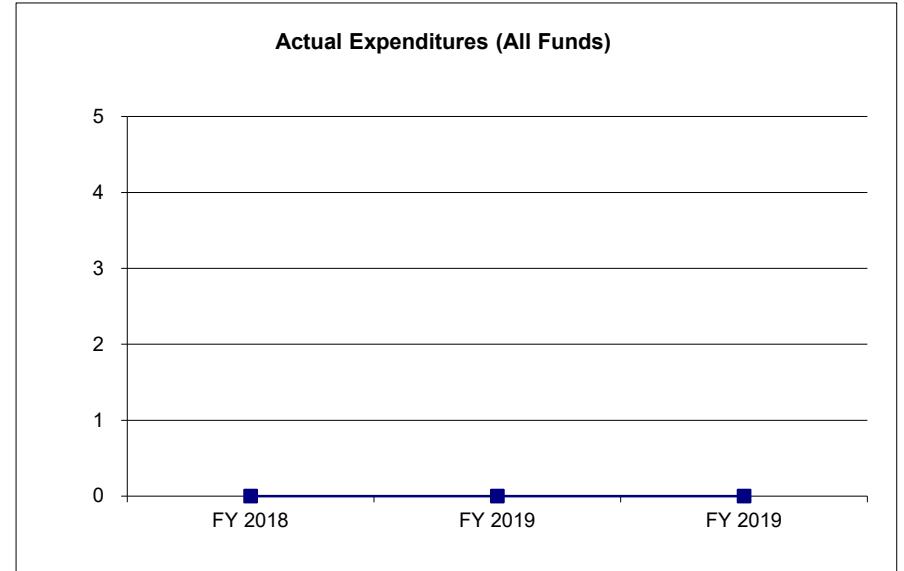
<u>Department of Labor and Industrial Relations</u>		Budget Unit <u>63037C</u>																																																									
<u>Division of Employment Security</u>																																																											
<u>War on Terror Unemployment Compensation</u>		HB Section <u>07.895</u>																																																									
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<p>Established in Section 288.042, <i>RSMo.</i>, this finances the administration and unemployment benefits paid under the War on Terror Program. A "War on Terror Veteran" is a Missouri resident who serves in the National Guard or is a member of a United States Armed Forces reserve unit who was domiciled in Missouri immediately prior to deployment and whom a Missouri court has found was discharged or laid off by his or her employer during deployment or within thirty days of completing deployment. Any employer that is found in any Missouri Court or United States District Court located in Missouri to have terminated or taken adverse employment action against a "War on Terror Veteran" due to his or her absence while deployed, shall be subject to an administrative penalty of \$35,000. The "War on Terror Veteran" is entitled to receive veterans' unemployment benefits for up to 26 weeks.</p>																																																											
<p>This appropriation authority has never been used. Due to the severity of the War on Terror penalty that would be levied against offenders, the Division of Employment Security believes there will be few unemployment claims against this core.</p>																																																											
3. PROGRAM LISTING (list programs included in this core funding)																																																											
War on Terror Unemployment Compensation																																																											

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u>	<u>Budget Unit</u> 63037C
<u>Division of Employment Security</u>	
<u>War on Terror Unemployment Compensation</u>	<u>HB Section</u> 07.895

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2019 Actual	FY 2020 Current Yr.
Appropriation (All Funds)	90,000	40,000	40,000	40,000
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	<u>90,000</u>	<u>40,000</u>	<u>40,000</u>	N/A
Actual Expenditures (All Funds)	0	0	0	N/A
Unexpended (All Funds)	<u>90,000</u>	<u>40,000</u>	<u>40,000</u>	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	90,000	40,000	40,000	N/A
	(1)			



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

(1) Includes a core reduction of (\$50,000) in excess appropriation authority. There has never been an expenditure from this program.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL WAR ON TERROR

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	EE	0.00	0	0	5,000	5,000	
	PD	0.00	0	0	35,000	35,000	
	Total	0.00	0	0	40,000	40,000	
DEPARTMENT CORE REQUEST							
	EE	0.00	0	0	5,000	5,000	
	PD	0.00	0	0	35,000	35,000	
	Total	0.00	0	0	40,000	40,000	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	0	0	5,000	5,000	
	PD	0.00	0	0	35,000	35,000	
	Total	0.00	0	0	40,000	40,000	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
WAR ON TERROR								
CORE								
EXPENSE & EQUIPMENT								
WAR ON TERROR UNEMP COMP FUND	0	0.00	5,000	0.00	5,000	0.00	0	0.00
TOTAL - EE	0	0.00	5,000	0.00	5,000	0.00	0	0.00
PROGRAM-SPECIFIC								
WAR ON TERROR UNEMP COMP FUND	0	0.00	35,000	0.00	35,000	0.00	0	0.00
TOTAL - PD	0	0.00	35,000	0.00	35,000	0.00	0	0.00
TOTAL	0	0.00	40,000	0.00	40,000	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$40,000	0.00	\$40,000	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
WAR ON TERROR								
CORE								
SUPPLIES	0	0.00	2,000	0.00	2,000	0.00	0	0.00
PROFESSIONAL SERVICES	0	0.00	2,800	0.00	2,800	0.00	0	0.00
MISCELLANEOUS EXPENSES	0	0.00	200	0.00	200	0.00	0	0.00
TOTAL - EE	0	0.00	5,000	0.00	5,000	0.00	0	0.00
PROGRAM DISTRIBUTIONS	0	0.00	35,000	0.00	35,000	0.00	0	0.00
TOTAL - PD	0	0.00	35,000	0.00	35,000	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$40,000	0.00	\$40,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$40,000	0.00	\$40,000	0.00		0.00

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u> <u>Division of Employment Security</u> <u>Debt Offset Escrow</u>				Budget Unit <u>63020C</u>																																																												
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<p>This core allows the Division of Employment Security (DES) to use intercepted income tax refunds for the purpose of repaying Unemployment Insurance (UI) benefit overpayments and delinquent employer tax. Only the amount owed at the time the refund is issued is intercepted. Any payments made between the intercept and the application of the intercepted funds to the delinquent accounts are applied to the balance owed. The intercepted refund is then applied to the balance owed, and any remaining funds are released to the taxpayer. This aids DES in collecting monies due to the Unemployment Compensation Trust Fund. The administrative costs associated with this core request are included in the Division's administration core request.</p>																																																																
3. PROGRAM LISTING (list programs included in this core funding)																																																																
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CORE DECISION ITEM

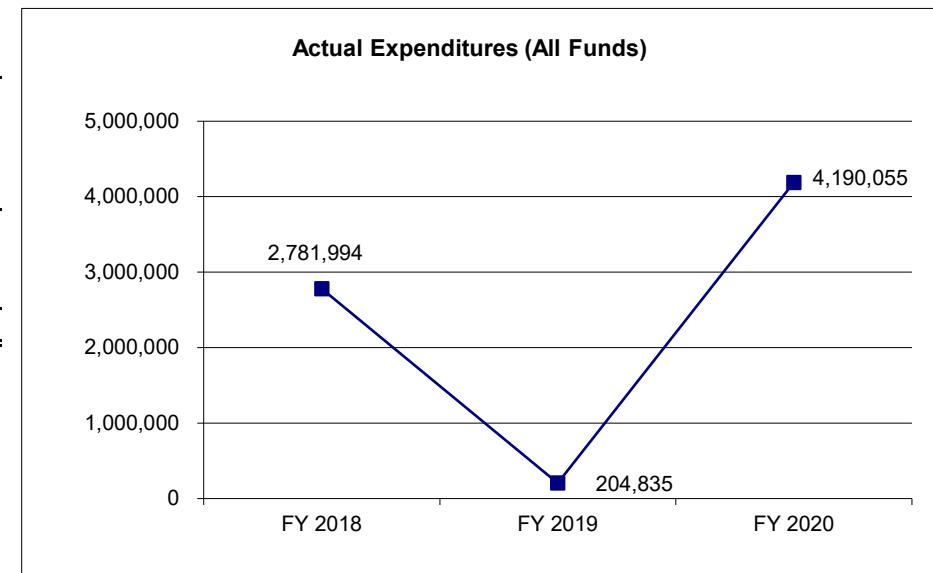
Department of Labor and Industrial Relations
Division of Employment Security
Debt Offset Escrow

Budget Unit 63020C

HB Section 07.900

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	5,000,000	5,000,000	5,000,000	5,000,000
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)	0	0	0	N/A
Budget Authority (All Funds)	5,000,000	5,000,000	5,000,000	5,000,000
Actual Expenditures (All Funds)	2,781,994	204,835	4,190,055	N/A
Unexpended (All Funds)	<u>2,218,006</u>	<u>4,795,165</u>	<u>809,945</u>	N/A
Unexpended, by Fund:				
General Revenue	0	0	0	N/A
Federal	0	0	0	N/A
Other	2,218,006	4,795,165	809,945	N/A
		(1)	(2)	



Reverted includes the statutory three-percent reserve amount (when applicable).

Restricted includes any Governor's Expenditure Restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Implementation of a new tax program by the Department of Revenue (DOR) delayed its ability to transmit intercepted income tax refunds to offset unemployment overpayments, resulting in a low expenditure for FY2019. The DOR system problems were corrected in June 2019 and the DES applied the intercepted tax refunds in August 2019.
- (2) Increase in FY2020 expenditures occurred because the majority of the FY2019 interceptions were processed in FY2020.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL DEBT OFFSET ESCROW FUND

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PD	0.00	0	0	5,000,000	5,000,000	
	Total	0.00	0	0	5,000,000	5,000,000	
DEPARTMENT CORE REQUEST							
	PD	0.00	0	0	5,000,000	5,000,000	
	Total	0.00	0	0	5,000,000	5,000,000	
GOVERNOR'S RECOMMENDED CORE							
	PD	0.00	0	0	5,000,000	5,000,000	
	Total	0.00	0	0	5,000,000	5,000,000	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEBT OFFSET ESCROW FUND								
CORE								
PROGRAM-SPECIFIC								
DEBT OFFSET ESCROW	4,190,055	0.00	5,000,000	0.00	5,000,000	0.00	0	0.00
TOTAL - PD	4,190,055	0.00	5,000,000	0.00	5,000,000	0.00	0	0.00
TOTAL	4,190,055	0.00	5,000,000	0.00	5,000,000	0.00	0	0.00
Debt Offset Authority Increase - 1625001								
PROGRAM-SPECIFIC								
DEBT OFFSET ESCROW	0	0.00	0	0.00	5,000,000	0.00	0	0.00
TOTAL - PD	0	0.00	0	0.00	5,000,000	0.00	0	0.00
TOTAL	0	0.00	0	0.00	5,000,000	0.00	0	0.00
GRAND TOTAL	\$4,190,055	0.00	\$5,000,000	0.00	\$10,000,000	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEBT OFFSET ESCROW FUND								
CORE								
PROGRAM DISTRIBUTIONS	0	0.00	2,500,000	0.00	2,500,000	0.00	0	0.00
REFUNDS	4,190,055	0.00	2,500,000	0.00	2,500,000	0.00	0	0.00
TOTAL - PD	4,190,055	0.00	5,000,000	0.00	5,000,000	0.00	0	0.00
GRAND TOTAL	\$4,190,055	0.00	\$5,000,000	0.00	\$5,000,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$4,190,055	0.00	\$5,000,000	0.00	\$5,000,000	0.00		0.00

NEW DECISION ITEM

RANK: _____ OF _____

<p>Department Department of Labor and Industrial Relations Division Employment Security DI Name Debt Offset Escrow Fund Authority Increase</p>	<p>Budget Unit 63020C DI# 1625001 HB Section 07.900</p>																																																																														
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TRF	0	0	0	0	TRF	0	0	0																																																																							
Total	0	0	5,000,000	5,000,000	Total	0	0	0																																																																							
FTE	0.00	0.00	0.00	0.00	FTE	0.00	0.00	0.00																																																																							
Est. Fringe	0	0	0	0	Est. Fringe	0	0	0																																																																							
<p>2. THIS REQUEST CAN BE CATEGORIZED AS:</p> <table style="width: 100%; border-collapse: collapse;"> <tr> <td style="width: 33%;"><input type="checkbox"/> New Legislation</td> <td style="width: 33%;"><input type="checkbox"/> New Program</td> <td style="width: 33%;"><input type="checkbox"/> Fund Switch</td> </tr> <tr> <td><input type="checkbox"/> Federal Mandate</td> <td><input type="checkbox"/> Program Expansion</td> <td><input type="checkbox"/> Cost to Continue</td> </tr> <tr> <td><input type="checkbox"/> GR Pick-Up</td> <td><input type="checkbox"/> Space Request</td> <td><input type="checkbox"/> Equipment Replacement</td> </tr> <tr> <td><input type="checkbox"/> Pay Plan</td> <td><input checked="" type="checkbox"/> Other: Increasing to better reflect expenditures</td> <td></td> </tr> </table>		<input type="checkbox"/> New Legislation	<input type="checkbox"/> New Program	<input type="checkbox"/> Fund Switch	<input type="checkbox"/> Federal Mandate	<input type="checkbox"/> Program Expansion	<input type="checkbox"/> Cost to Continue	<input type="checkbox"/> GR Pick-Up	<input type="checkbox"/> Space Request	<input type="checkbox"/> Equipment Replacement	<input type="checkbox"/> Pay Plan	<input checked="" type="checkbox"/> Other: Increasing to better reflect expenditures																																																																			
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<p>3. WHY IS THIS FUNDING NEEDED? PROVIDE AN EXPLANATION FOR ITEMS CHECKED IN #2. INCLUDE THE FEDERAL OR STATE STATUTORY OR CONSTITUTIONAL AUTHORIZATION FOR THIS PROGRAM.</p> <p>In this program, the Division of Employment Security (DES) receives intercepted income tax refunds to repay Unemployment Insurance (UI) benefit overpayments and pay delinquent employer tax. Some claimants do not voluntarily repay benefit overpayments resulting from fraud or claimant error and some employers do not voluntarily pay unemployment contributions. The State tax refund interception program is a critical part of the Department's overall collection effort, which ranges from voluntary repayment to garnishments.</p> <p>The Division will need additional appropriation authority as a result of the increased claims and benefits paid. The Division's ability to intercept additional amounts will return monies to the Unemployment Compensation Trust Fund and improve its financial condition. A low Unemployment Compensation Trust Fund balance will increase the need for the department to borrow in order to support fund activities.</p> <p>This program is established within State Chapter 288, RSMo.</p>																																																																															

NEW DECISION ITEM

RANK: _____ OF _____

Department	Department of Labor and Industrial Relations	Budget Unit	63020C
Division	Employment Security		
	Debt Offset Escrow Fund		
DI Name	Authority Increase	DI#	1625001

HB Section 07.900

4. DESCRIBE THE DETAILED ASSUMPTIONS USED TO DERIVE THE SPECIFIC REQUESTED AMOUNT. (How did you determine that the requested number of FTE were appropriate? From what source or standard did you derive the requested levels of funding? Were alternatives such as outsourcing or automation considered? If based on new legislation, does request tie to TAFP fiscal note? If not, explain why. Detail which portions of the request are one-times and how those amounts were calculated.)

Prior to 2016, the Division could only intercept state tax refunds for fraud overpayments. In FY 2018 when claims volume was relatively low, the Division used \$2.8 million of the appropriation. In FY 2020, the Division used \$4.1 million of the appropriation due to a backlog of two years of tax refund offsets.

The pandemic has dramatically increased the UI claim level and the benefit amounts that the Division paid out; therefore, the Division anticipates increasing its use of the tax refund interception program. The majority of these state tax refund offsets occur from February through June each year so after the initial \$5.0 million appropriation authority is exhausted, the agency would have to hold payments until the following fiscal year.

This Decision Item will more accurately reflect the amount of the program expenditures due to the anticipated increase in the volume of claims.

5. BREAK DOWN THE REQUEST BY BUDGET OBJECT CLASS, JOB CLASS, AND FUND SOURCE. IDENTIFY ONE-TIME COSTS.

Budget Object Class/Job Class	Dept Req GR DOLLARS	Dept Req GR FTE	Dept Req FED DOLLARS	Dept Req FED FTE	Dept Req OTHER DOLLARS	Dept Req OTHER FTE	Dept Req TOTAL DOLLARS	Dept Req TOTAL FTE	Dept Req One-Time DOLLARS
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
Total EE	0		0		0		0		0
Program Distributions					5,000,000		5,000,000		
Total PSD	0		0		5,000,000		5,000,000		0
Transfers									
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	5,000,000	0.0	5,000,000	0.0	0

NEW DECISION ITEM
 RANK: _____ OF _____

Department	Department of Labor and Industrial Relations	Budget Unit	63020C						
Division	Employment Security								
	Debt Offset Escrow Fund								
DI Name	Authority Increase	DI#	1625001						
HB Section 07.900									
Budget Object Class/Job Class	Gov Rec GR DOLLARS	Gov Rec GR FTE	Gov Rec FED DOLLARS	Gov Rec FED FTE	Gov Rec OTHER DOLLARS	Gov Rec OTHER FTE	Gov Rec TOTAL DOLLARS	Gov Rec TOTAL FTE	Gov Rec One-Time DOLLARS
Total PS	0	0.0	0	0.0	0	0.0	0	0.0	0
							0	0	0.0
Total EE	0		0		0		0		0
Program Distributions							0		
Total PSD	0		0		0		0		0
Transfers							0		
Total TRF	0		0		0		0		0
Grand Total	0	0.0	0	0.0	0	0.0	0	0.0	0

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DEBT OFFSET ESCROW FUND								
Debt Offset Authority Increase - 1625001								
PROGRAM DISTRIBUTIONS	0	0.00	0	0.00	5,000,000	0.00	0	0.00
TOTAL - PD	0	0.00	0	0.00	5,000,000	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$0	0.00	\$5,000,000	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$0	0.00	\$0	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$5,000,000	0.00		0.00

MISSOURI COMMISSION ON HUMAN RIGHTS

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u> <u>Missouri Commission on Human Rights</u> <u>Administration</u>				Budget Unit <u>63409C</u>																																																												
				HB Section <u>07.905</u>																																																												
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Other Funds:		Other Funds:																																																														
2. CORE DESCRIPTION																																																																
This core supports the operations of the Missouri Commission on Human Rights (MCHR). It enforces the Missouri Human Rights Act and trains and educates regarding rights and responsibilities under the Act.																																																																
3. PROGRAM LISTING (list programs included in this core funding)																																																																
Prevention/elimination of illegal discrimination in employment, housing, and public accommodation.																																																																

CORE DECISION ITEM

Department of Labor and Industrial Relations
Missouri Commission on Human Rights
Administration

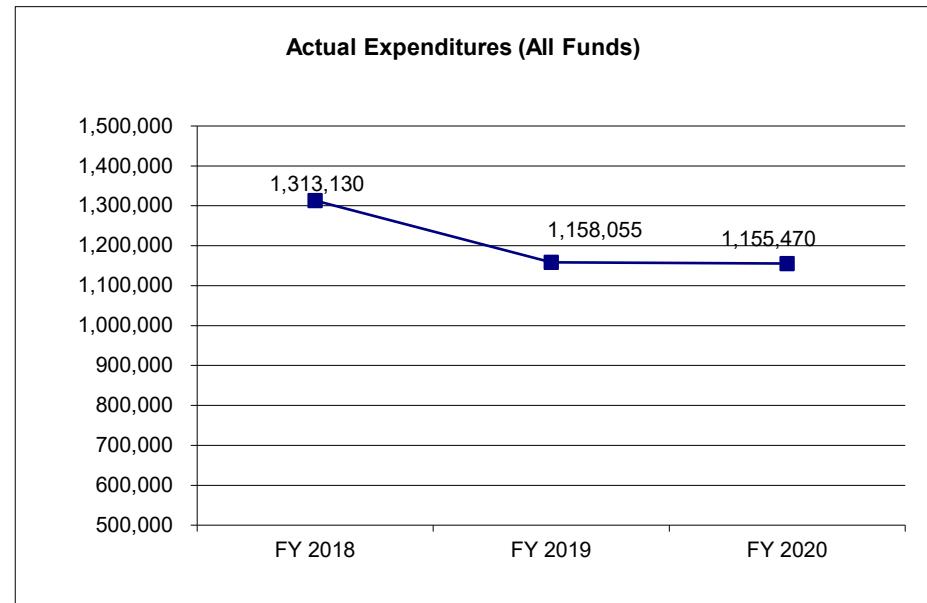
Budget Unit 63409C

HB Section 07.905

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	1,694,640	1,706,150	1,368,140	1,388,008
Less Reverted (All Funds)	(16,197)	(16,315)	(16,690)	(16,925)
Less Restricted (All Funds)*	0	0	0	(798)
Budget Authority (All Funds)	1,678,443	1,689,835	1,351,450	1,370,285
Actual Expenditures (All Funds)	1,313,130	1,158,055	1,155,470	N/A
Unexpended (All Funds)	365,313	531,780	195,980	N/A
Unexpended, by Fund:				
General Revenue	690	731	29,997	N/A
Federal	364,623	531,049	165,983	N/A
Other	0	0	0	N/A
	(1)	(2)	(3)	

*Current Year restricted amount is as of July 21, 2020.



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

- (1) Includes \$11,510 for FY2019 pay plan.
- (2) Includes a core reduction of (\$364,328) and (7.00) FTE in Federal funding to reflect the loss of funding due to the cancellation of the worksharing agreement with the U.S. Department of Housing and Urban Development (HUD). Includes \$6,610 for cost to continue FY2019 pay plan; \$18,341 for FY2020 pay plan; and \$1,277 for personal services market adjustments.
- (3) Includes \$18,431 and \$1,277, respectively, for cost-to-continue FY2020 pay plan and market adjustments. Includes \$160 reallocation for increased mileage costs.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL COMMISSION ON HUMAN RIGHTS

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	PS	25.70	548,621	719,645	0	1,268,266	
	EE	0.00	16,240	102,252	0	118,492	
	PD	0.00	100	1,150	0	1,250	
	Total	25.70	564,961	823,047	0	1,388,008	
DEPARTMENT CORE ADJUSTMENTS							
Core Reallocation	1100 5995	PS	0.00	0	0	0	Core reallocations to better match planned expenditures.
Core Reallocation	1100 5996	PS	0.00	0	0	(0)	Core reallocations to better match planned expenditures.
Core Reallocation	1100 5998	EE	0.00	0	1,130	0	Core reallocations to better match planned expenditures.
Core Reallocation	1100 5997	EE	0.00	90	0	0	Core reallocations to better match planned expenditures.
Core Reallocation	1100 5997	PD	0.00	(90)	0	0	Core reallocations to better match planned expenditures.
Core Reallocation	1100 5998	PD	0.00	0	(1,130)	0	Core reallocations to better match planned expenditures.
	NET DEPARTMENT CHANGES	0.00	0	0	0	0	Core reallocations to better match planned expenditures.
DEPARTMENT CORE REQUEST							
	PS	25.70	548,621	719,645	0	1,268,266	
	EE	0.00	16,330	103,382	0	119,712	
	PD	0.00	10	20	0	30	
	Total	25.70	564,961	823,047	0	1,388,008	
GOVERNOR'S RECOMMENDED CORE							
	PS	25.70	548,621	719,645	0	1,268,266	
	EE	0.00	16,330	103,382	0	119,712	

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL COMMISSION ON HUMAN RIGHTS

5. CORE RECONCILIATION DETAIL

Budget Class	FTE	GR	Federal	Other	Total	Explanation
GOVERNOR'S RECOMMENDED CORE						
PD	0.00	10	20	0	30	
Total	25.70	564,961	823,047	0	1,388,008	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
Fund								
COMMISSION ON HUMAN RIGHTS								
CORE								
PERSONAL SERVICES								
GENERAL REVENUE	500,348	11.28	548,621	11.00	548,621	11.00	0	0.00
HUMAN RIGHTS COMMISSION - FED	576,620	12.97	719,645	14.70	719,645	14.70	0	0.00
TOTAL - PS	1,076,968	24.25	1,268,266	25.70	1,268,266	25.70	0	0.00
EXPENSE & EQUIPMENT								
GENERAL REVENUE	9,315	0.00	16,240	0.00	16,330	0.00	0	0.00
HUMAN RIGHTS COMMISSION - FED	69,187	0.00	102,252	0.00	103,382	0.00	0	0.00
TOTAL - EE	78,502	0.00	118,492	0.00	119,712	0.00	0	0.00
PROGRAM-SPECIFIC								
GENERAL REVENUE	0	0.00	100	0.00	10	0.00	0	0.00
HUMAN RIGHTS COMMISSION - FED	0	0.00	1,150	0.00	20	0.00	0	0.00
TOTAL - PD	0	0.00	1,250	0.00	30	0.00	0	0.00
TOTAL	1,155,470	24.25	1,388,008	25.70	1,388,008	25.70	0	0.00
GRAND TOTAL	\$1,155,470	24.25	\$1,388,008	25.70	\$1,388,008	25.70	\$0	0.00

FLEXIBILITY REQUEST FORM

BUDGET UNIT NUMBER: 63409C BUDGET UNIT NAME: Missouri Commission on Human Rights HOUSE BILL SECTION: 7.905	DEPARTMENT: Labor and Industrial Relations DIVISION: Missouri Commission on Human Rights
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1. Provide the amount by fund of personal service flexibility and the amount by fund of expense and equipment flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed. If flexibility is being requested among divisions, provide the amount by fund of flexibility you are requesting in dollar and percentage terms and explain why the flexibility is needed.

DEPARTMENT REQUEST

The Missouri Commission on Human Rights is requesting 10% flexibility for Fund 0101. This will allow the commission to adjust its budget as it responds to discrimination complaints and address any changes in federal funding.

2. Estimate how much flexibility will be used for the budget year. How much flexibility was used in the Prior Year Budget and the Current Year Budget? Please specify the amount.

PRIOR YEAR ACTUAL AMOUNT OF FLEXIBILITY USED	CURRENT YEAR ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED	BUDGET REQUEST ESTIMATED AMOUNT OF FLEXIBILITY THAT WILL BE USED
None	None	10% from PS to E&E 10% from E&E to PS

3. Please explain how flexibility was used in the prior and/or current years.

PRIOR YEAR EXPLAIN ACTUAL USE	CURRENT YEAR EXPLAIN PLANNED USE
None	Continuation of operations should there be changes in federal funding during the fiscal year and to meet any unanticipated costs.

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
COMMISSION ON HUMAN RIGHTS								
CORE								
ADMIN OFFICE SUPPORT ASSISTANT	34,443	1.00	35,541	1.00	0	0.00	0	0.00
SR OFFICE SUPPORT ASSISTANT	51,030	1.86	67,623	2.00	0	0.00	0	0.00
INFORMATION SUPPORT COOR	33,299	1.00	39,423	1.00	0	0.00	0	0.00
HUMAN RELATIONS TECH	39,506	1.19	82,684	2.00	0	0.00	0	0.00
HUMAN RELATIONS OFCR I	347,703	8.34	367,287	8.20	0	0.00	0	0.00
HUMAN RELATIONS OFCR II	163,839	3.52	159,704	3.00	0	0.00	0	0.00
HUMAN RELATIONS OFCR III	97,225	1.92	121,100	2.00	0	0.00	0	0.00
HUMAN RESOURCES MGR B1	64,126	1.00	76,312	1.00	0	0.00	0	0.00
DIVISION DIRECTOR	84,913	1.00	87,599	1.00	97,764	1.00	0	0.00
DESIGNATED PRINCIPAL ASST DIV	92,817	2.01	105,366	2.00	106,395	2.00	0	0.00
LEGAL COUNSEL	50,594	0.96	67,331	1.00	61,645	1.00	0	0.00
CLERK	16,270	0.41	15,834	0.50	11,238	1.00	0	0.00
MISCELLANEOUS TECHNICAL	1,203	0.04	22,413	0.70	31,688	0.70	0	0.00
MISCELLANEOUS SUPERVISORY	0	0.00	20,049	0.30	0	0.00	0	0.00
ADMIN SUPPORT ASSISTANT	0	0.00	0	0.00	101,459	3.00	0	0.00
LEAD ADMIN SUPPORT ASSISTANT	0	0.00	0	0.00	39,656	1.00	0	0.00
HUMAN RIGHTS OFFICER	0	0.00	0	0.00	628,302	13.00	0	0.00
SENIOR HUMAN RIGHTS OFFICER	0	0.00	0	0.00	116,287	2.00	0	0.00
PROGRAM MANAGER	0	0.00	0	0.00	73,832	1.00	0	0.00
TOTAL - PS	1,076,968	24.25	1,268,266	25.70	1,268,266	25.70	0	0.00
TRAVEL, IN-STATE	8,511	0.00	8,855	0.00	10,500	0.00	0	0.00
TRAVEL, OUT-OF-STATE	2,471	0.00	8,162	0.00	5,510	0.00	0	0.00
SUPPLIES	16,855	0.00	38,384	0.00	29,352	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	2,715	0.00	6,740	0.00	4,510	0.00	0	0.00
COMMUNICATION SERV & SUPP	10,880	0.00	18,534	0.00	17,230	0.00	0	0.00
PROFESSIONAL SERVICES	27,989	0.00	9,138	0.00	37,500	0.00	0	0.00
M&R SERVICES	3,246	0.00	6,043	0.00	5,010	0.00	0	0.00
OFFICE EQUIPMENT	963	0.00	6,791	0.00	1,510	0.00	0	0.00
OTHER EQUIPMENT	26	0.00	3,921	0.00	510	0.00	0	0.00
PROPERTY & IMPROVEMENTS	0	0.00	200	0.00	20	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	200	0.00	20	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	3,673	0.00	5,909	0.00	5,510	0.00	0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
COMMISSION ON HUMAN RIGHTS								
CORE								
MISCELLANEOUS EXPENSES	1,173	0.00	3,956	0.00	2,510	0.00	0	0.00
REBILLABLE EXPENSES	0	0.00	1,659	0.00	20	0.00	0	0.00
TOTAL - EE	78,502	0.00	118,492	0.00	119,712	0.00	0	0.00
PROGRAM DISTRIBUTIONS	0	0.00	1,050	0.00	10	0.00	0	0.00
REFUNDS	0	0.00	200	0.00	20	0.00	0	0.00
TOTAL - PD	0	0.00	1,250	0.00	30	0.00	0	0.00
GRAND TOTAL	\$1,155,470	24.25	\$1,388,008	25.70	\$1,388,008	25.70	\$0	0.00
GENERAL REVENUE	\$509,663	11.28	\$564,961	11.00	\$564,961	11.00		0.00
FEDERAL FUNDS	\$645,807	12.97	\$823,047	14.70	\$823,047	14.70		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.905

Program Name: Missouri Commission on Human Rights

Program is found in the following core budget(s): Commission on Human Rights

1a. What strategic priority does this program address?

Opportunity: Invest in our workforce for today and tomorrow.

Preventing and eliminating unlawful discrimination.

1b. What does this program do?

- Receives and investigates complaints of discrimination to determine if unlawful discrimination occurred.
- Attempts conciliation and settlement between the parties of unlawful discrimination cases.
- Conducts public hearings if complaints are not resolved via conciliation.
- Educates and trains employees, employers, organized groups, housing providers, tenants, and Missouri citizens regarding their rights and responsibilities under the law to prevent discrimination.

2a. Provide an activity measure(s) for the program.

	FY 2018 Actual	FY 2019		FY 2020		FY 2021 Projected	FY 2022 Projected	FY 2023 Projected
		Projected	Actual	Projected	Actual			
Persons Trained ^{1, 3}	7,830	4,000	5,738	6,000	6,045	4,000	5,000	5,000
Cases Investigated ²	1,508	1,355	1,276	1,300	1,403	1,300	1,300	1,500
Cases Received ²	1,706	1,700	1,607	1,650	1,105	1,105	1,105	1,105

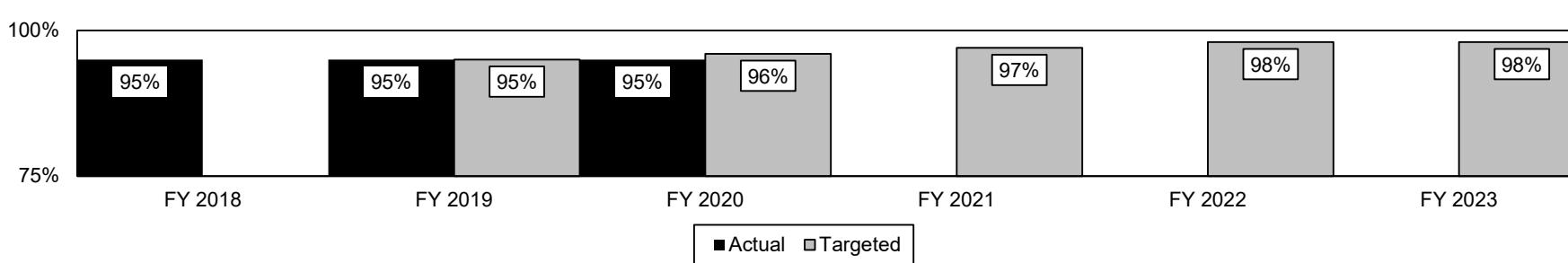
¹ The FY2018 increase in persons trained is attributed to increased activity due to changes in Statute and making presentations as part of larger conferences to train on the new Statute change.

² The decrease in the projected number of cases investigated beginning in FY2021 is due to changes in the Statute, decreased staffing, and the termination of the work sharing agreement with Housing and Urban Development (HUD), and due to Covid-19 affecting the number of cases being filed.

³ While MCHR and our stakeholders navigate to adjust to the transition to virtual leaning in FY2021, our numbers will be lower.

2b. Provide a measure(s) of the program's quality.

Percentage of Positive Evaluation Ratings from Trainings Presentations⁴



⁴ FY 2018 was the baseline year for this measure.

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

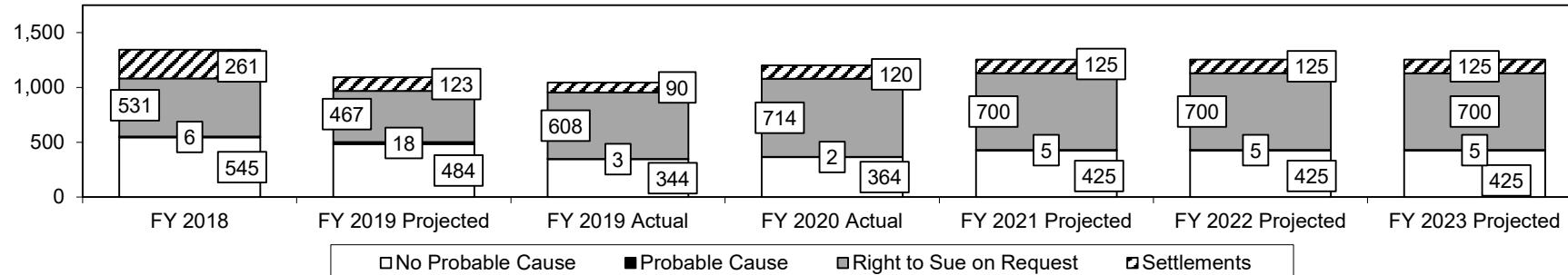
HB Section(s): 7.905

Program Name: Missouri Commission on Human Rights

Program is found in the following core budget(s): Commission on Human Rights

2c. Provide a measure(s) of the program's impact.

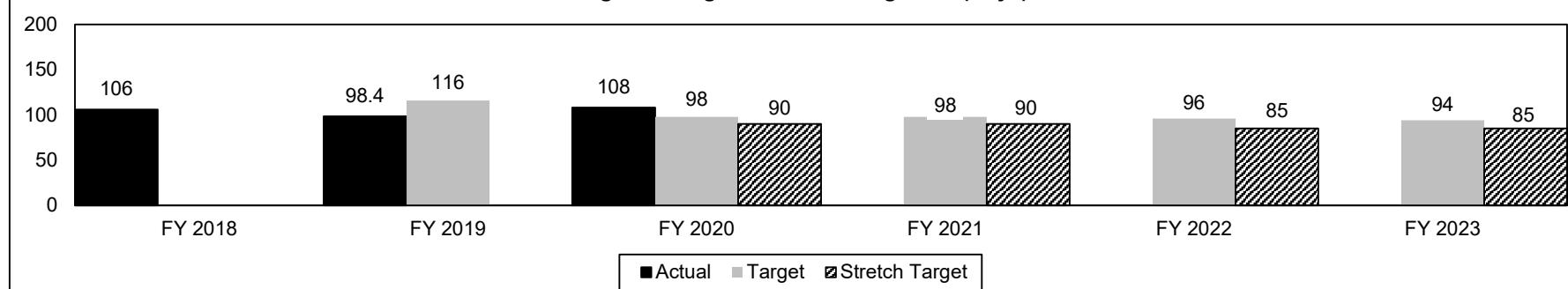
Investigation Dispositions Rendered Per Fiscal Year⁵



⁵ Does not include those that were closed administratively for failure to cooperate, where MCHR had no jurisdiction, or where parties could not be located, which account for 15% of cases.

2d. Provide a measure(s) of the program's efficiency.

Average Investigation Processing Time (Days)⁶



⁶ A new investigative procedure has been added to close cases lacking merit sooner, which will decrease the average processing time.

PROGRAM DESCRIPTION

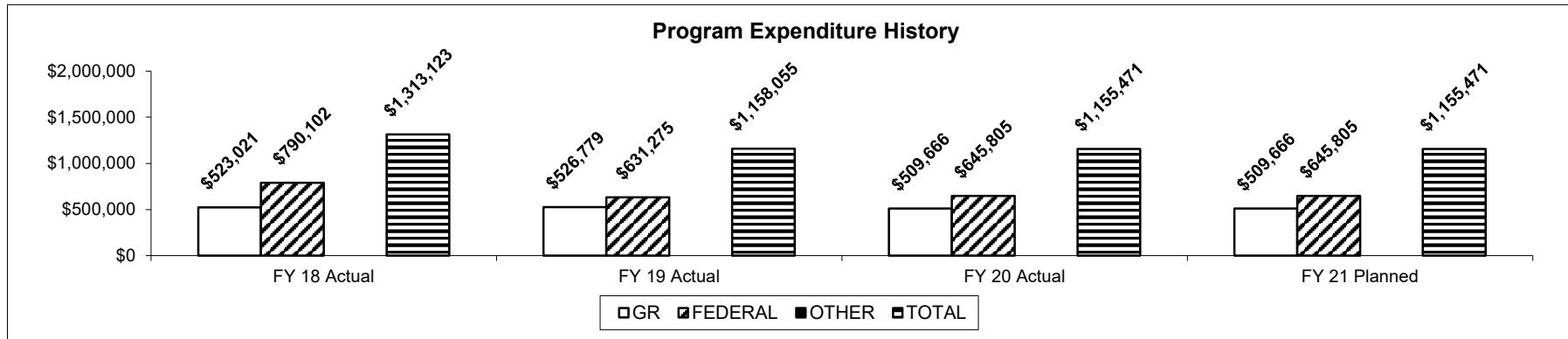
Department of Labor and Industrial Relations

HB Section(s): 7.905

Program Name: Missouri Commission on Human Rights

Program is found in the following core budget(s): Commission on Human Rights

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



4. What are the sources of the "Other " funds?

N/A

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Chapter 213, RSMo; Title VII of the Civil Rights Act of 1964; The Age Discrimination in Employment Act; and the Americans with Disabilities Act Amendments Act of 2008 and the Equal Pay Act.

6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No. However, the Commission has a worksharing contract with the Equal Employment Opportunity Commission (EEOC).

CORE DECISION ITEM

<u>Department of Labor and Industrial Relations</u>	<u>Budget Unit 63410C</u>																																																														
<u>Missouri Commission on Human Rights</u>																																																															
<u>Martin Luther King, Jr. Commission</u>	<u>HB Section 7.905</u>																																																														
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<p>Other Funds: MLK Jr. State Celebration Fund (0438)</p>																																																															
2. CORE DESCRIPTION																																																															
<p>The Martin Luther King, Jr. State Celebration Commission was established by Executive Order 85-19 to consider and recommend to individuals and organizations appropriate activities for the recognition and celebration of Martin Luther King, Jr. Day in Missouri. Membership was expanded by Executive Orders 86-28 and 95-22. The Commission, which consists of ten individuals appointed by the Governor, evaluates proposals from throughout the state and selects proposals to receive financial assistance for MLK, Jr. Day recognition events.</p>																																																															
3. PROGRAM LISTING (list programs included in this core funding)																																																															
<p>Martin Luther King, Jr. State Celebration Commission</p>																																																															

CORE DECISION ITEM

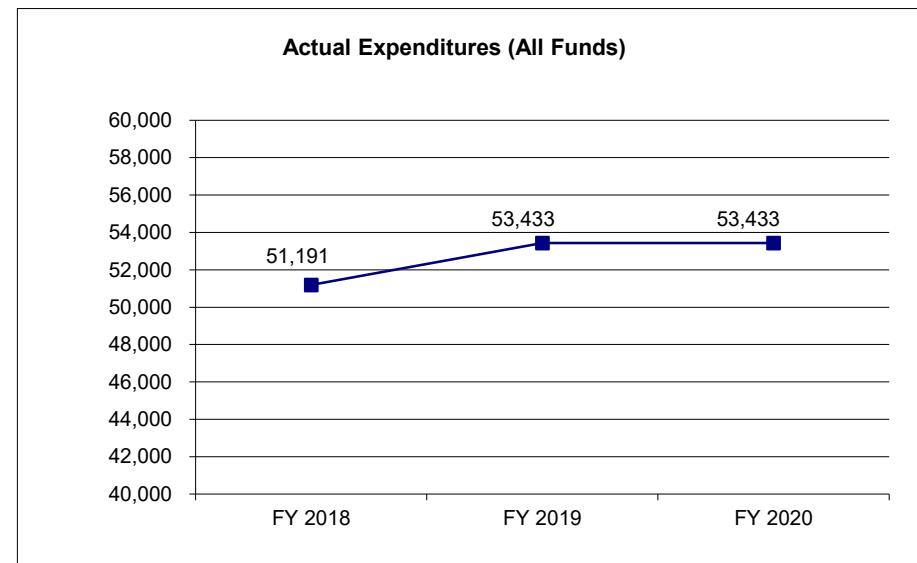
Department of Labor and Industrial Relations
Missouri Commission on Human Rights
Martin Luther King, Jr. Commission

Budget Unit 63410C

HB Section 7.905

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	60,086	60,086	60,086	60,123
Less Reverted (All Funds)	(1,653)	(1,653)	(1,653)	(1,638)
Less Restricted (All Funds)*	0	0	0	(520)
Budget Authority (All Funds)	58,433	58,433	58,433	57,965
Actual Expenditures (All Funds)	51,191	53,433	53,433	N/A
Unexpended (All Funds)	7,242	5,000	5,000	N/A
Unexpended, by Fund:				
General Revenue	2,242	0	0	N/A
Federal	0	0	0	N/A
Other	5,000	5,000	5,000	N/A
	(1)	(1)	(1)	



*Current Year restricted amount is as of July 21, 2020.

Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

(1) An Agency Reserve of (\$5,000) is kept in the Martin Luther King, Jr., State Celebration Fund. The Department maintains a minimal appropriation in this fund should there ever be sufficient funds to disburse. To date, there have been no expenditures from the Martin Luther King, Jr., State Celebration Fund.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL
MLK JR COMMISSION

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	EE	0.00	2,725	0	600	3,325	
	PD	0.00	52,398	0	4,400	56,798	
	Total	0.00	55,123	0	5,000	60,123	
DEPARTMENT CORE REQUEST							
	EE	0.00	2,725	0	600	3,325	
	PD	0.00	52,398	0	4,400	56,798	
	Total	0.00	55,123	0	5,000	60,123	
GOVERNOR'S RECOMMENDED CORE							
	EE	0.00	2,725	0	600	3,325	
	PD	0.00	52,398	0	4,400	56,798	
	Total	0.00	55,123	0	5,000	60,123	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MLK JR COMMISSION								
CORE								
EXPENSE & EQUIPMENT								
GENERAL REVENUE	283	0.00	2,725	0.00	2,725	0.00	0	0.00
MLKJR ST CELEBRATION COMM FUND	0	0.00	600	0.00	600	0.00	0	0.00
TOTAL - EE	283	0.00	3,325	0.00	3,325	0.00	0	0.00
PROGRAM-SPECIFIC								
GENERAL REVENUE	53,150	0.00	52,398	0.00	52,398	0.00	0	0.00
MLKJR ST CELEBRATION COMM FUND	0	0.00	4,400	0.00	4,400	0.00	0	0.00
TOTAL - PD	53,150	0.00	56,798	0.00	56,798	0.00	0	0.00
TOTAL	53,433	0.00	60,123	0.00	60,123	0.00	0	0.00
GRAND TOTAL	\$53,433	0.00	\$60,123	0.00	\$60,123	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
MLK JR COMMISSION								
CORE								
TRAVEL, IN-STATE	283	0.00	1,643	0.00	1,643	0.00	0	0.00
SUPPLIES	0	0.00	682	0.00	682	0.00	0	0.00
PROFESSIONAL DEVELOPMENT	0	0.00	100	0.00	100	0.00	0	0.00
COMMUNICATION SERV & SUPP	0	0.00	100	0.00	100	0.00	0	0.00
PROFESSIONAL SERVICES	0	0.00	200	0.00	200	0.00	0	0.00
BUILDING LEASE PAYMENTS	0	0.00	200	0.00	200	0.00	0	0.00
EQUIPMENT RENTALS & LEASES	0	0.00	200	0.00	200	0.00	0	0.00
MISCELLANEOUS EXPENSES	0	0.00	200	0.00	200	0.00	0	0.00
TOTAL - EE	283	0.00	3,325	0.00	3,325	0.00	0	0.00
PROGRAM DISTRIBUTIONS	53,150	0.00	56,798	0.00	56,798	0.00	0	0.00
TOTAL - PD	53,150	0.00	56,798	0.00	56,798	0.00	0	0.00
GRAND TOTAL	\$53,433	0.00	\$60,123	0.00	\$60,123	0.00	\$0	0.00
GENERAL REVENUE	\$53,433	0.00	\$55,123	0.00	\$55,123	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$5,000	0.00	\$5,000	0.00		0.00

PROGRAM DESCRIPTION

Department of Labor and Industrial Relations

HB Section(s): 7.905

Program Name: Martin Luther King, Jr. Celebration

Program is found in the following core budget(s): Missouri Commission on Human Rights Administration

1a. What strategic priority does this program address?

Opportunity: Invest in our workforce for today and tomorrow.
Prevent and eliminate unlawful discrimination in the workplace.

1b. What does this program do?

Solicits, reviews, and recommends proposals to receive funding for appropriate activities held across the state in recognition and celebration of Dr. Martin Luther King, Jr. Day.

2a. Provide an activity measure(s) for the program.

	FY 2018	FY 2019		FY 2020		FY 2021 Projected ²	FY 2022 Projected	FY 2023 Projected
	Actual	Projected	Actual	Projected	Actual			
Events Registered ¹	230	260	230	260	256	260	260	260

¹ These are voluntary registrations and the variation is due to under-reporting of registrations.

² The number of celebrations may be affected by the COVID-19 pandemic. Discussions have been ongoing as to how these celebrations will be conducted in FY2021.

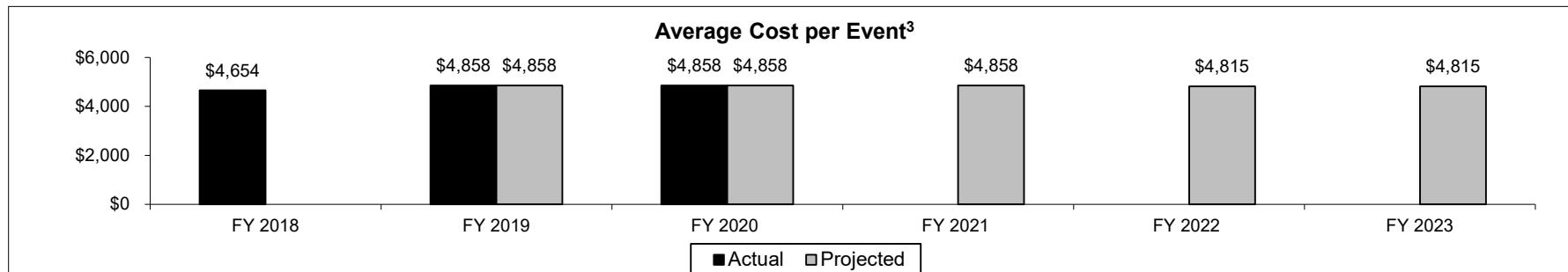
2b. Provide a measure(s) of the program's quality.

Due to the nature of the program, no quality measure is applicable.

2c. Provide a measure(s) of the program's impact.

The communities that receive funding from the MLK Commission are: St. Louis, Kansas City, Lee's Summit, Kirksville, Columbia, Fulton, Jefferson City, Florissant and Chesterfield. However, there are numerous other events throughout the state that take place without funding. Eleven events are funded by the Commission, which includes the Statewide Kickoff.

2d. Provide a measure(s) of the program's efficiency.



³ The number of celebrations may be affected by the COVID-19 pandemic. Discussions have been ongoing as to how these celebrations will be conducted in FY2021.

PROGRAM DESCRIPTION

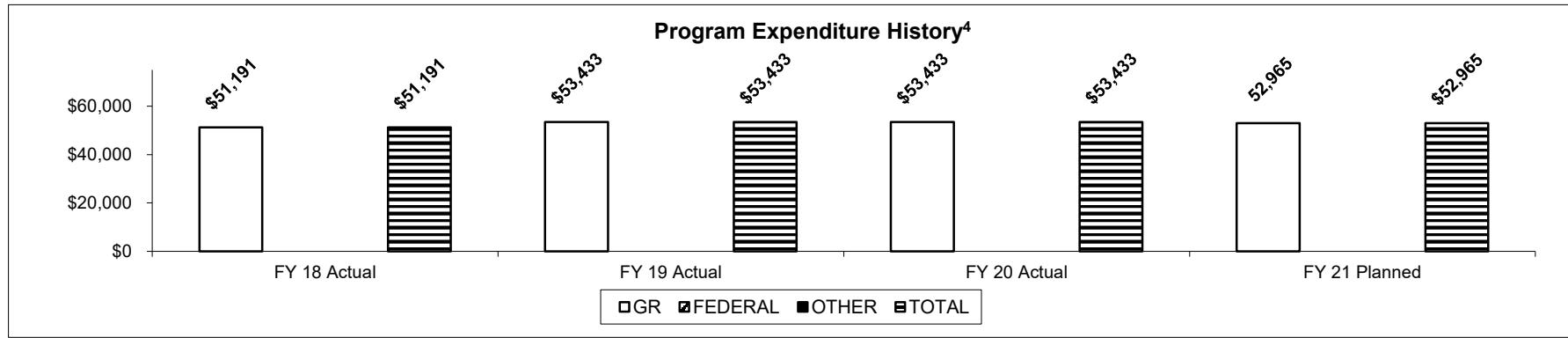
Department of Labor and Industrial Relations

HB Section(s): 7.905

Program Name: Martin Luther King, Jr. Celebration

Program is found in the following core budget(s): Missouri Commission on Human Rights Administration

3. Provide actual expenditures for the prior three fiscal years and planned expenditures for the current fiscal year. (Note: Amounts do not include fringe benefit costs.)



⁴ FY 2021 Planned reduced by \$520 of restrictions.

4. What are the sources of the "Other" funds?

MLK Commission Fund (0438)

5. What is the authorization for this program, i.e., federal or state statute, etc.? (Include the federal program number, if applicable.)

Executive Order 85-19

6. Are there federal matching requirements? If yes, please explain.

No

7. Is this a federally mandated program? If yes, please explain.

No

LEGAL EXPENSE TRANSFER

CORE DECISION ITEM

Department of Labor and Industrial Relations				Budget Unit <u>63411C</u>
Legal Expense Fund Transfer				HB Section <u>7.910</u>
1. CORE FINANCIAL SUMMARY				
FY 2022 Budget Request				FY 2022 Governor's Recommendation
GR				GR
Federal				Federal
Other				Other
Total				Total
PS	0	0	0	0
EE	0	0	0	0
PSD	0	0	0	0
TRF	1	0	0	0
Total	1	0	0	0
FTE	0.00	0.00	0.00	0.00
Est. Fringe	0	0	0	0
<i>Note: Fringes budgeted in House Bill 5 except for certain fringes budgeted directly to MoDOT, Highway Patrol, and Conservation.</i>				
Other Funds:				
2. CORE DESCRIPTION				
In FY 2019, the General Assembly appropriated \$1 for transfer from the department's core budget to the State Legal Expense Fund for the payment of claims, premiums, and expenses provided in Section 105.711 through Section 105.726, <i>RSMo</i> . In order to fund such expenses, the General Assembly also authorized three percent flexibility from the department's operating budget into the \$1 transfer appropriation.				
3. PROGRAM LISTING (list programs included in this core funding)				
N/A				

CORE DECISION ITEM

Department of Labor and Industrial Relations

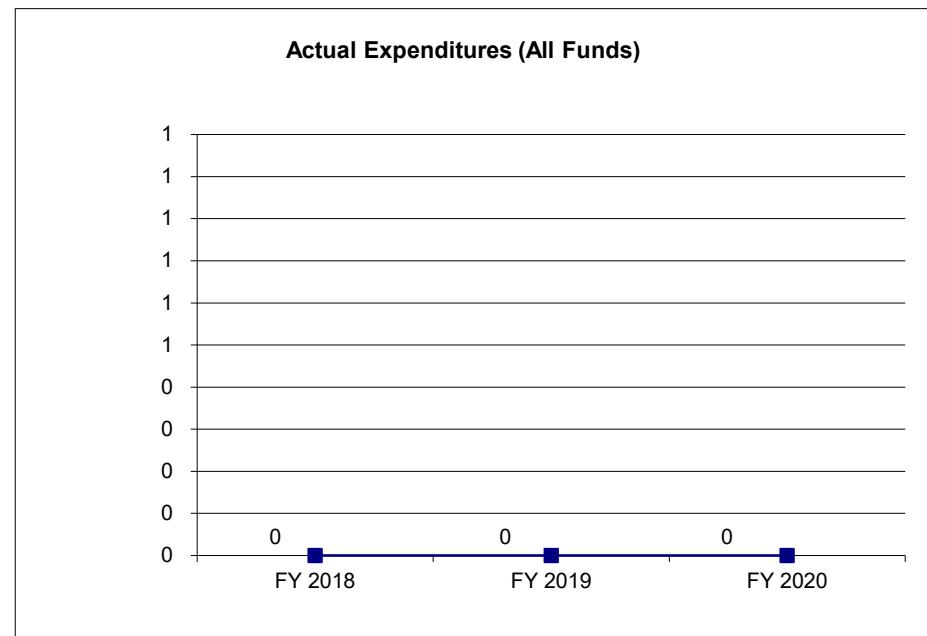
Budget Unit 63411C

Legal Expense Fund Transfer

HB Section 7.910

4. FINANCIAL HISTORY

	FY 2018 Actual	FY 2019 Actual	FY 2020 Actual	FY 2021 Current Yr.
Appropriation (All Funds)	1	1	1	1
Less Reverted (All Funds)	0	0	0	N/A
Less Restricted (All Funds)*	0	0	0	N/A
Budget Authority (All Funds)	1	1	1	1
Actual Expenditures (All Funds)	0	0	0	N/A
Unexpended (All Funds)	1	1	1	N/A
Unexpended, by Fund:				
General Revenue	1	1	0	N/A
Federal	0	0	0	N/A
Other	0	0	0	N/A



Reverted includes the statutory three percent reserve amount (when applicable).

Restricted includes any Governor's expenditure restrictions which remained at the end of the fiscal year (when applicable).

NOTES:

(1) FY2018 is the first year for this appropriation. There have been no expenditures from this core.

CORE RECONCILIATION DETAIL

DEPARTMENT OF LABOR AND INDUSTRIAL
DOLIR LEGAL EXPENSE FUND TRF

5. CORE RECONCILIATION DETAIL

	Budget Class	FTE	GR	Federal	Other	Total	Explanation
TAFF AFTER VETOES							
	TRF	0.00	1	0	0	1	
	Total	0.00	1	0	0	1	
DEPARTMENT CORE REQUEST							
	TRF	0.00	1	0	0	1	
	Total	0.00	1	0	0	1	
GOVERNOR'S RECOMMENDED CORE							
	TRF	0.00	1	0	0	1	
	Total	0.00	1	0	0	1	

DECISION ITEM SUMMARY

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Summary	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DOLIR LEGAL EXPENSE FUND TRF								
CORE								
FUND TRANSFERS								
GENERAL REVENUE	0	0.00	1	0.00	1	0.00	0	0.00
TOTAL - TRF	0	0.00	1	0.00	1	0.00	0	0.00
TOTAL	0	0.00	1	0.00	1	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$1	0.00	\$1	0.00	\$0	0.00

DECISION ITEM DETAIL

Budget Unit	FY 2020	FY 2020	FY 2021	FY 2021	FY 2022	FY 2022	*****	*****
Decision Item	ACTUAL	ACTUAL	BUDGET	BUDGET	DEPT REQ	DEPT REQ	SECURED	SECURED
Budget Object Class	DOLLAR	FTE	DOLLAR	FTE	DOLLAR	FTE	COLUMN	COLUMN
DOLIR LEGAL EXPENSE FUND TRF								
CORE								
TRANSFERS OUT	0	0.00	1	0.00	1	0.00	0	0.00
TOTAL - TRF	0	0.00	1	0.00	1	0.00	0	0.00
GRAND TOTAL	\$0	0.00	\$1	0.00	\$1	0.00	\$0	0.00
GENERAL REVENUE	\$0	0.00	\$1	0.00	\$1	0.00		0.00
FEDERAL FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00
OTHER FUNDS	\$0	0.00	\$0	0.00	\$0	0.00		0.00